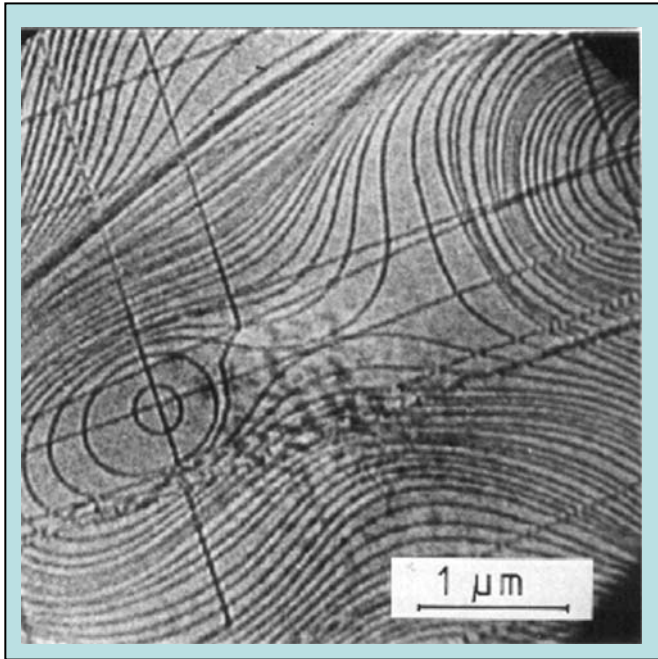


# Microscopy with Slow Electrons

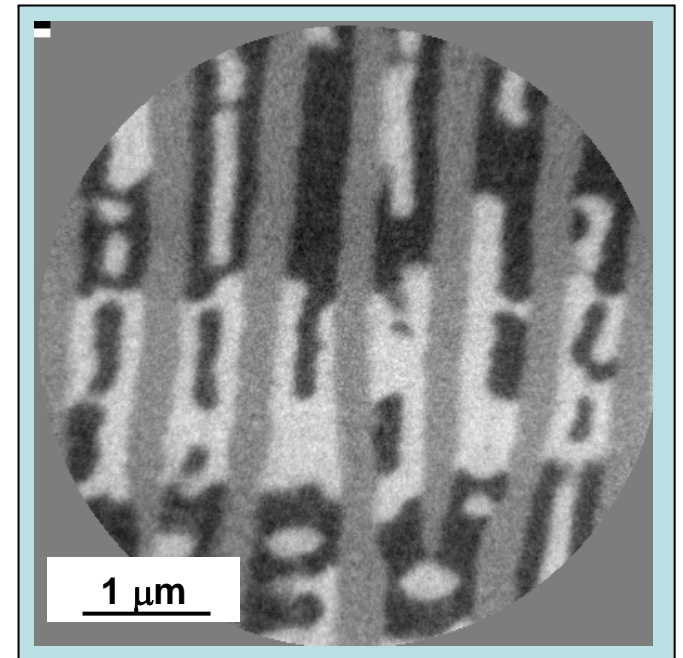
E. Bauer

Department of Physics and Astronomy  
Arizona State University



LEEM 1984

XPEEM 2004



# Outline

**Brief history**

**Fundamentals of low energy electron optics**

**cathode lenses, resolution, transmission, aberration correction**

**Instruments**

**PEEM, LEEM, SPELEEM, SMART**

**Operation modes**

**Imaging, spectroscopy, diffraction**

**Some applications**

**surfactants, magnetic bits, MnAs films**

## Brief History

### Bauer group

### Key person

1962 EMSA Philadelphia: LEEM concept (glass system)	
1964-1968 Metal system	George Turner
1978 Leopoldina Symposium: Synchrotron radiation photo emission electron microscopy vs. Auger electron microscopy	
1984 First good LEEM images	Wolfgang Telieps
1988-1992 Spectroscopic PEEM/LEEM (SPELEEM)	Lee Veneklasen
1990 Spin-polarized LEEM (SPLEEM)	Thomas Duden
1996 XPEEM with photo electrons (SPELEEM at ELETTRA)	Thomas Schmidt

### Tonner group

1988 XPEEM with secondary electrons (XANES-PEEM)

### Stoehr group

1993 XMCDPEEM with secondary electrons

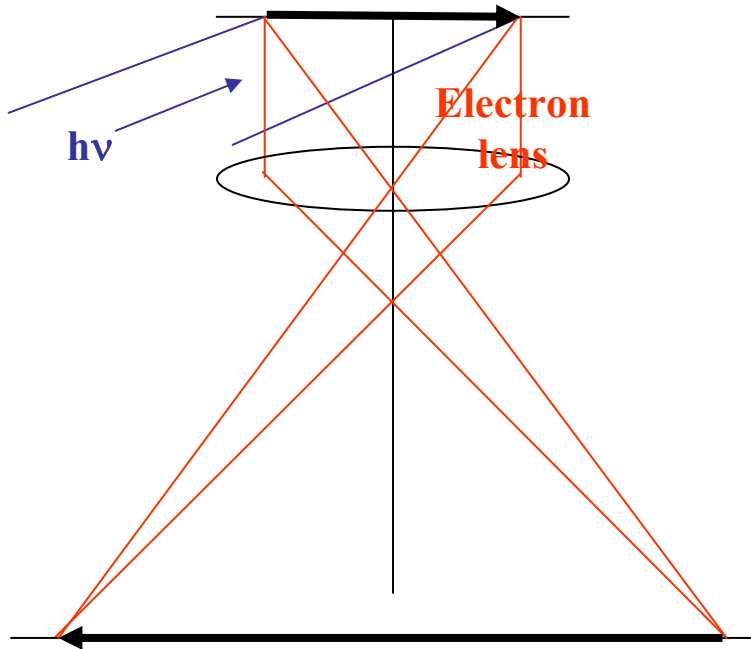
### German multi-university group

1994-2004 SMART (aberration-corrected LEEM-PEEM)

Harald Rose

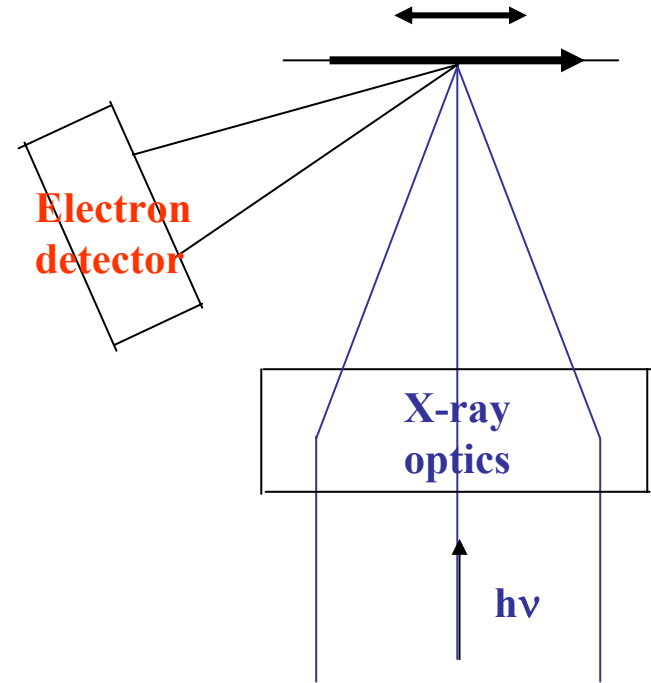
# Photo Emission Electron Microscopy (PEEM)

2 types



**broad illumination**

**Full field  
sample fixed**



**focused illumination**

**Scanning  
sample scanned**

**PEEM**

## The cathode lens

In emission microscopy  $\alpha$  is large

Electron lenses can accept only small  $\alpha$  because of large chromatic and spherical aberrations

Solution of problem: accelerate electrons to high energy before lens  $\rightarrow$

Immersion objective lens = cathode lens

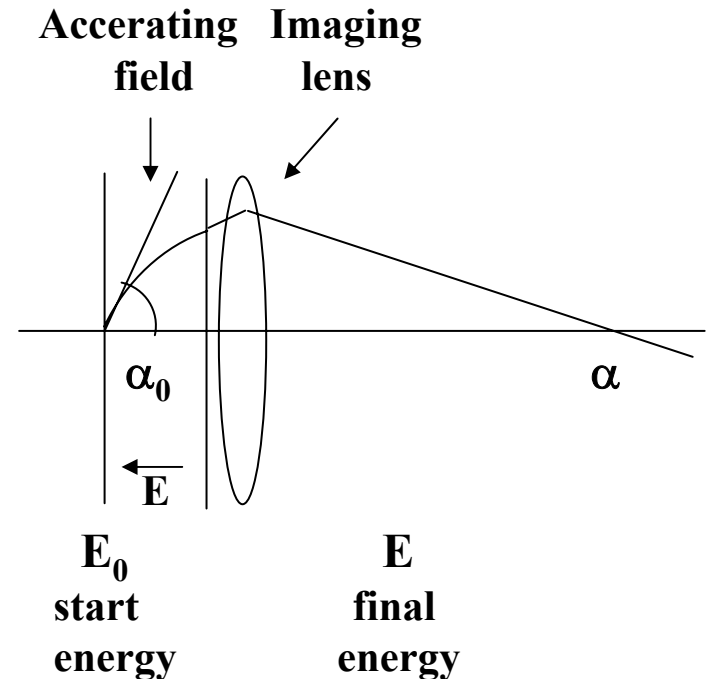
$$n \sin \alpha = \text{const}$$

$$n \sim v \sim \sqrt{E}$$

$$\sin \alpha / \sin \alpha_0 = \sqrt{E_0 / E}$$

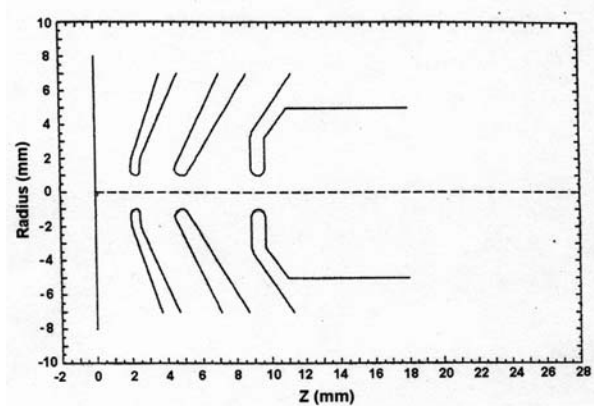
Example for  $E = 20000 \text{ eV}$ :

$E_0$	2 eV	200 eV
$\alpha_0 = 45^\circ$	$\alpha = 0.4^\circ$	$\alpha = 4.5^\circ$
	$7 \times 10^{-3} \text{ rad}$	$8 \times 10^{-2} \text{ rad}$

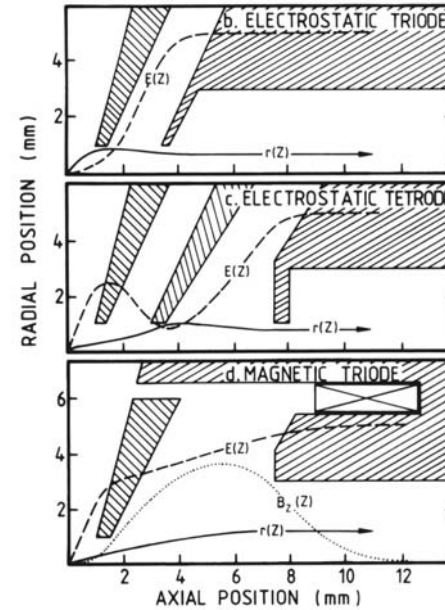


# Cathode lens types

## Electrostatic tetrode

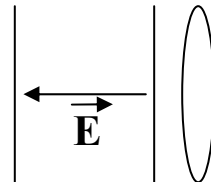


## Lens comparison



## Estimation of aberrations:

Separate lens into acceleration and imaging regions

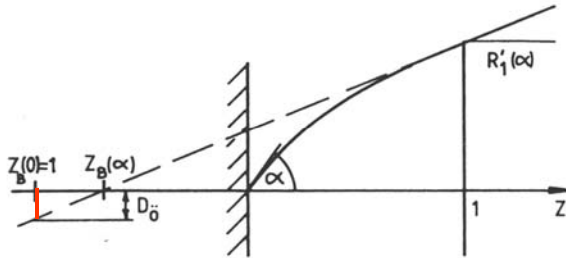


At low energies aberrations of accelerating region dominate

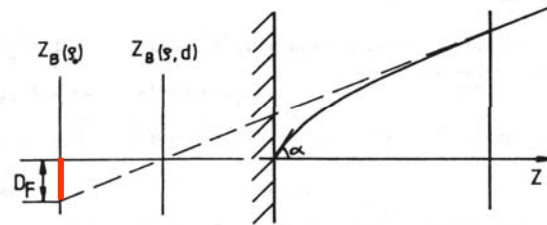
# Aberrations of homogeneous acceleration field

$$\rho_0 = E_0/E \quad \varepsilon = \Delta E_0/E \quad \rho = \rho_0 + \varepsilon$$

**Spherical aberration  $D_{\ddot{0}}$**



**Chromatic aberration  $D_F$**



**Approximation:  $\rho_0$  and  $\varepsilon \ll 1/\cos \alpha^2 > 1$**   
**Example:  $E_0 = 100$  eV,  $\Delta E_0 = 1$  eV,  $E = 20000$  eV**  
 $\varepsilon = \rho_0 / 100, \rho_0 = 1/200$

$$D_{\ddot{0}} \approx 2 \rho \sin \alpha (1 - \cos \alpha)$$

$$\approx 2 \rho (\alpha - 1/6 \alpha^3) (1/2 \alpha^2 - 1/24 \alpha^4)$$

45°	10.7%
60°	17.0%

$$\approx \underline{\rho \alpha^3} \text{ for small } \alpha$$

45°	20.5%
60°	36.2%

overestimation

$$D_F \approx 2 \rho \sin \alpha (\sqrt{\rho_0 / \rho} - 1)$$

$$\approx \varepsilon \sin \alpha \text{ for } \varepsilon \ll \rho_0$$

$$\approx \varepsilon (\alpha - 1/6 \alpha^3)$$

45°	0.3%
60°	1.2%

$$\approx \underline{\varepsilon \alpha} \text{ for small } \alpha$$

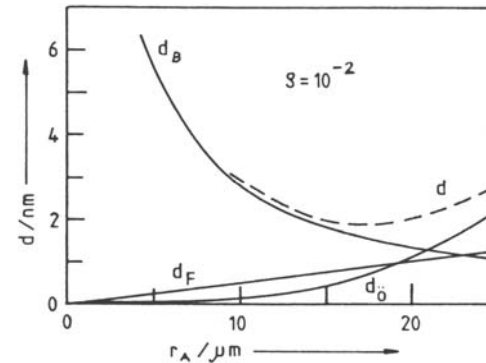
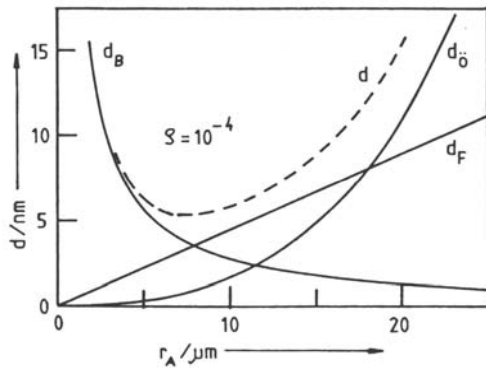
45°	11.1%
60°	17.3%

overestimation

**$\alpha$ -dependent aberrations require  $\alpha$ -limitation by angle-limiting aperture (“contrast aperture”) with radius  $r_A$**

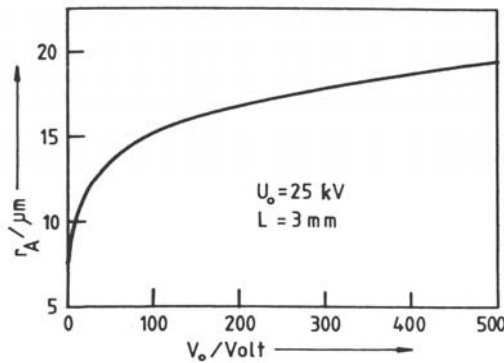


**Diffraction by aperture: diffraction disc of confusion  $d_B = 0.6 \lambda / r_A$**   
**Approximate resolution  $d = \sqrt{d_0^2 + d_F^2 + d_B^2}$**

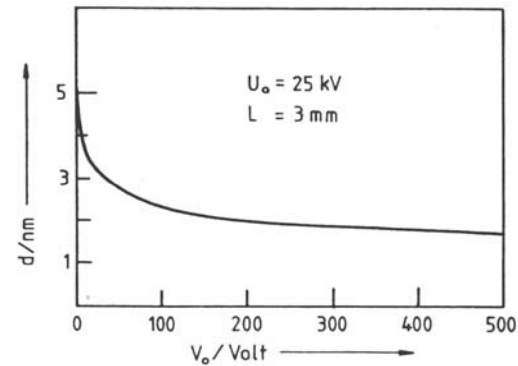


**$L = 3 \text{ mm}$     $E = 25000 \text{ eV}$     $\Delta E_0 = 0.25 \text{ eV}$**

**Optimum aperture radius**



**Optimum resolution**

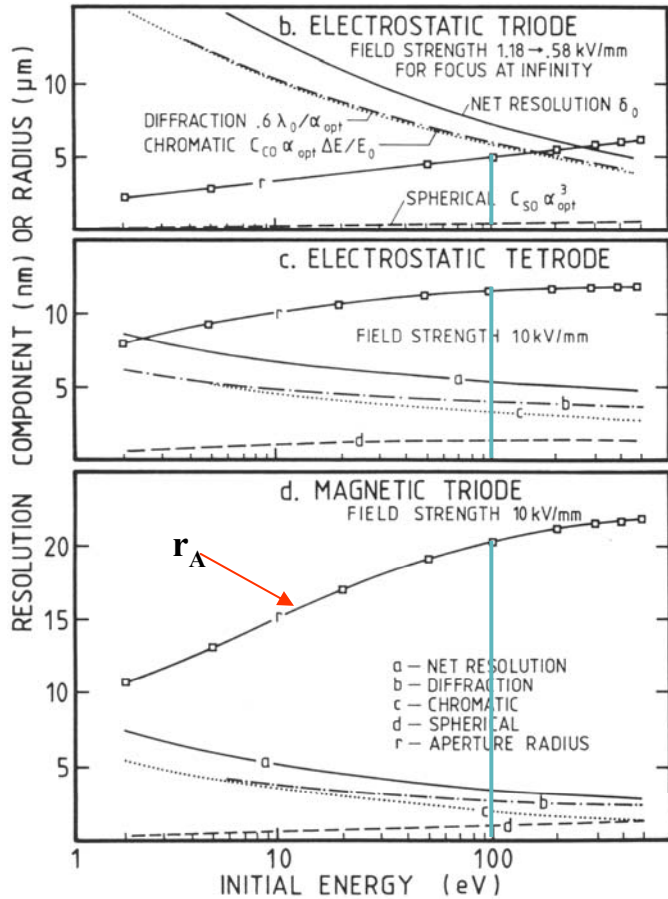


**Note: small angle approximation  $\sin \alpha \approx \alpha \sim r$**



# Resolution and optimum aperture

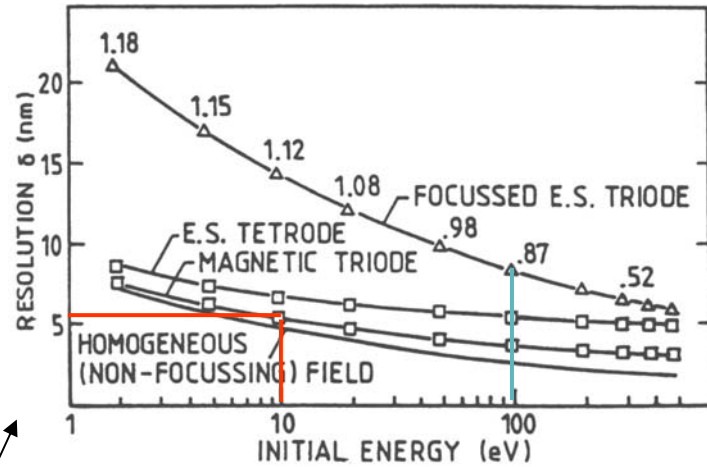
## Optimum aperture $r_A$ and resolution-limiting contributions



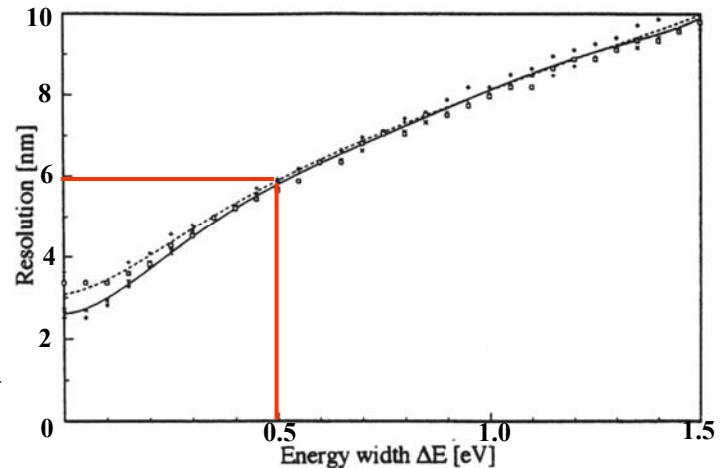
J. Chmelik et al, Optik 83 (1989)155

T. Müller, M.S. thesis, TU Clausthal 1995

## Resolution with optimum aperture E-dependence at fixed $\Delta E = 0.5$ eV, $U_0 = 20$ keV



## $\Delta E$ -dependence at fixed $E = 10$ eV, $U_0 = 18$ kV magnetic triode



## Transmission

**limited by angle accepted by contrast aperture ( $r_A$ )  
in back focal plane**

**Axial distance (in back focal plane) of electron starting at angle  $\alpha_0$**

$$r \approx f \sin \alpha_0 \sqrt{E_0/E} \quad (f \text{ focal length})$$



$$\sin \alpha_0 \approx (r/f) \sqrt{E_0/E}$$

**Examples for  $f = 10 \text{ mm}$ ,  $E = 20000 \text{ eV}$ ,  $r_A = 10 \mu\text{m}$**

$E_0$	2 eV	200 eV
$\sin \alpha_0$	0.2	0.02
$\alpha_0$	11.5°	1.15°

**In emission microscopy (wide  $\alpha_0$  range) optimum resolution  
condition**

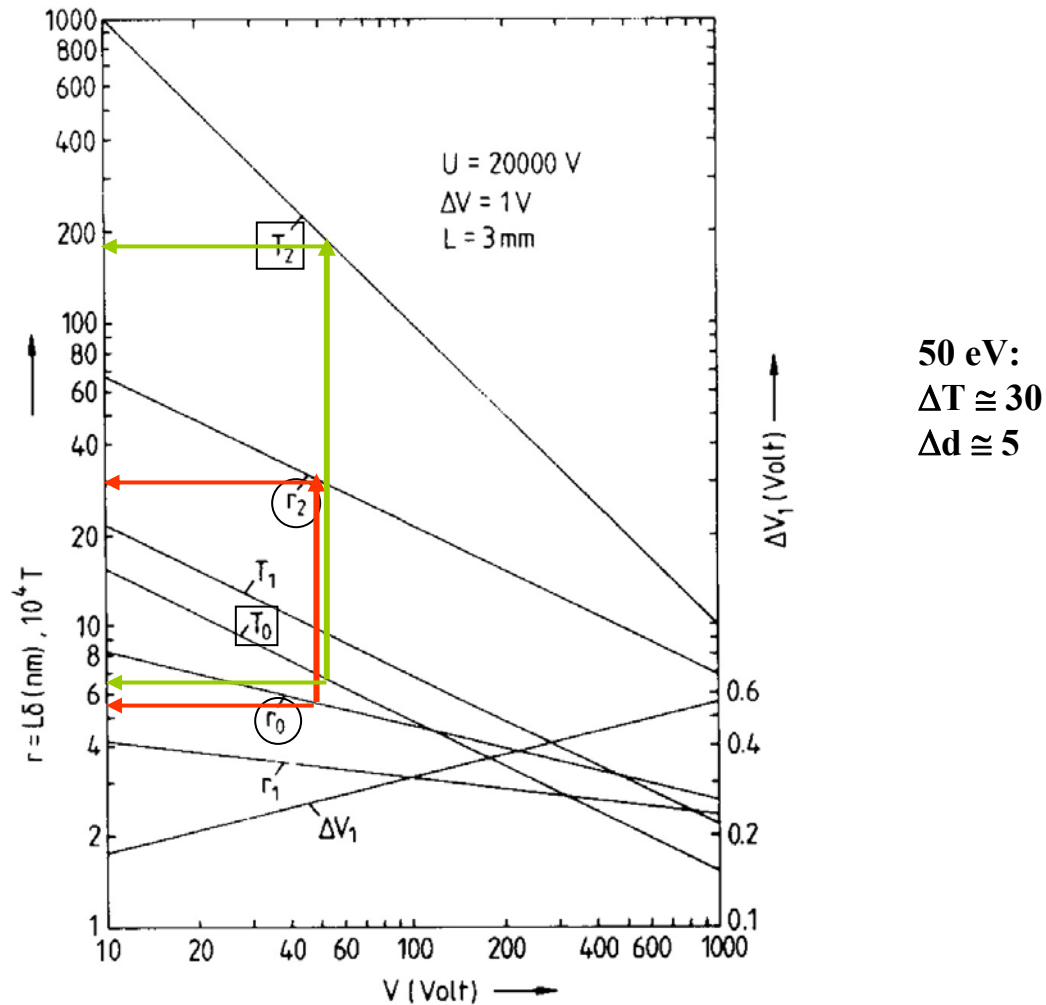
**reduces transmission  $T$ , therefore**

**optimize  $T^n/d^2$  instead of  $1/d^2$**

**For  $\cos \alpha$  distribution  $T = \pi \sin^2 \alpha_0$**

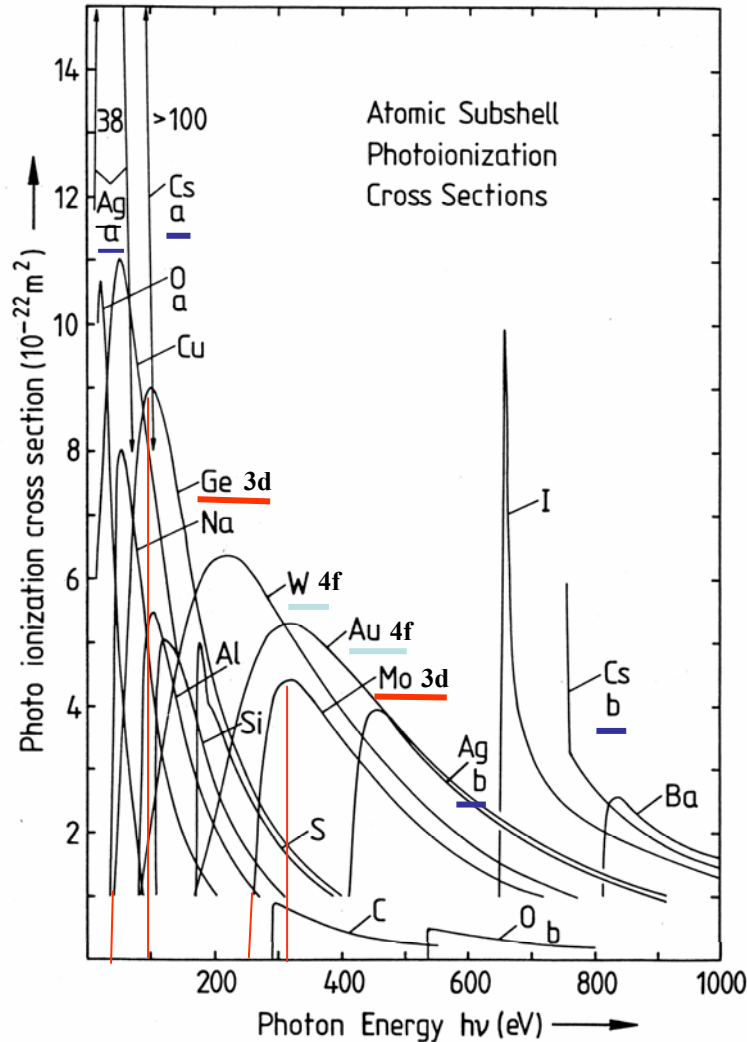
$$T^n/d^2 = \pi \sin^{2n} \alpha_0 / d^2$$

# Transmission $T_n$ , resolution $r_n$ of homogeneous field



# Photo ionization cross sections

## Photon energy selection



$E \cong 50 - 100 \text{ eV}$

### Binding energies (eV)

**Ge 3d** 29.8, 29.2

**Mo 3d** 231.1, 227.9

**W 4f** 33.6, 31.4

**Au 4f** 87.6, 84.0

### Ag

a **4d**  $\approx 5$

b **3d** 374.0, 368.3

### Cs

a **4d** 79.8, 77.5

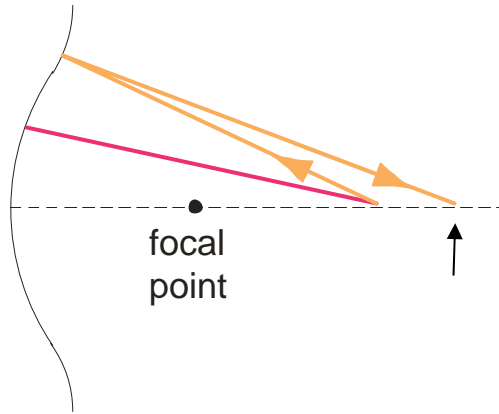
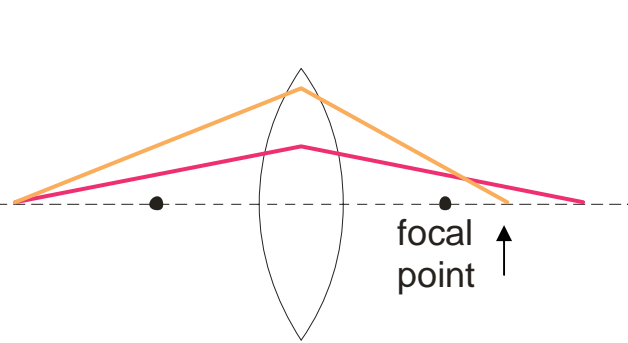
b **3d** 740.5, 726.6

J.J. Yeh and I. Lindau,  
Atomic Data 1985

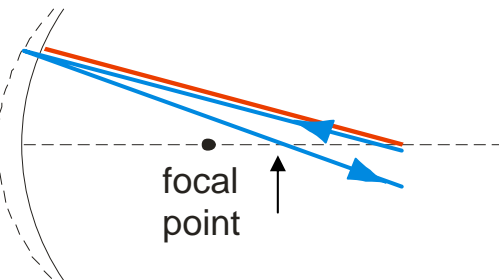
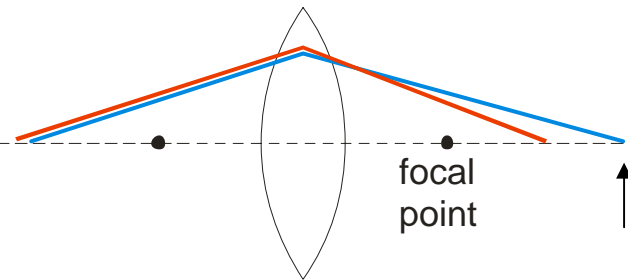
# Aberration correction in electron optics

Round **convex** lenses

**electrostatic mirror**

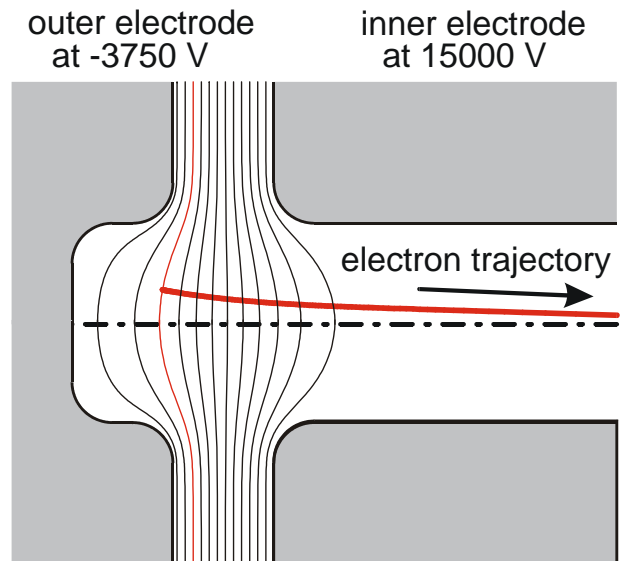


Spherical aberration



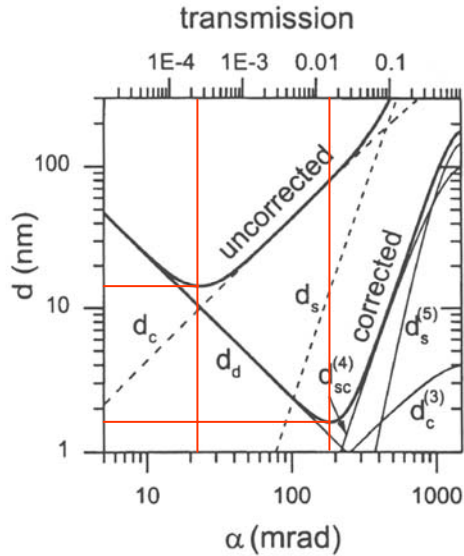
Chromatic aberration

Equipotential surfaces  
in a diode mirror



# Resolution and transmission improvement with aberration correction

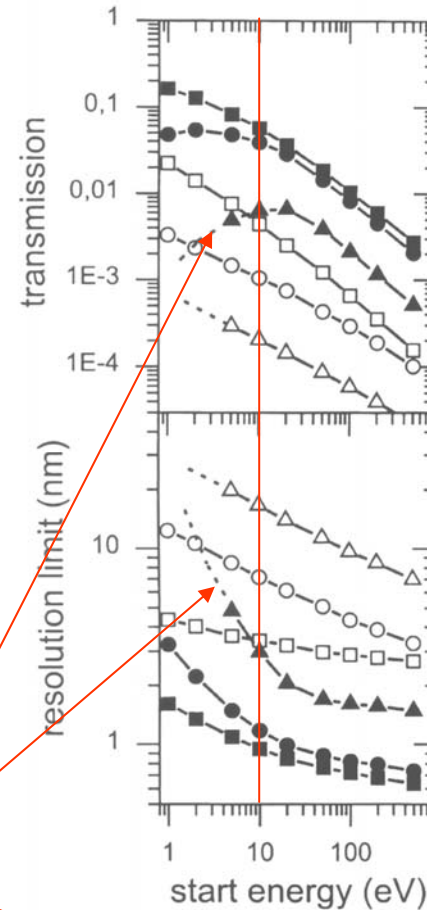
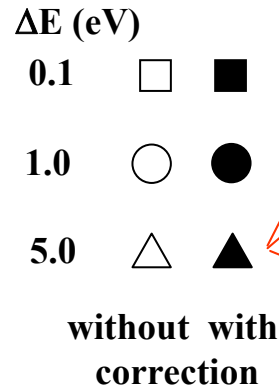
## Example: SMART



$E_0 = 10$  eV,  $\Delta E = 2$  eV,  $F = 5$  kV/mm

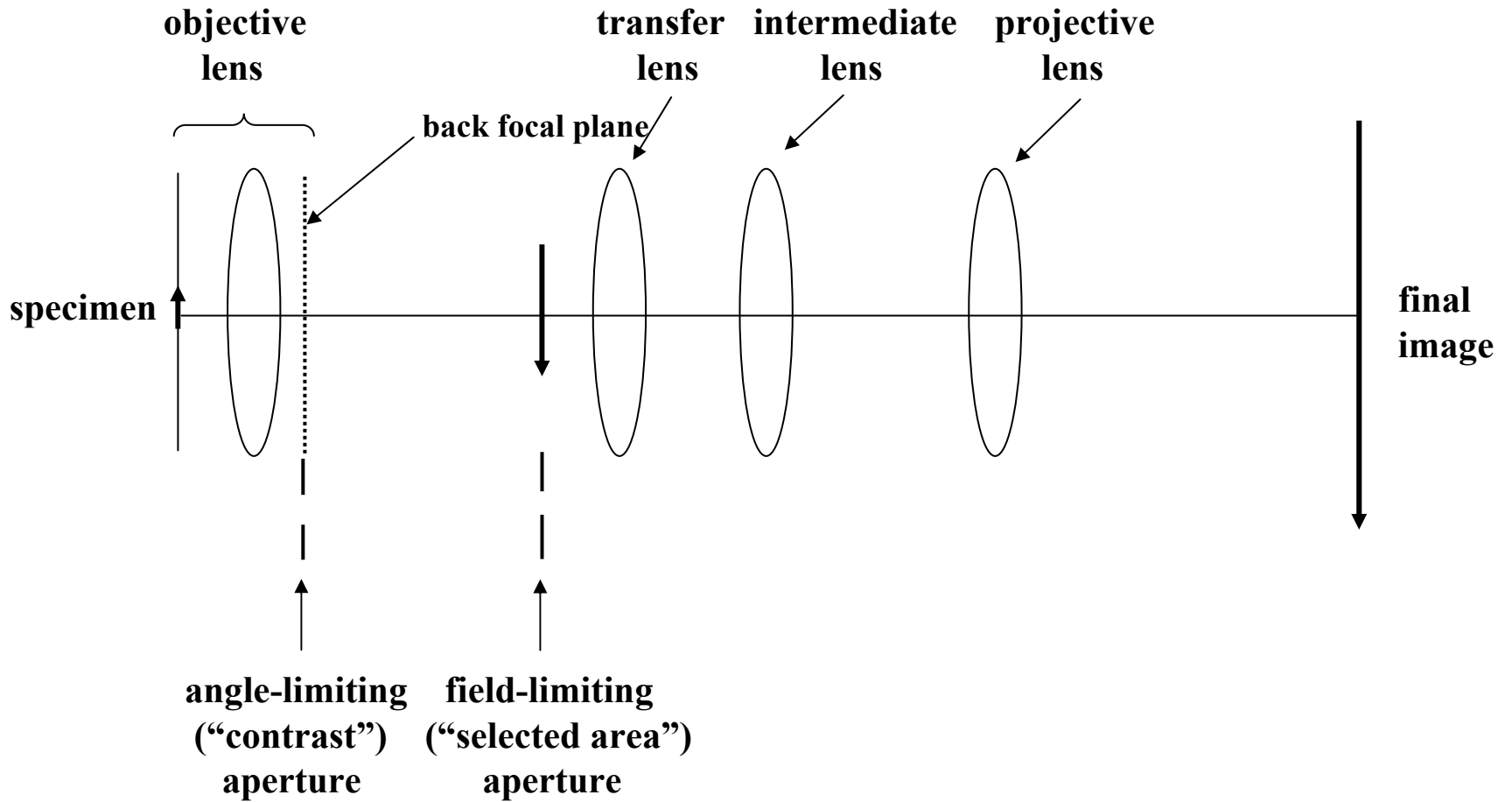
$\Delta d \cong 1/5$ ,  $\Delta T \cong \underline{600}$

Calculations: D. Preikszas  
From Th. Schmidt et al,  
Surf. Rev. Lett. 9 (2002) 223

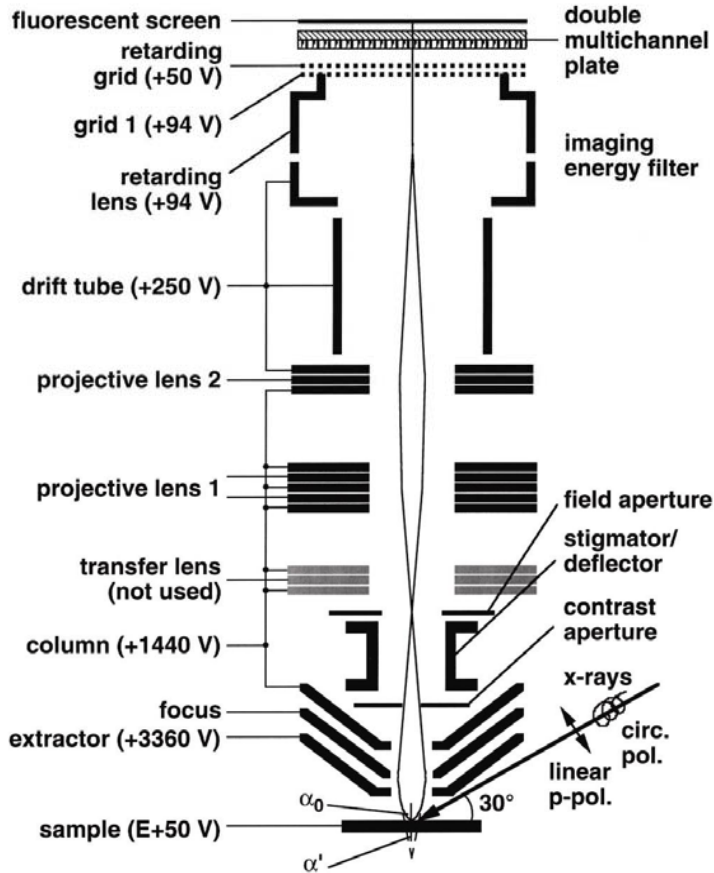


**Energy filter needed for secondary electrons**

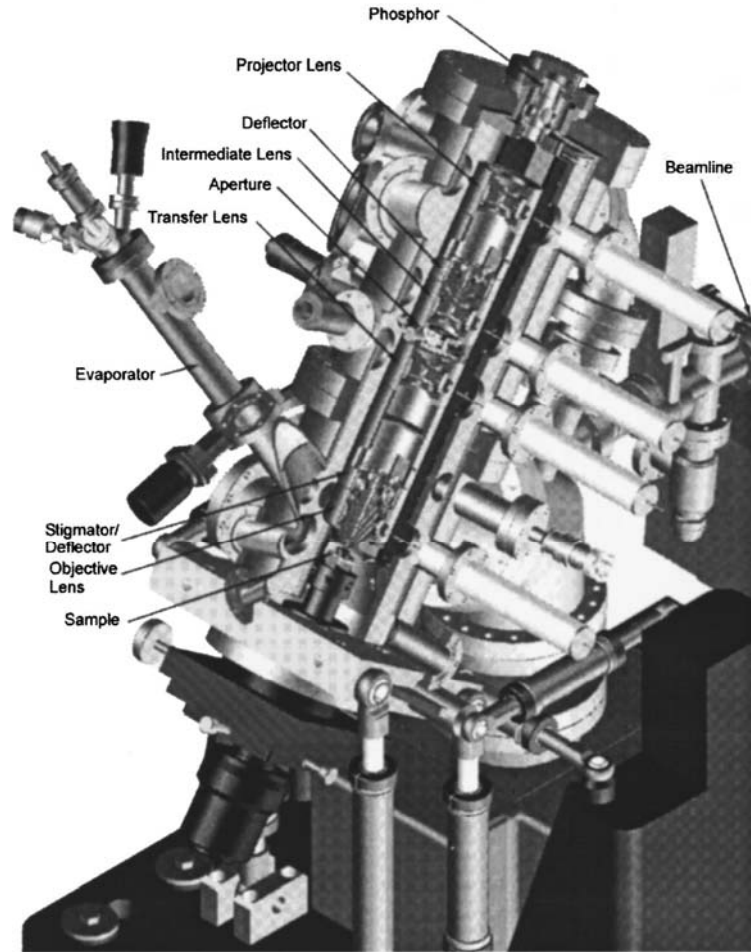
# Basic PEEM schematic



# Electrostatic PEEM examples



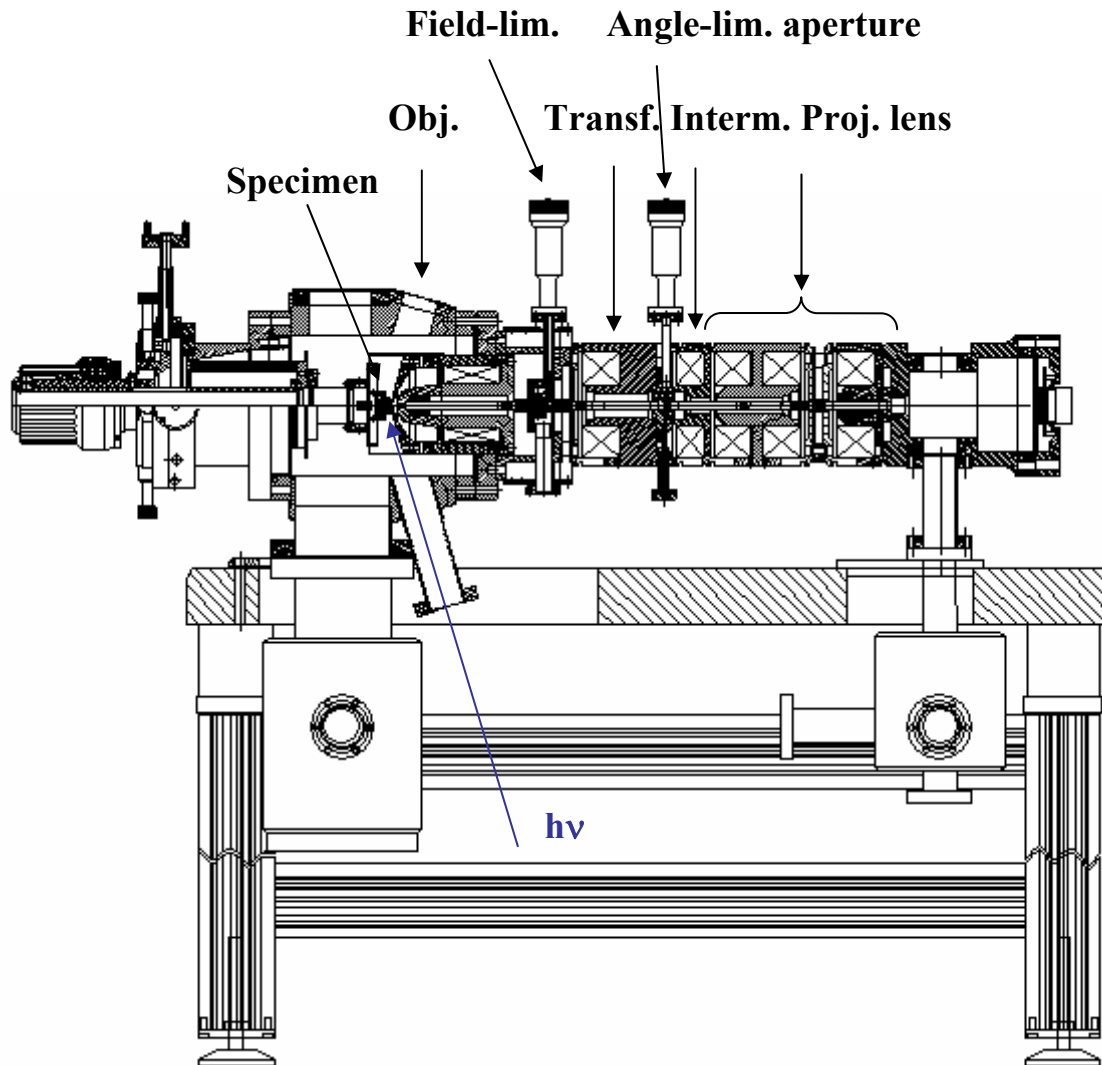
**Focus PEEM  
with high pass filter**



**ALS PEEM II**

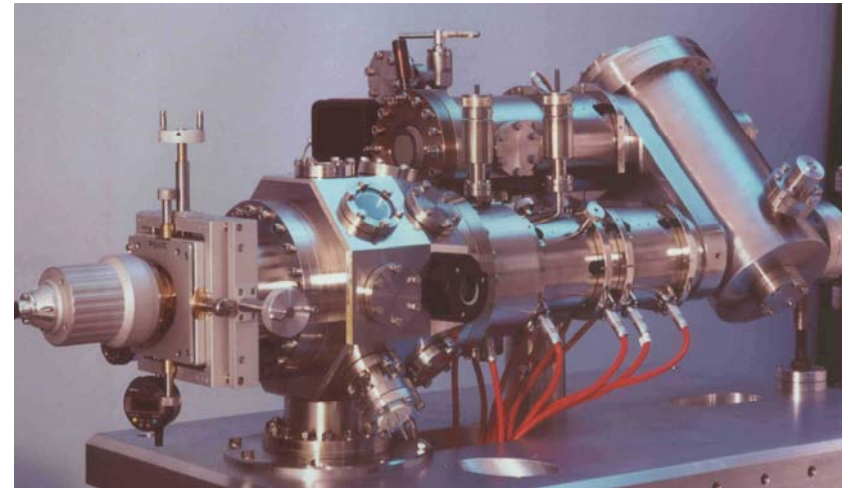
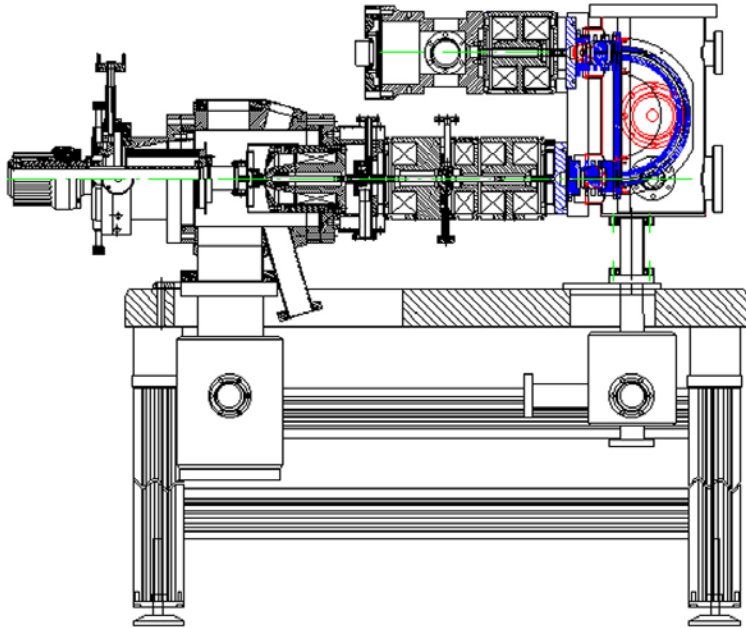


# Magnetic PEEM (ELMITEC)



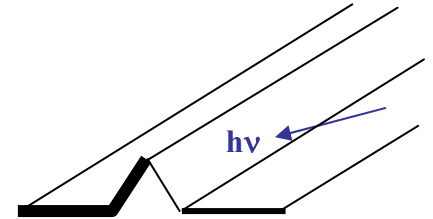
# Spectroscopic PEEM with band pass filter

ELMITEC



## Contrast mechanisms

- 1 **Topographic contrast due to oblique illumination and field distortion**
- 2 **Work function contrast at low  $E_0$  (escape probability!)**
- 3 **Chemical contrast due to inner shell ionization**
- 4 **Magnetic contrast via XMCD and XMLD**

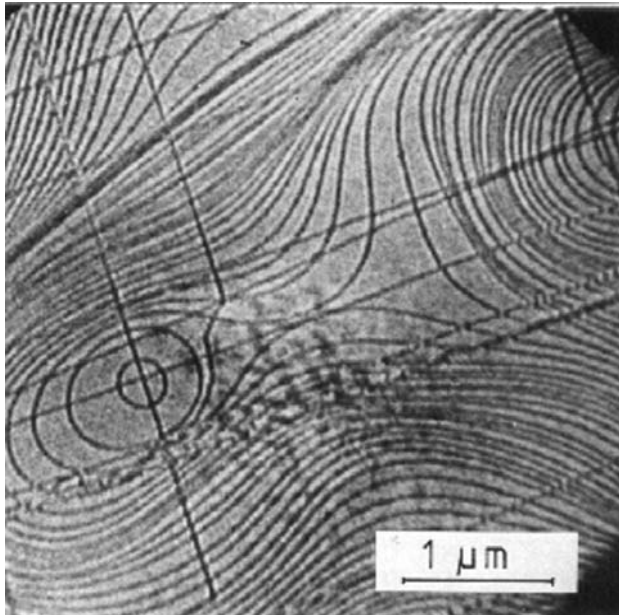


**No structural contrast, therefore combination with  
Low Energy Electron Microscopy  
(LEEM)**

# The usefulness of LEEM

## Properties not visible with PEEM, but with LEEM

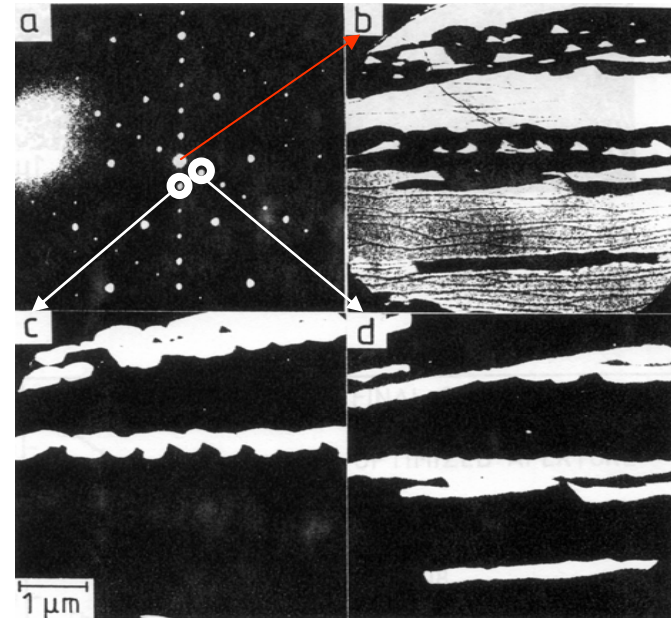
atomic steps



Mo(110)

**Interference contrast**

domain orientations



Au( $\sqrt{3}\times\sqrt{3}$ )-R30° + Au(5×2) on Si(111)

b

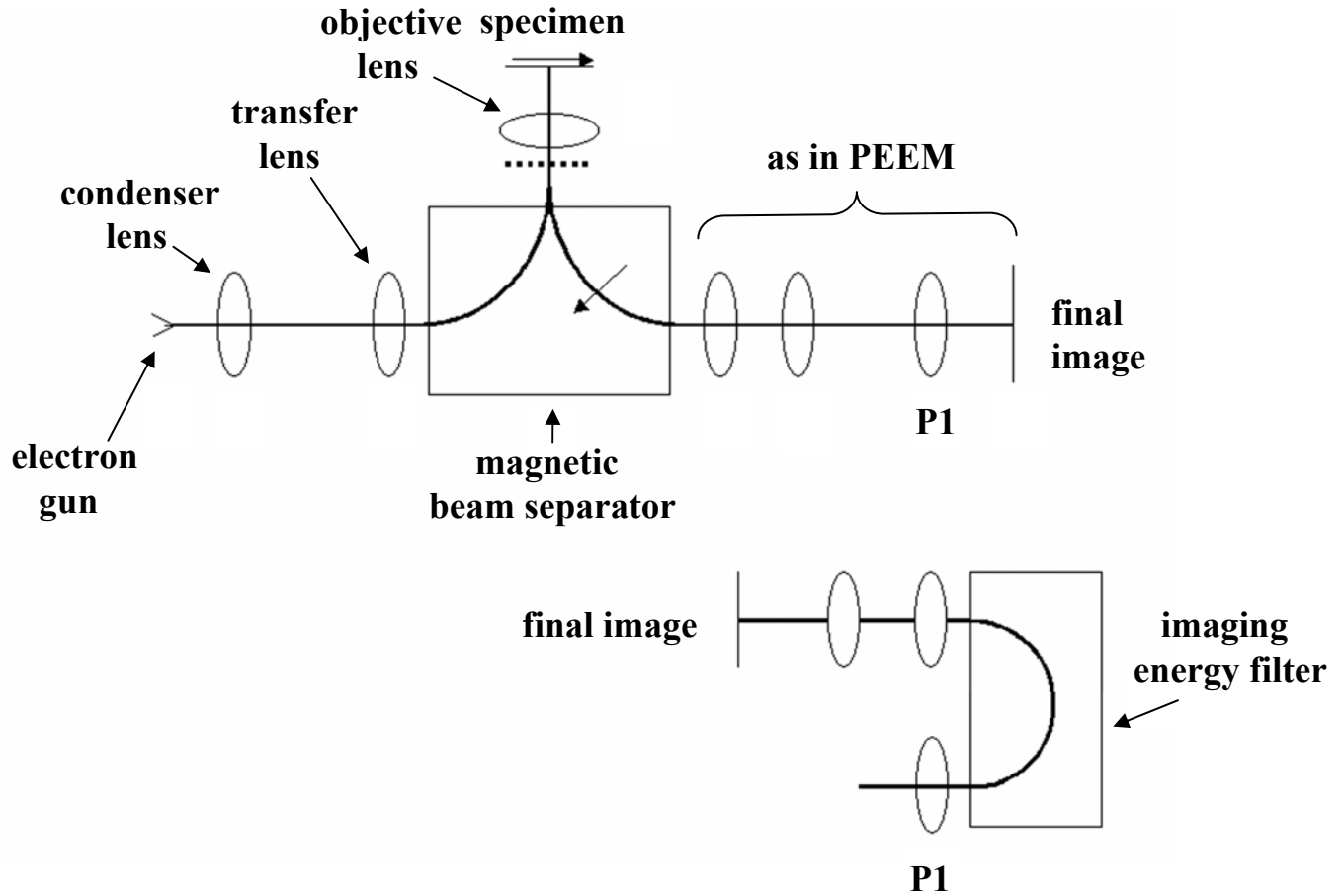
c,d

**Diffraction contrast**

LEEM also much brighter and better resolution ⇒ use for focusing in XPEEM

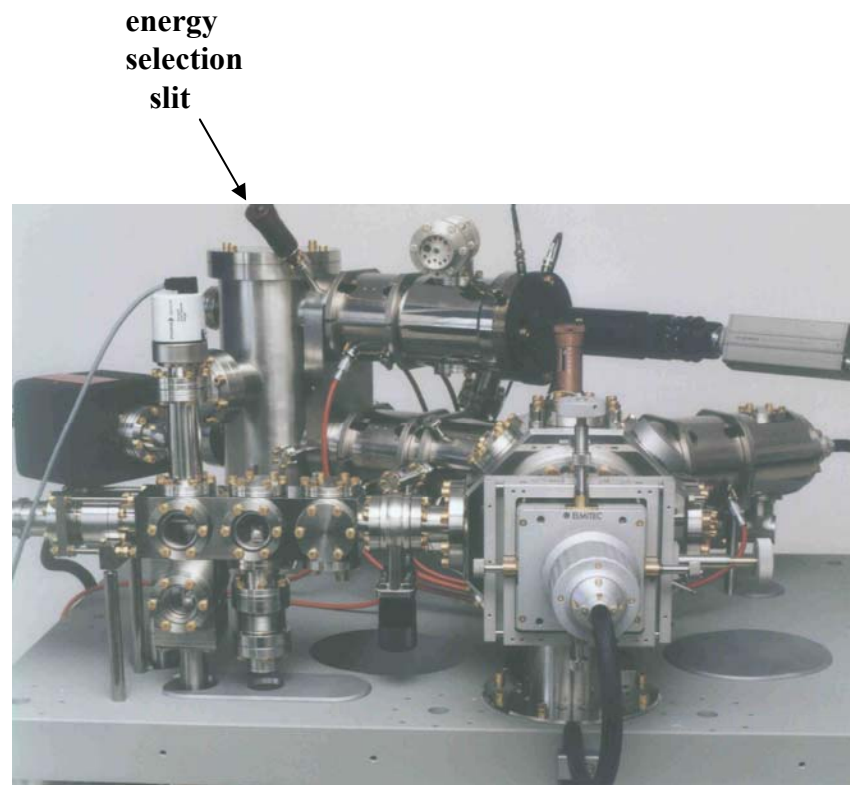
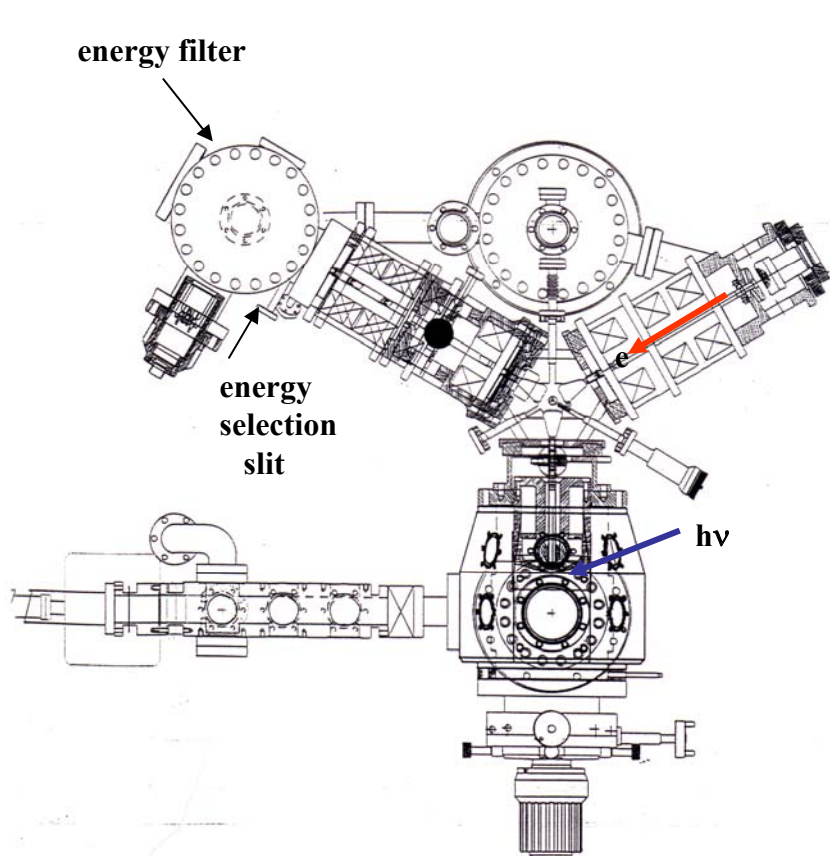
LEED much easier to interpret than PED ⇒ use for structure analysis

# Basic LEEM schematic

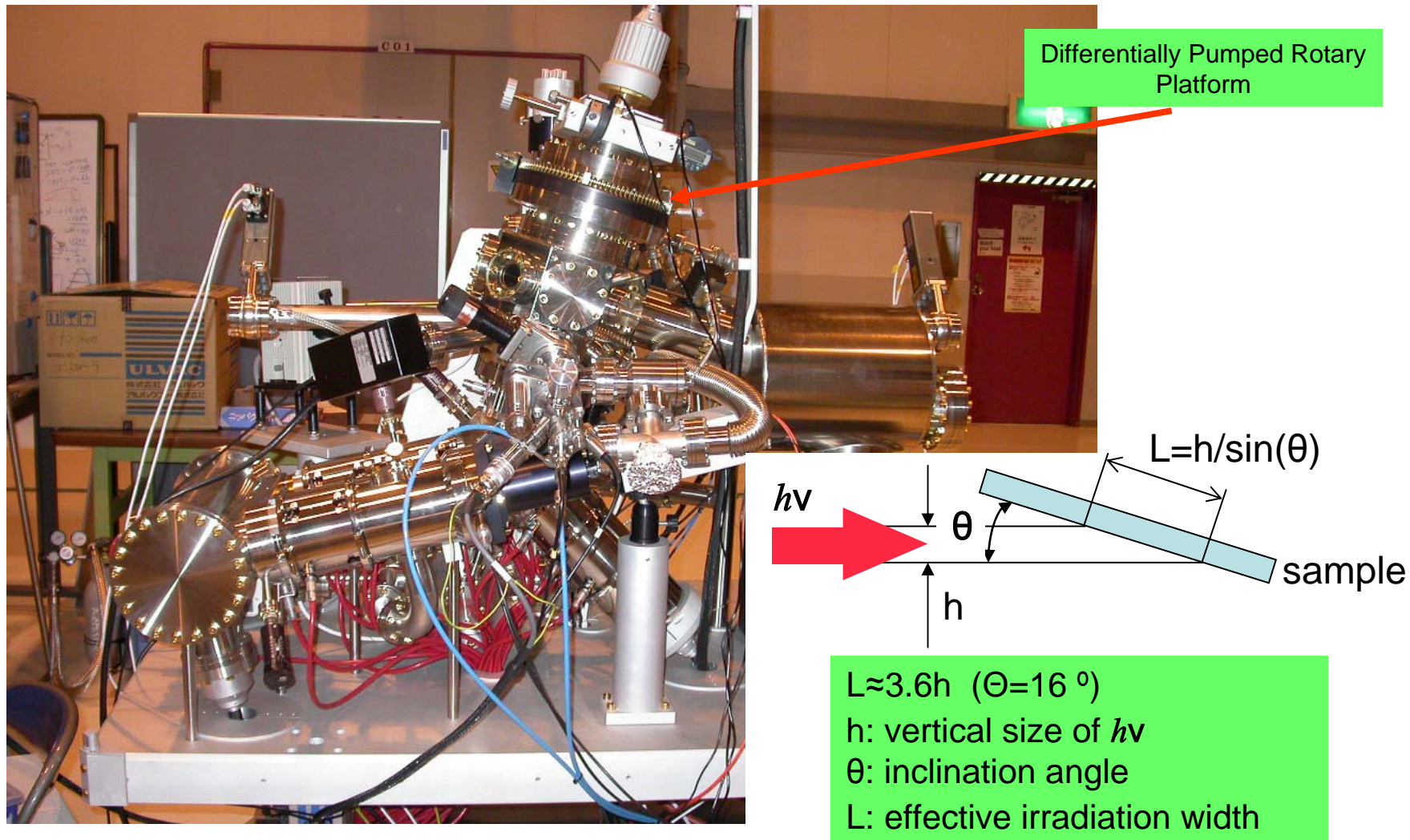


# Spectroscopic Photo Emission and Low Energy Electron Microscope

## SPELEEM ELMITEC

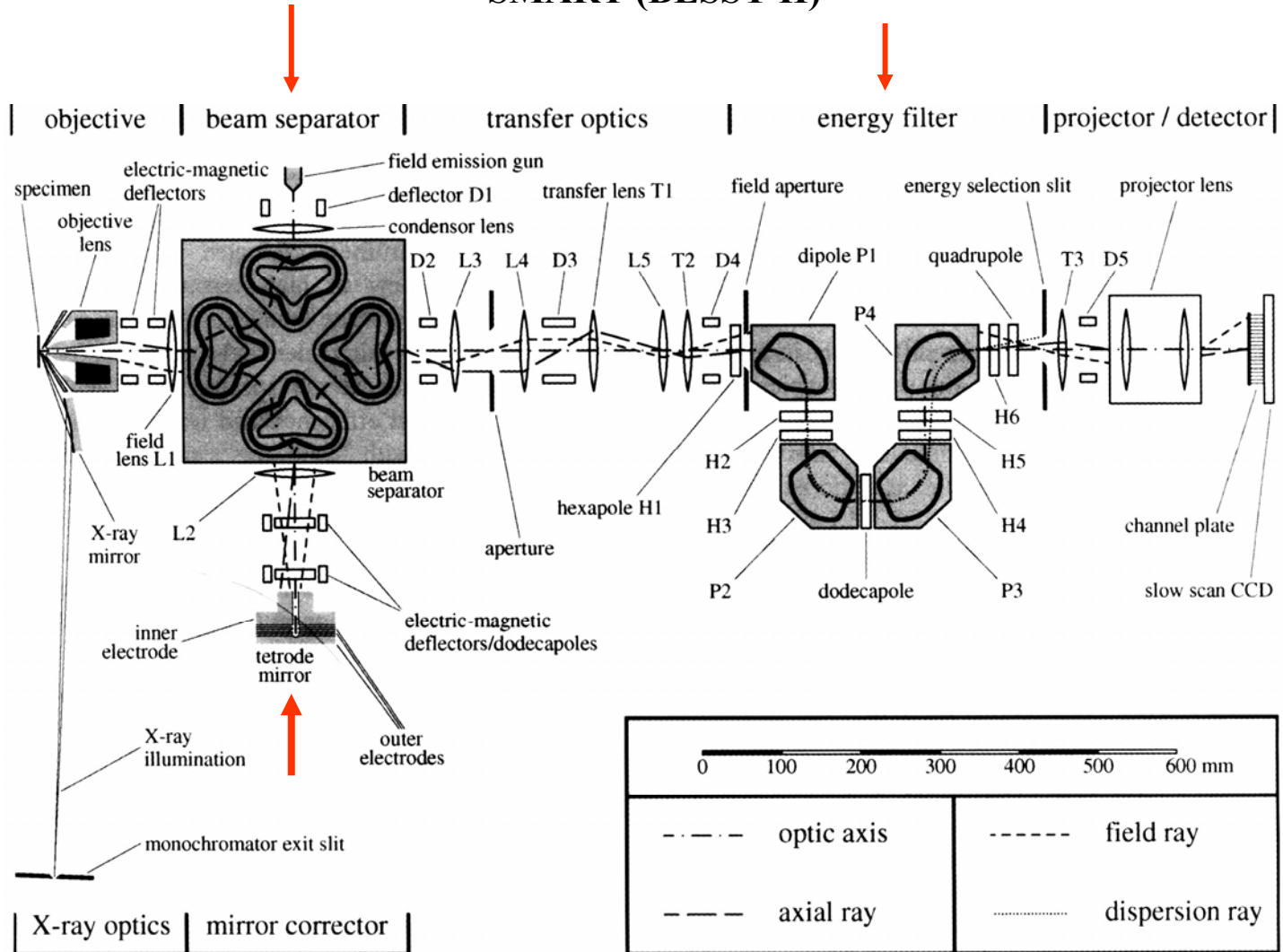


# SPELEEM side view



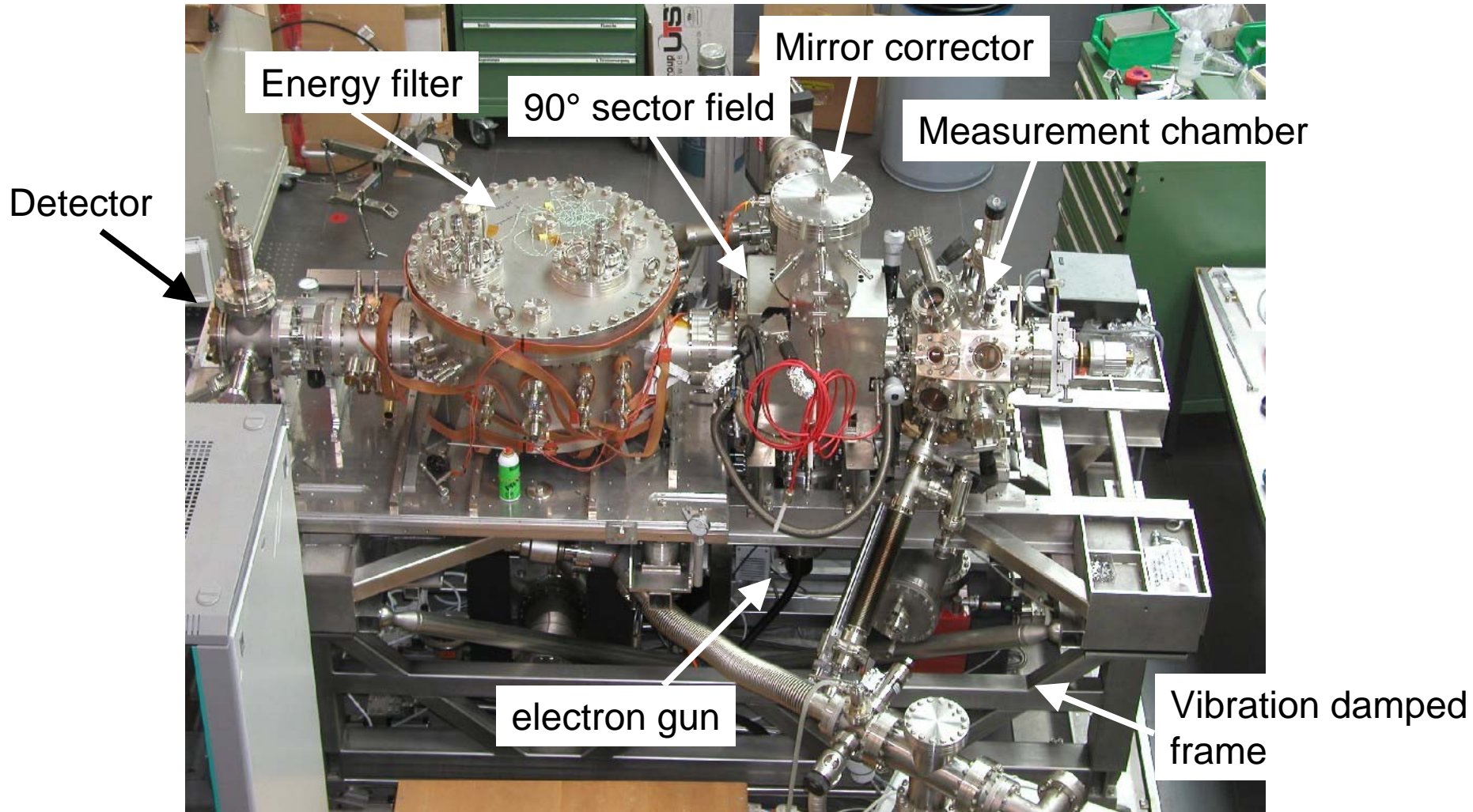
# Aberration-corrected SPELEEM

## SMART (BESSY II)

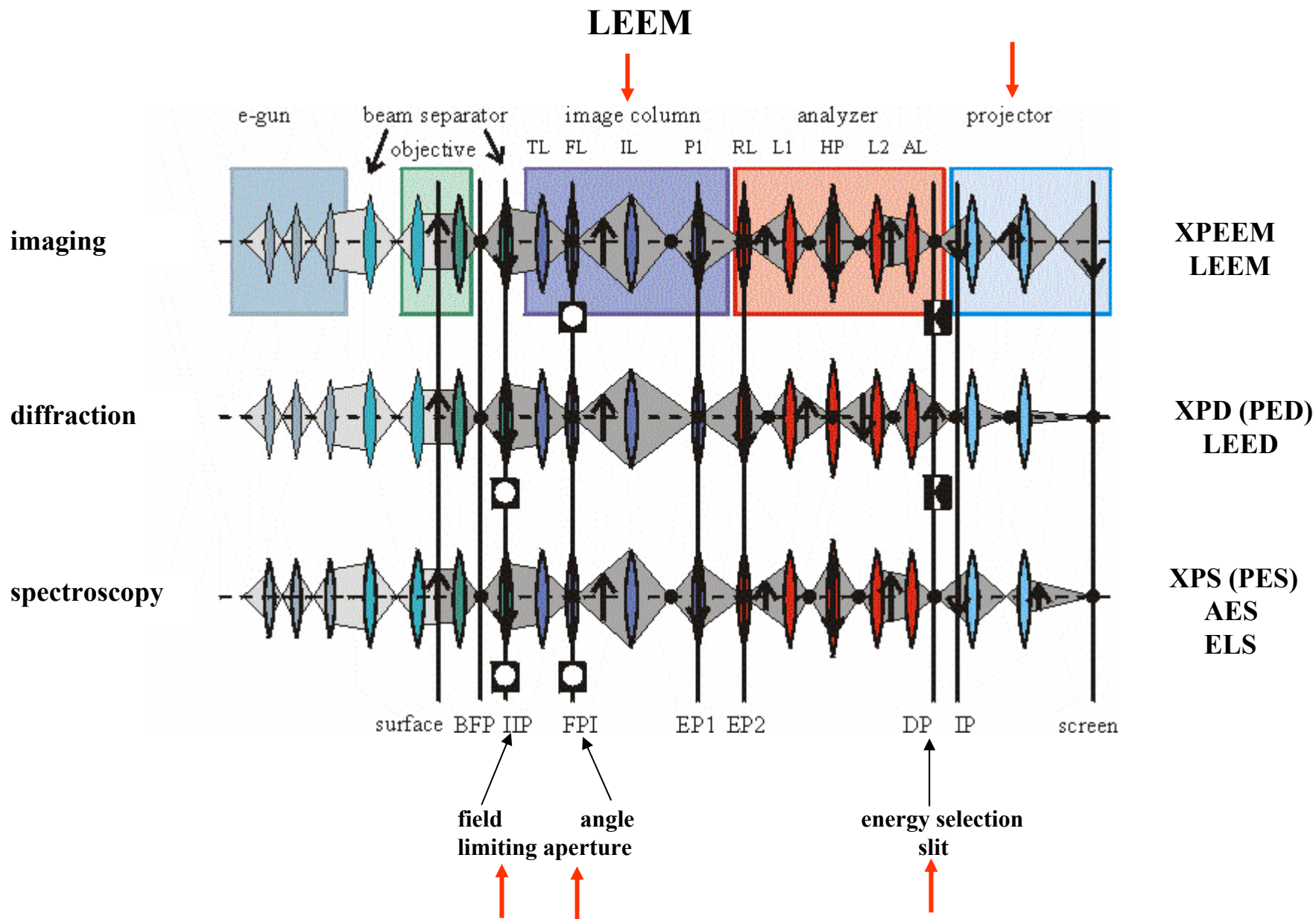




# SMART top view

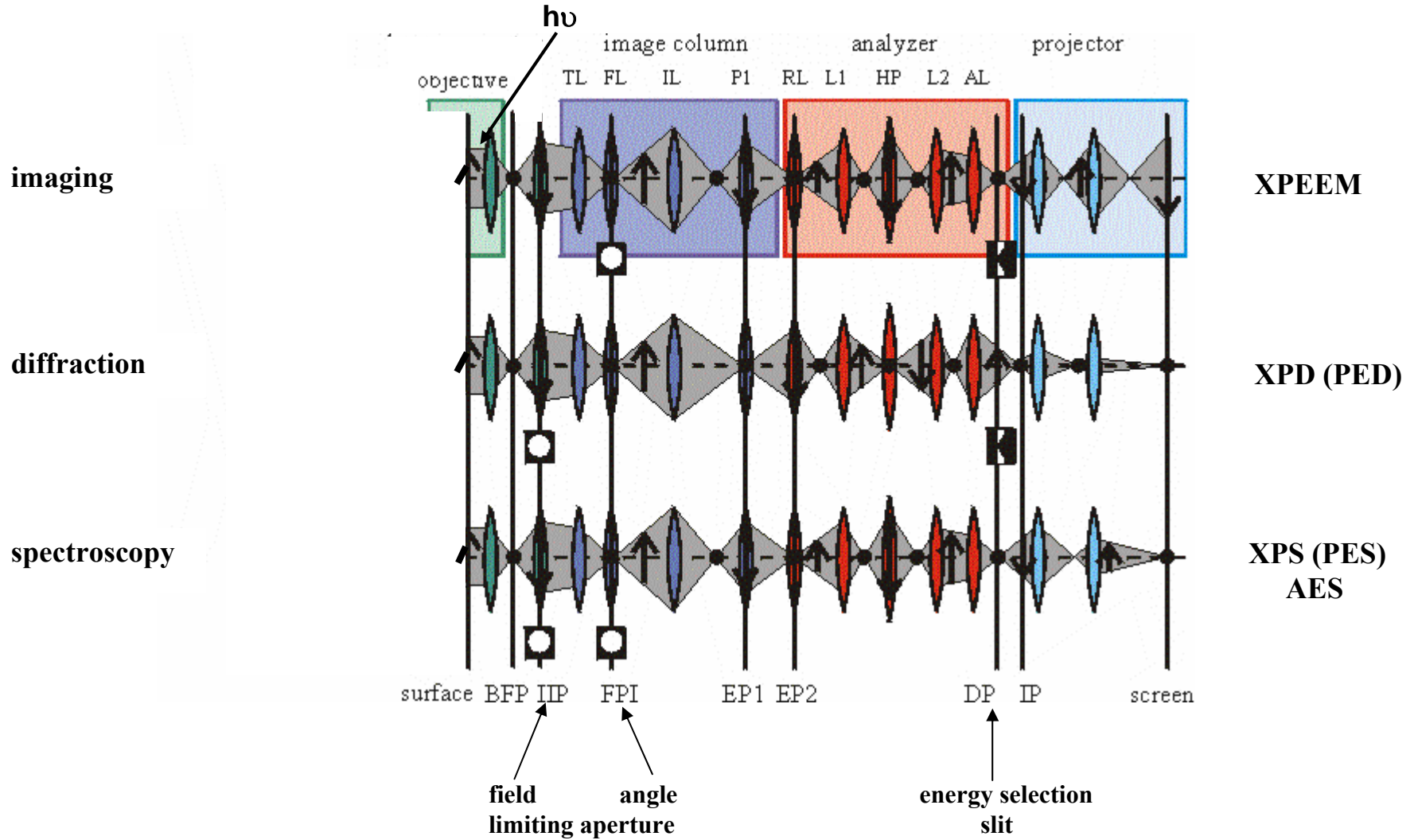


# Operation modes of a SPELEEM

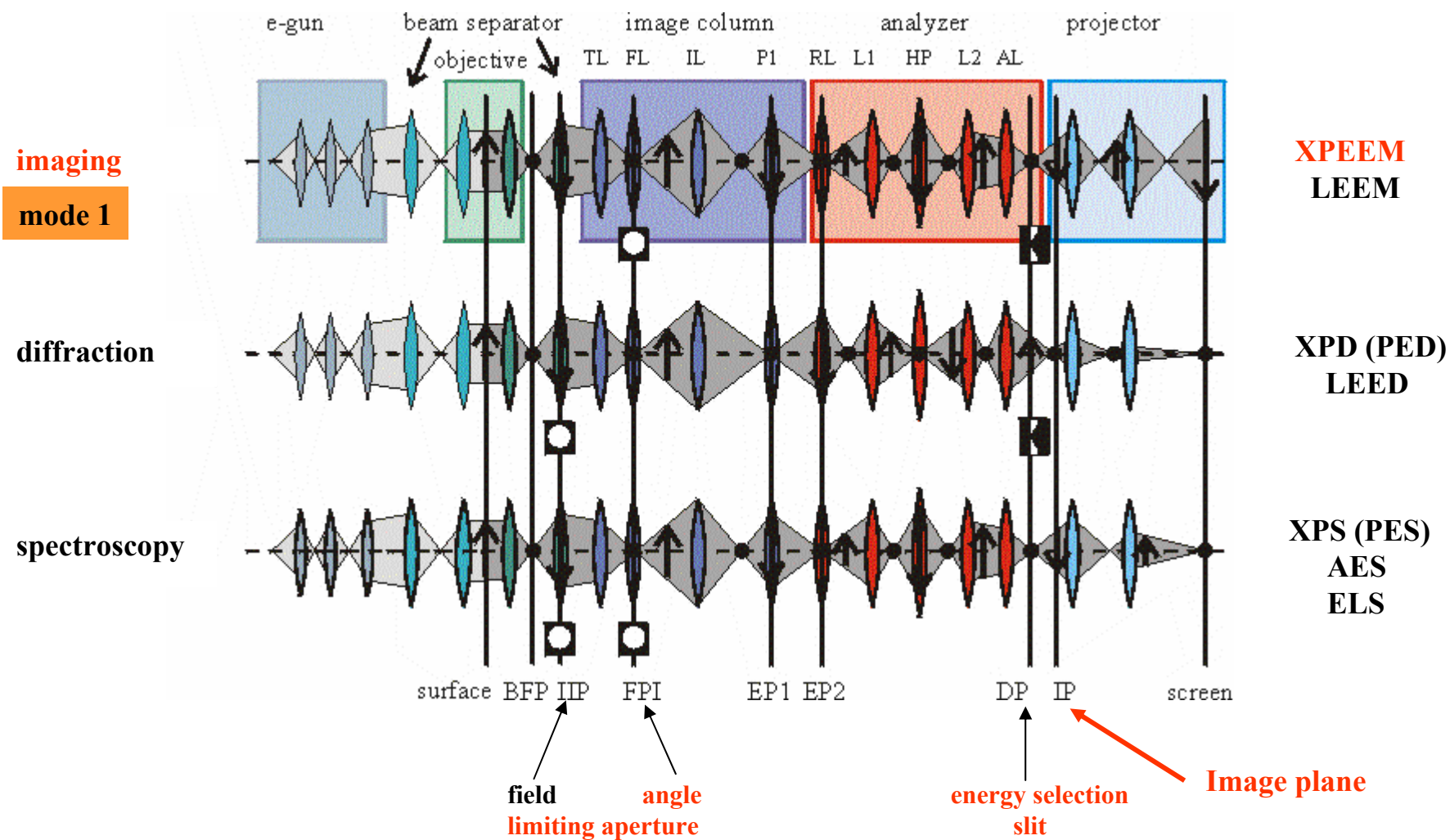


# Operation modes of a SPELEEM

## PEEM



# Operation modes of a SPELEEM



# Chemical imaging (mode 1)

**secondary electrons**  
spatial resolution

$$\sigma_{\text{Ag}4d} \approx 5 \sigma_{\text{W}5d}$$

$$h\nu = 65 \text{ eV} \gg E_i < 10 \text{ eV}$$

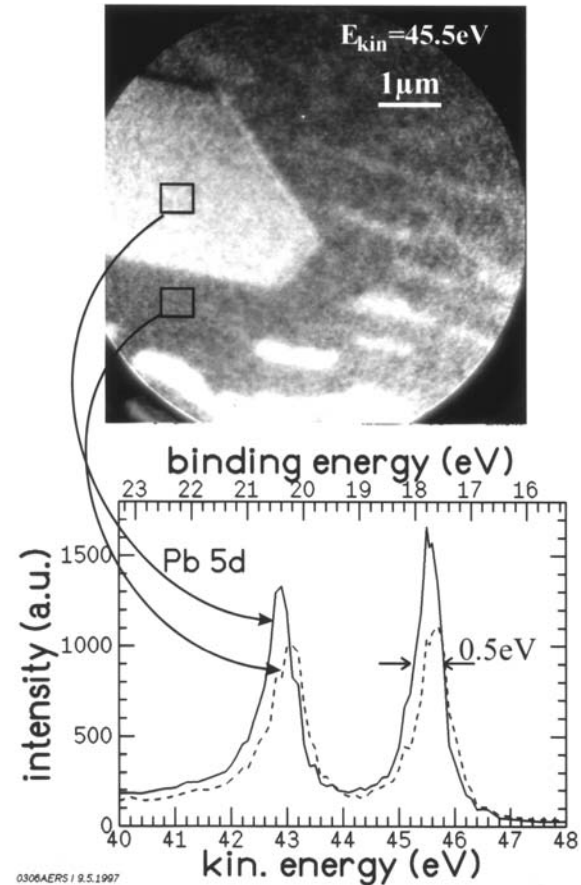
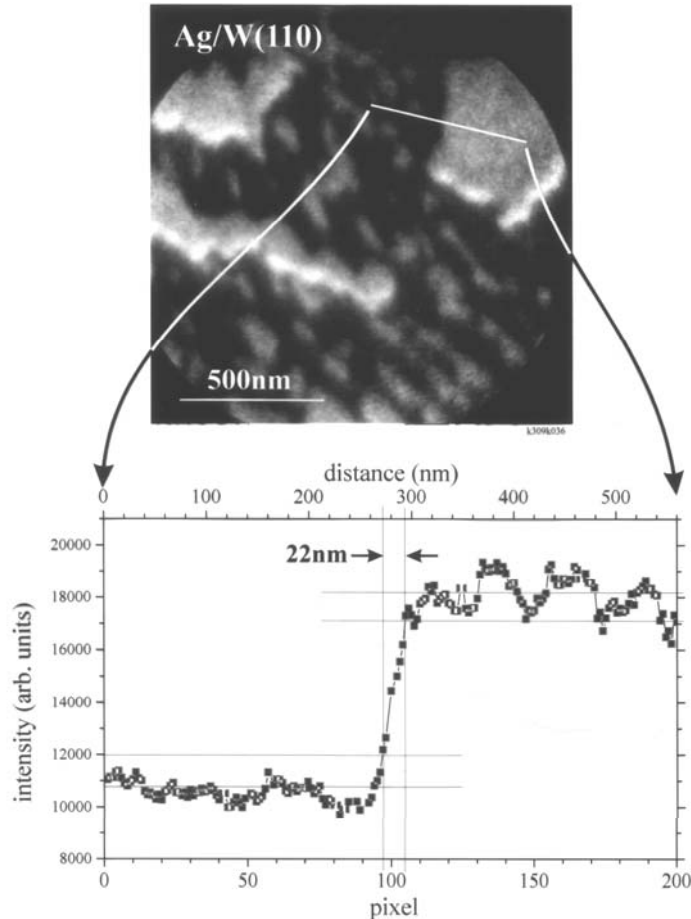
$$\Delta E_F \leq 1 \text{ eV}$$

**photo electrons**  
energy resolution

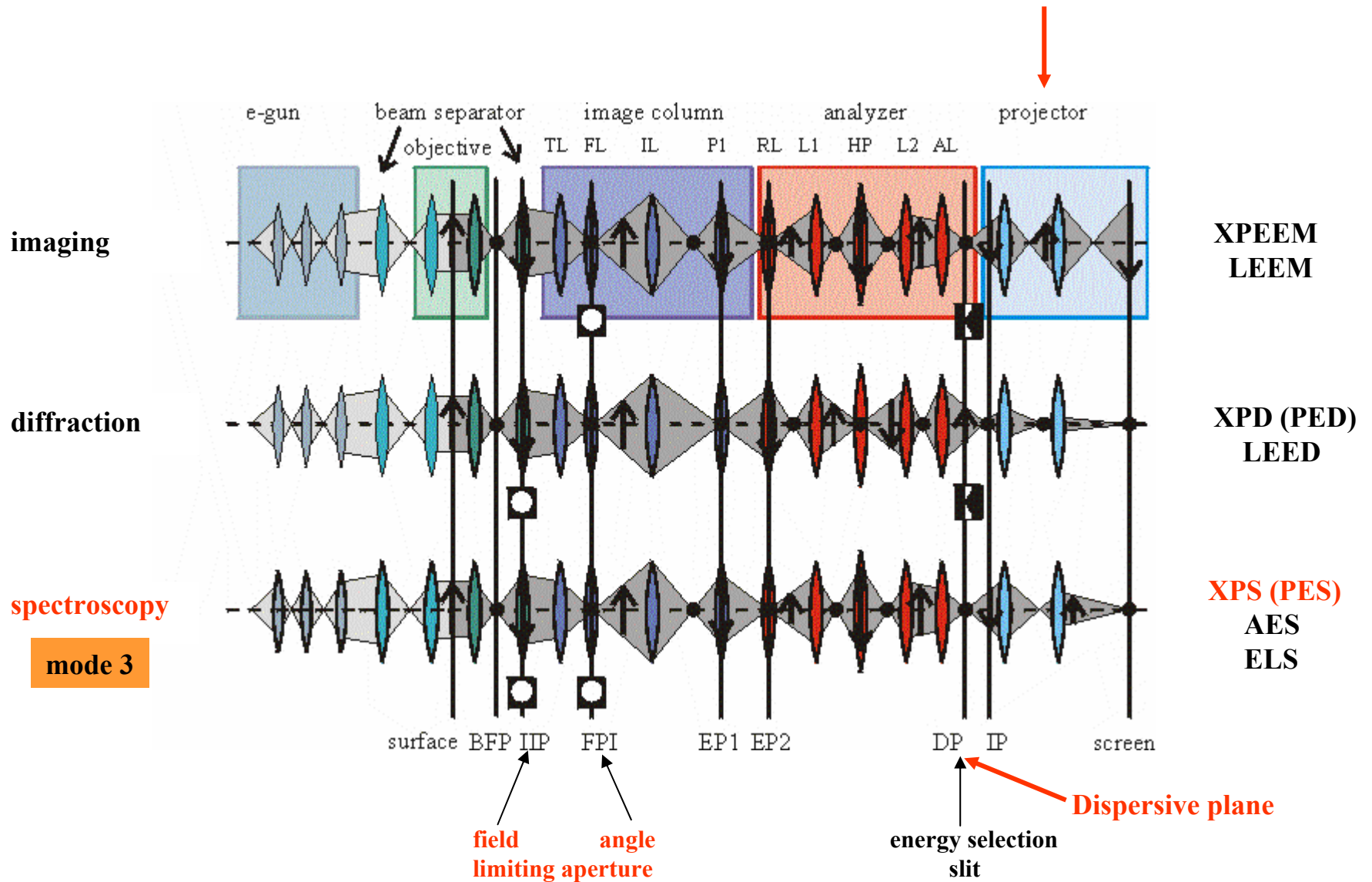
$$h\nu = 65 \text{ eV, images in } 0.2 \text{ eV steps}$$

$$10\text{-}60 \text{ sec/image } 0.25 \mu\text{m}^2 \text{ areas}$$

$$\Delta E_F \leq 0.5 \text{ eV, } \Delta E_{\text{chem}} \approx 0.15 \text{ eV}$$

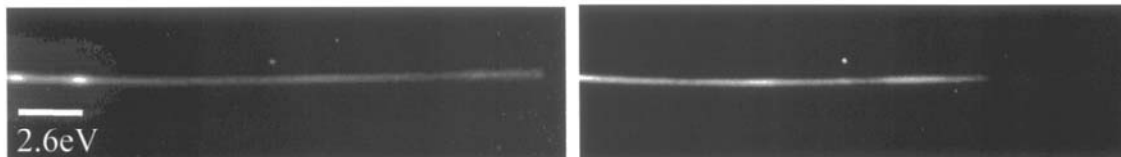


# Operation modes of a SPELEEM

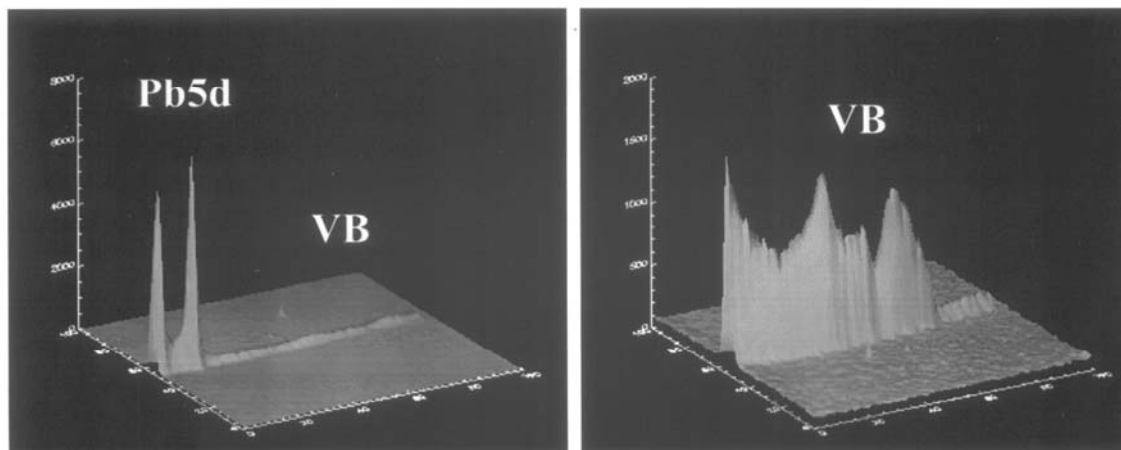


# Fast local spectroscopy by imaging the dispersive plane (mode 3)

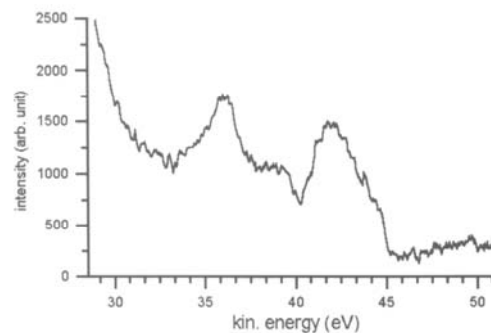
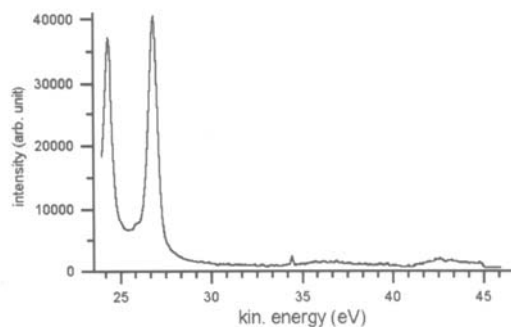
$\alpha = 8^\circ$  (contrast aperture),  $0.8\mu\text{m}^2$  area (selected field aperture)  
20 eV full dispersion, 60 sec  
 $h\nu = 48\text{ eV}$



Dispersive plane



8 monolayers  
Pb on Si(111)-  
 $\sqrt{3} \times \sqrt{3}$ -Ag

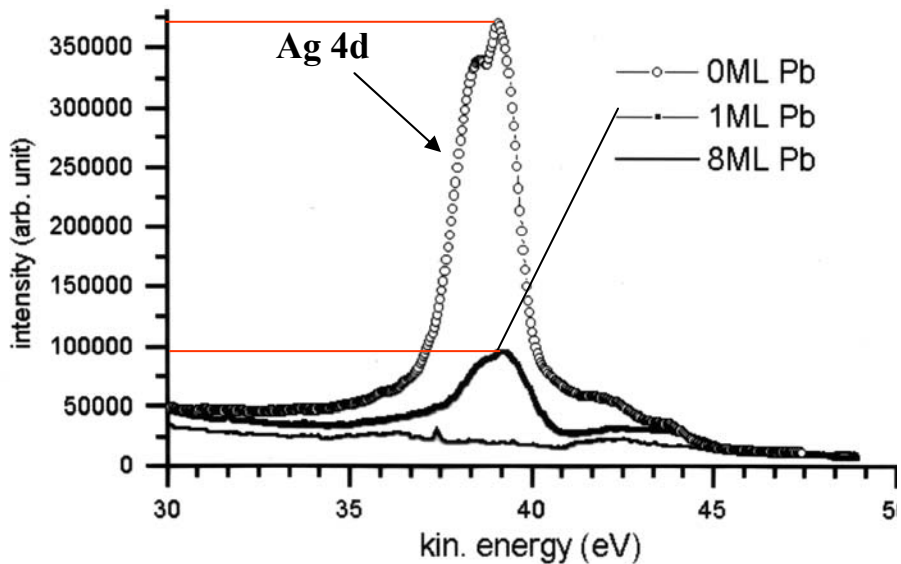


# Surface sensitivity of photo electrons

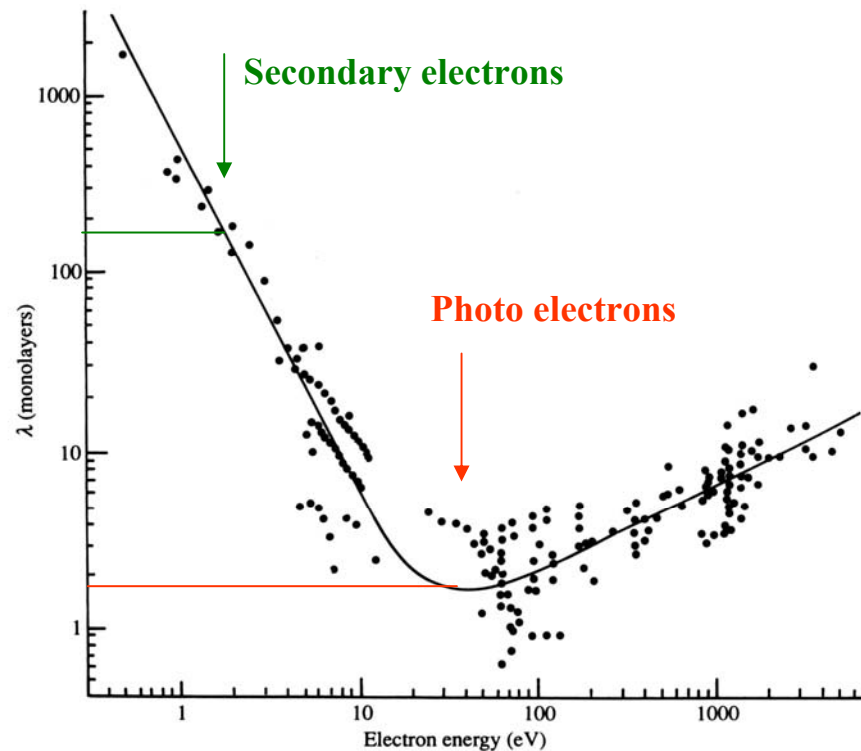
versus secondary electrons

valence band region

$h\nu = 48 \text{ eV}$



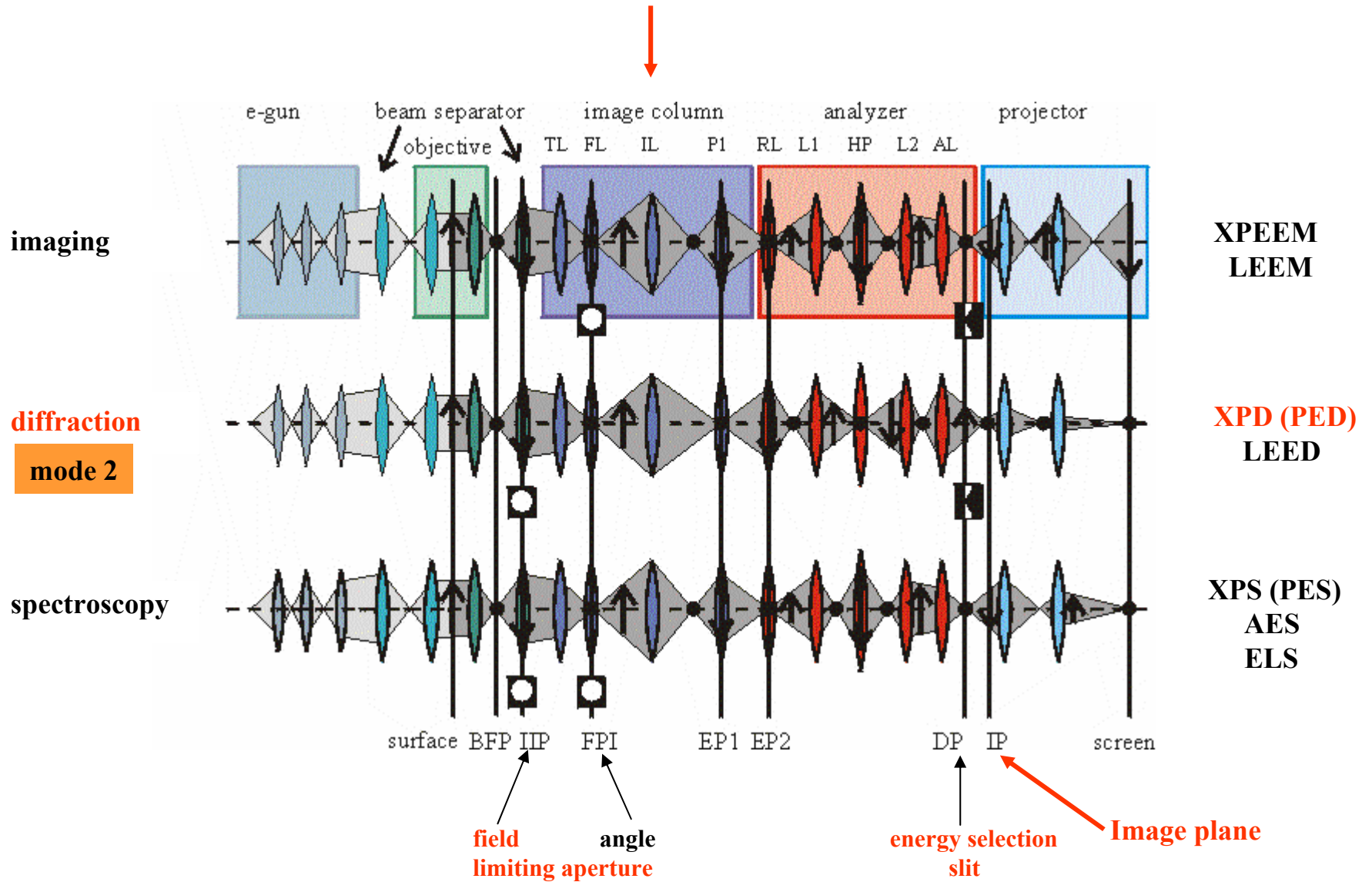
Pb on Si(111) – Ag ( $\sqrt{3} \times \sqrt{3}$ ) – R30°  
(1 monolayer Ag)



Inelastic mean free path  
("universal curve")  
determines sampling depth



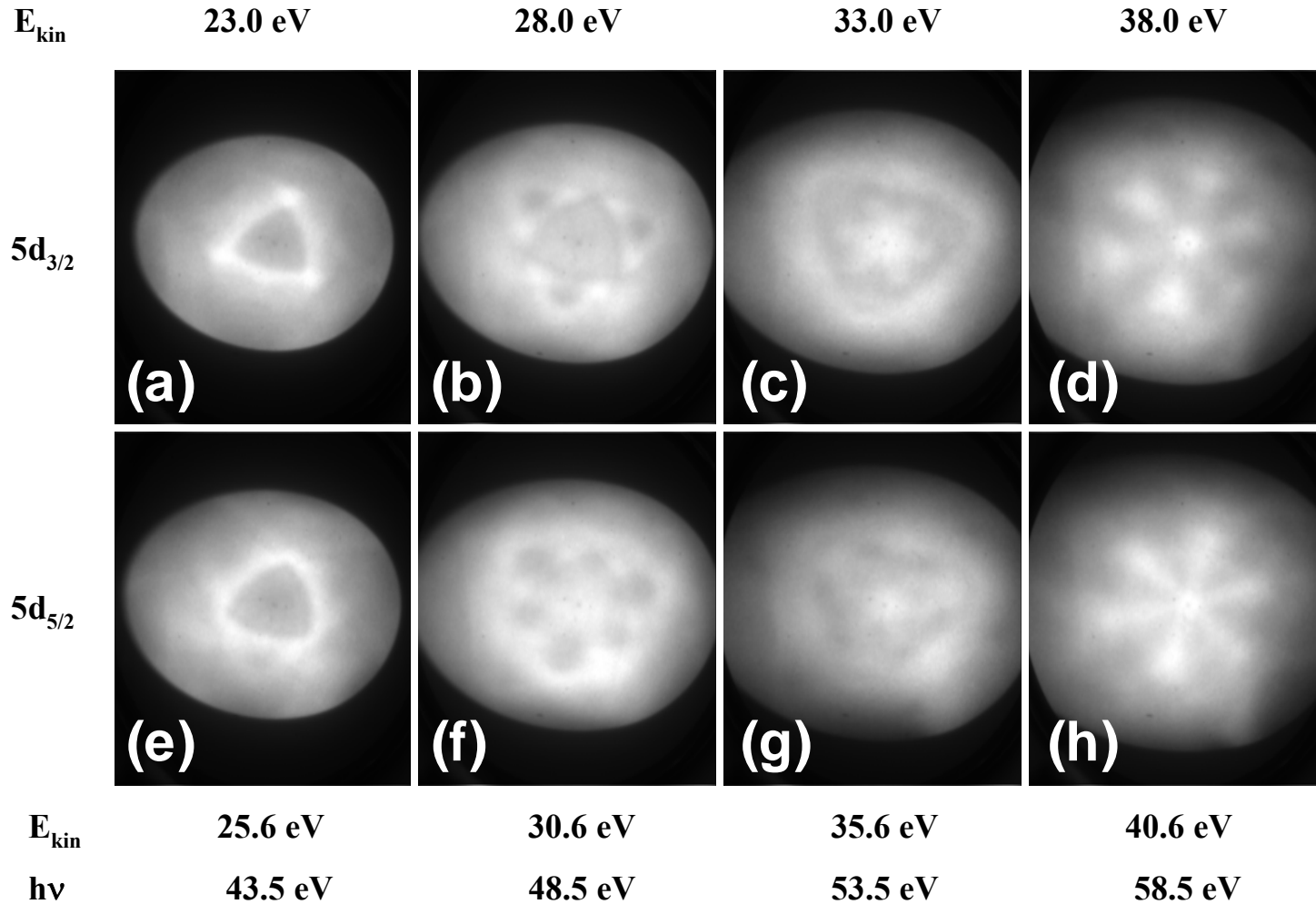
# Operation modes of a SPELEEM



# Local photo electron diffraction (mode 2)

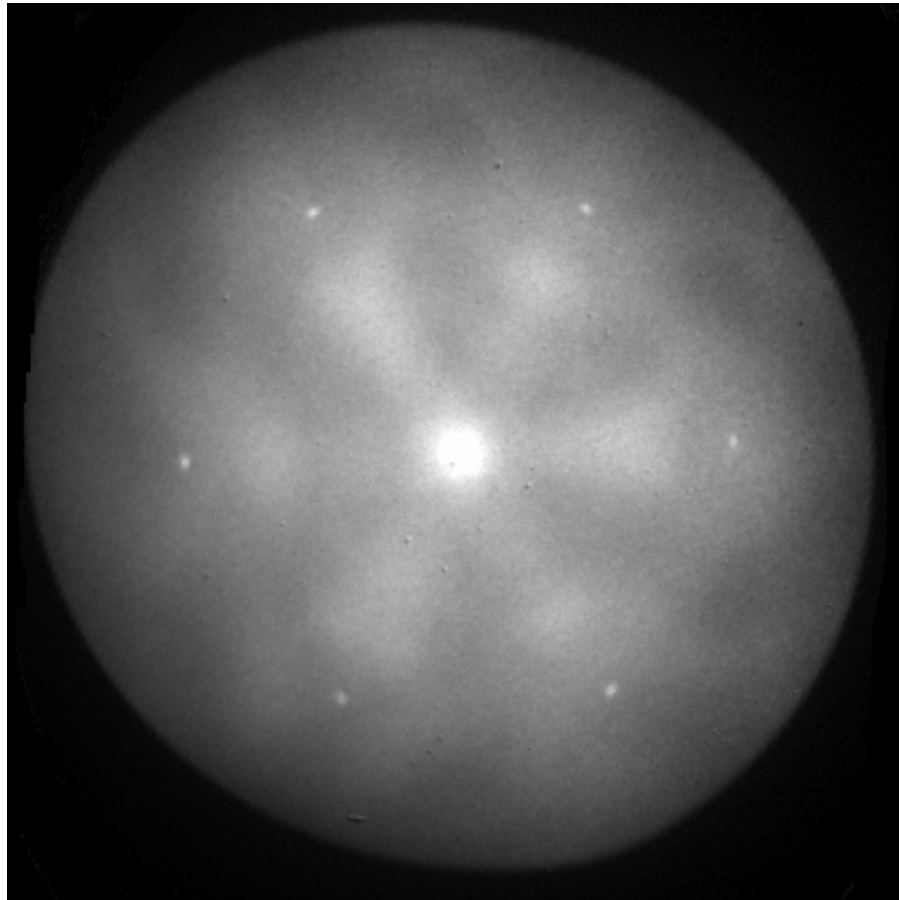
Pb 5d photo electrons

from 0.8  $\mu\text{m}^2$  area (selected field aperture)



# Simultaneously acquired PED and LEED pattern

Pb 5d 38 eV

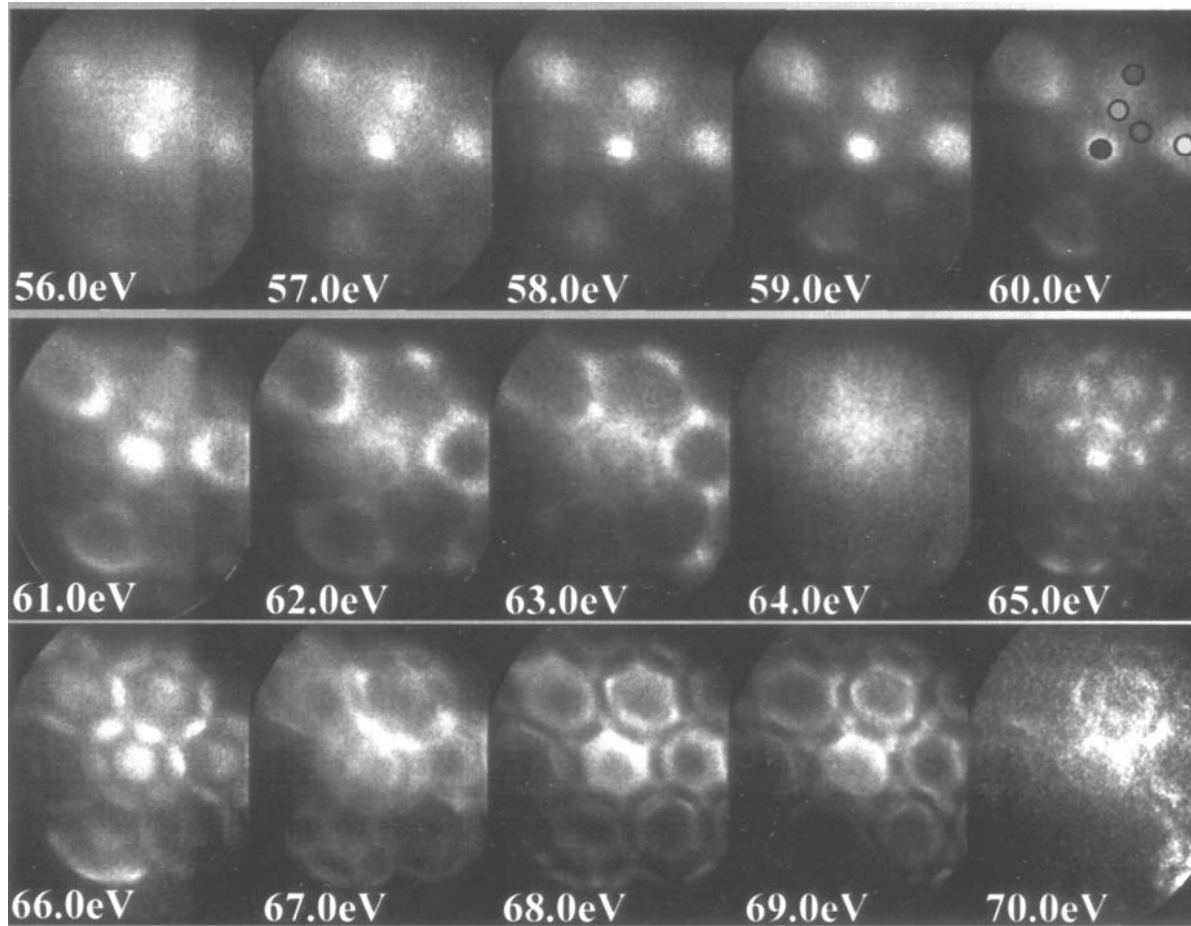


## Local band structure analysis (mode 2)

### Conduction band of Pb(111)

5 Pb monolayers on Si(111) – Au  $\sqrt{3}\times\sqrt{3}$  – R30°

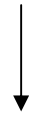
$h\nu = 73$  eV,  $0.8 \mu\text{m}^2$  area (selected field aperture)



Parameter:  $E_{\text{kin}}$

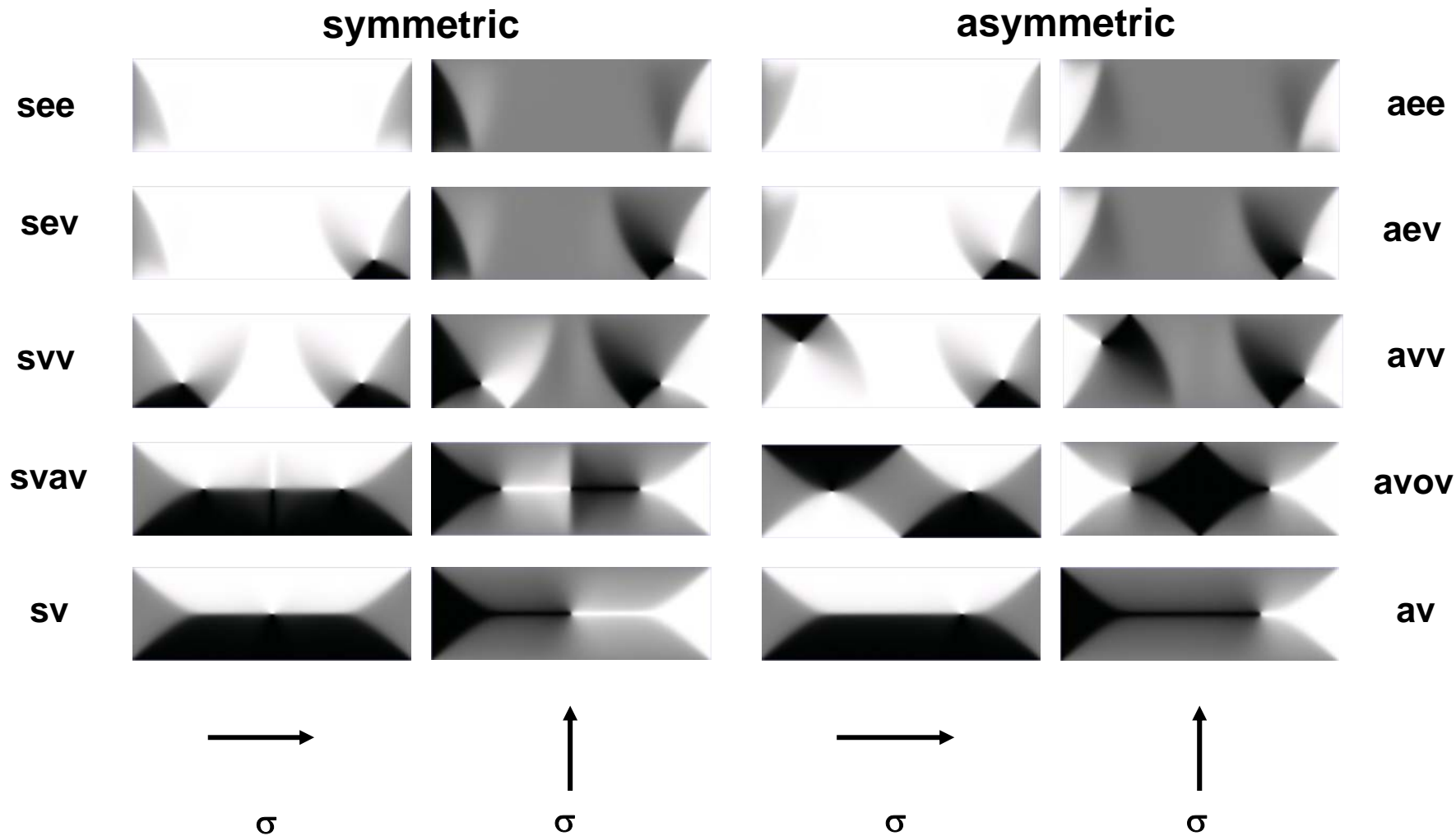
## **Magnetic imaging**

**XMCD, (XMLD)**



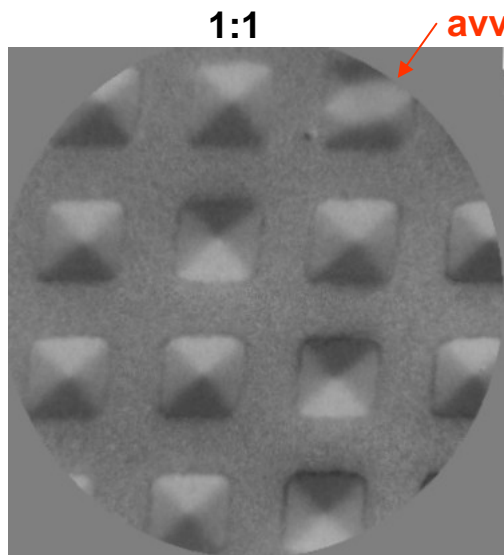
**ALS**

# Domain structures in 20 nm thick Co films

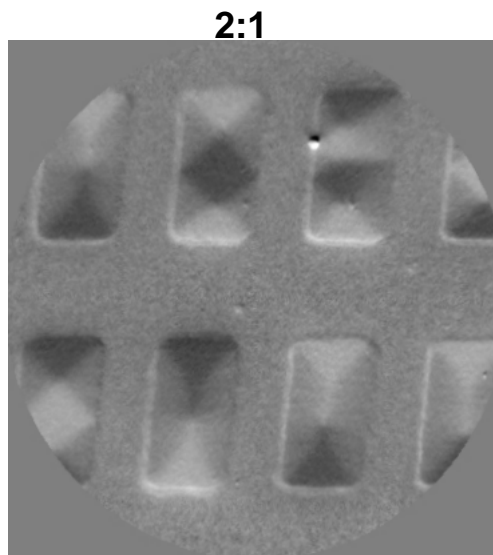


Micromagnetic simulations

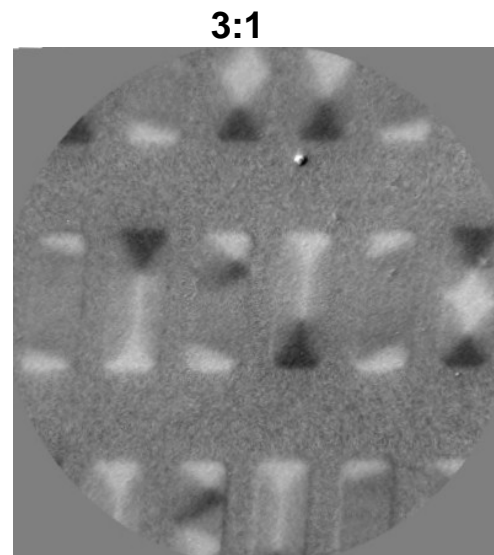
R. Hertel, unpublished



**600×600 5 μm**

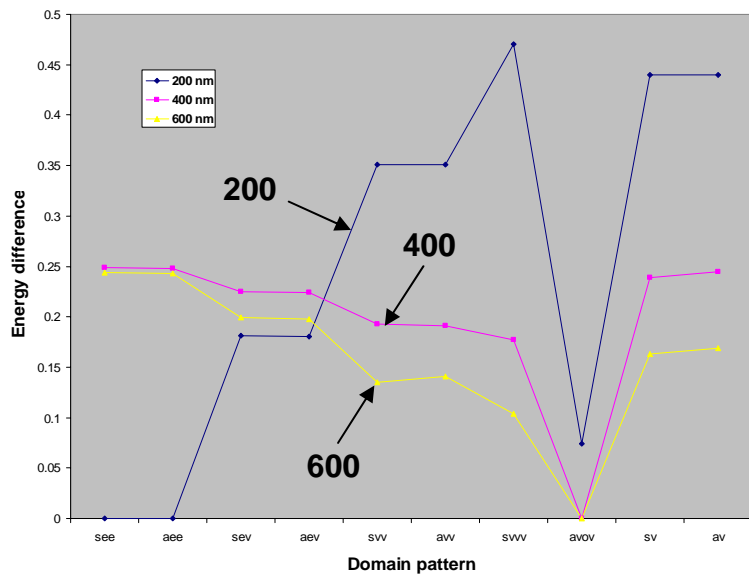


**1200×600 5 μm**



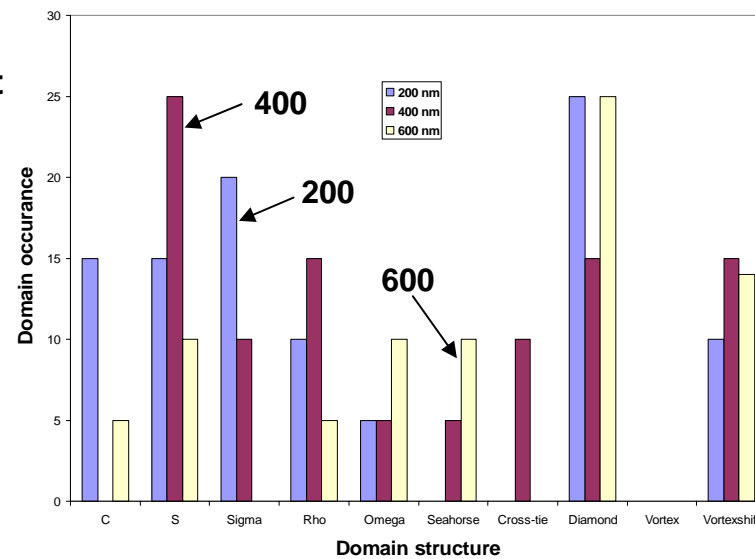
**1200×400 5 μm**

**Energy differences 3:1**



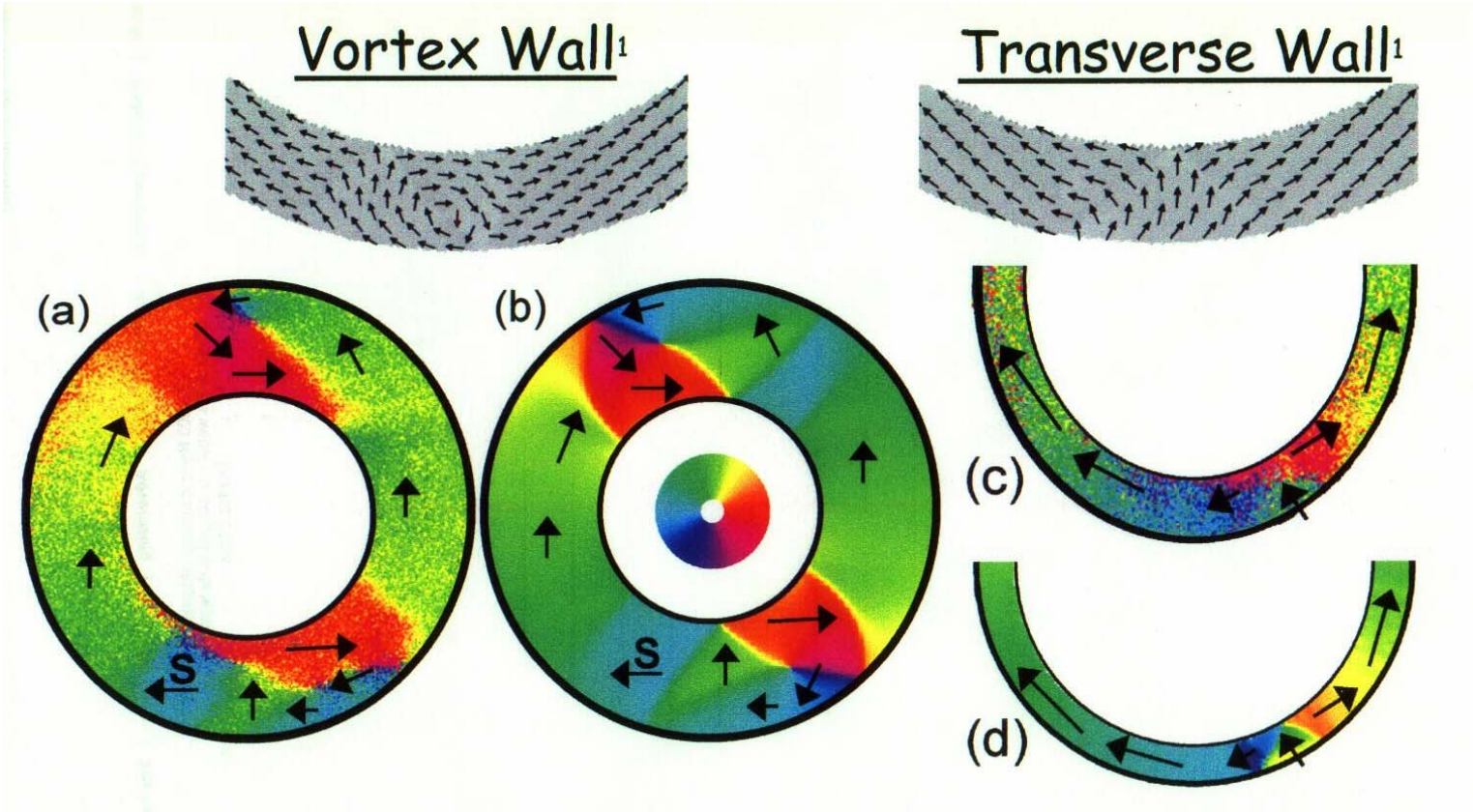
**Domain distribution**

**Aspect ratio 3:1**



**Co 20 nm**

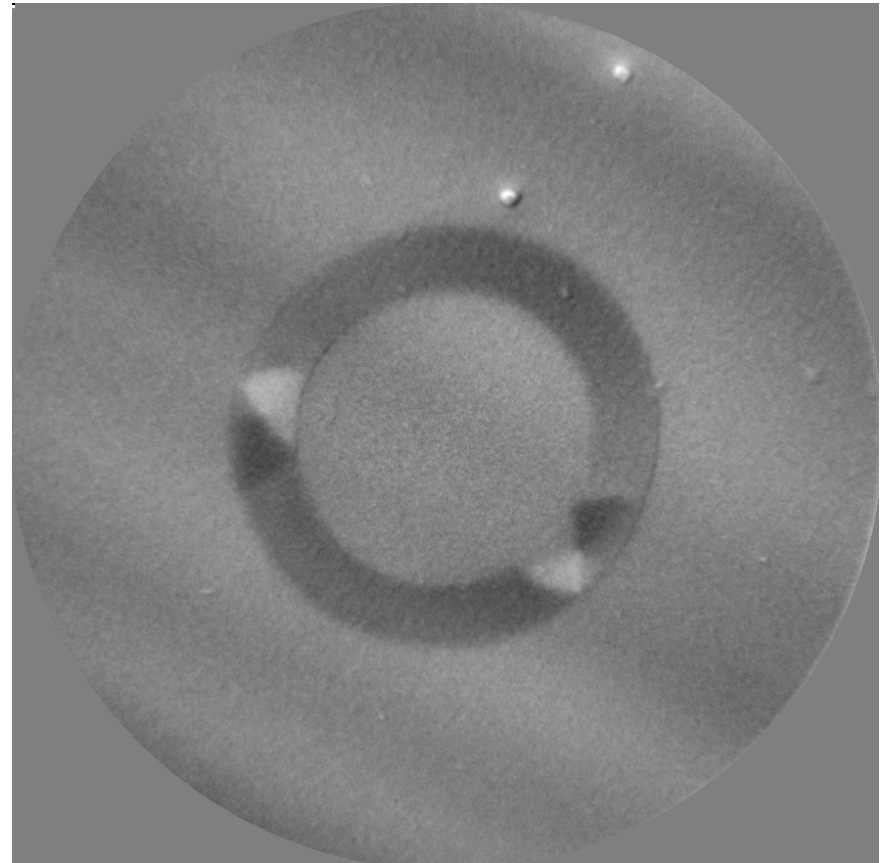
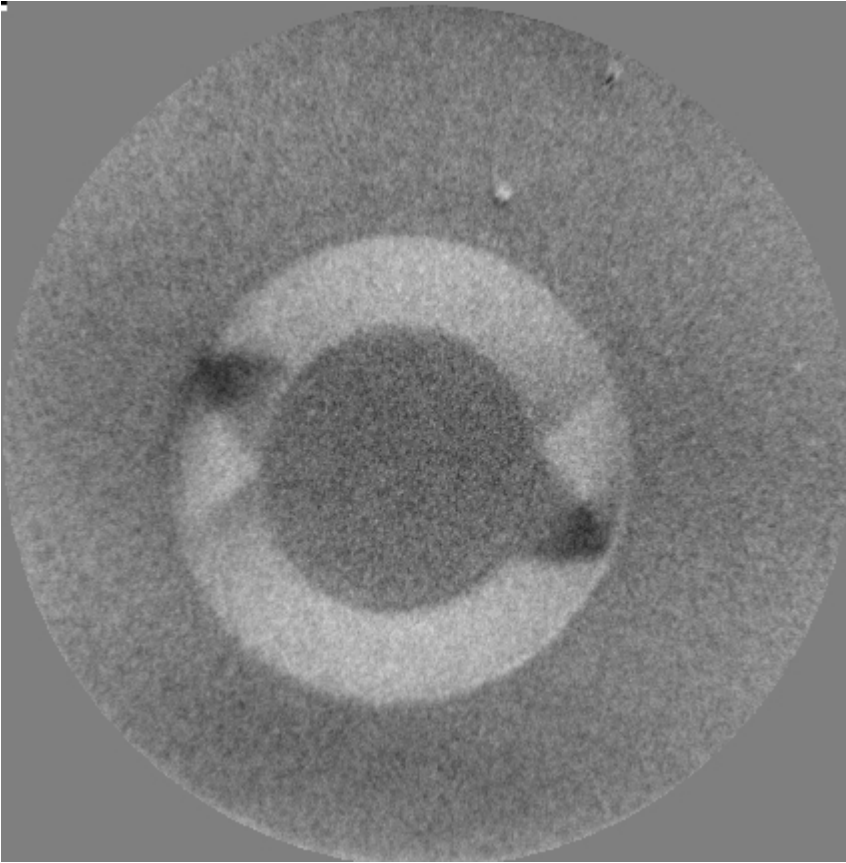
# Onion States





## Vortex walls in permalloy rings

1.6  $\mu\text{m}$  O.D.

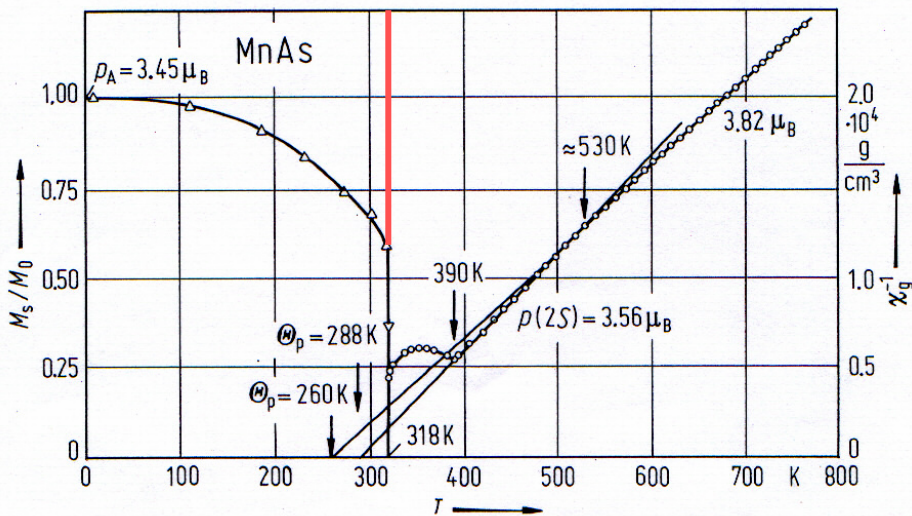


# MnAs

## Bulk properties

### Magnetization

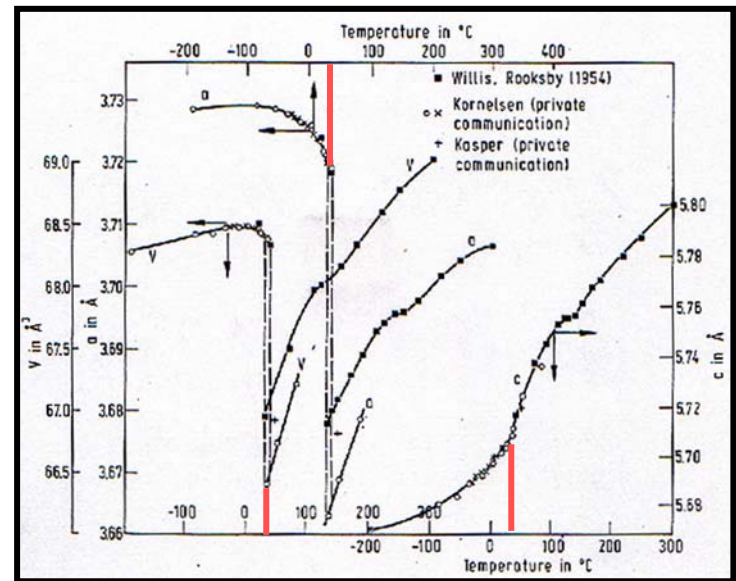
ferromagnetic | paramagnetic



**Sudden loss of magnetization  
at structural transition**

### Thermal expansion

a, c, V



**Large a contraction, little c expansion  
at phase transition**

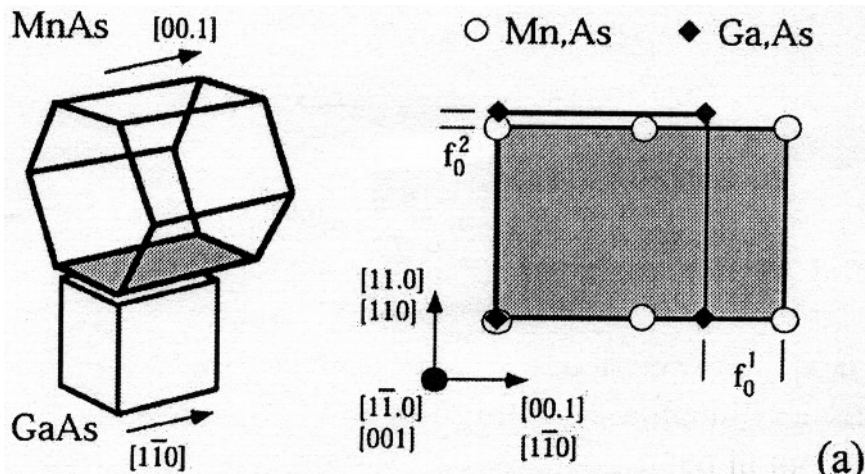
# MnAs

$\alpha$   $T < \approx 40$  °C NiAs (hexagonal) ferromagnetic  
 $\beta$  MnP (orthorhombic) paramagnetic  
 $\gamma$   $T > 125$  °C NiAs (hexagonal) paramagnetic

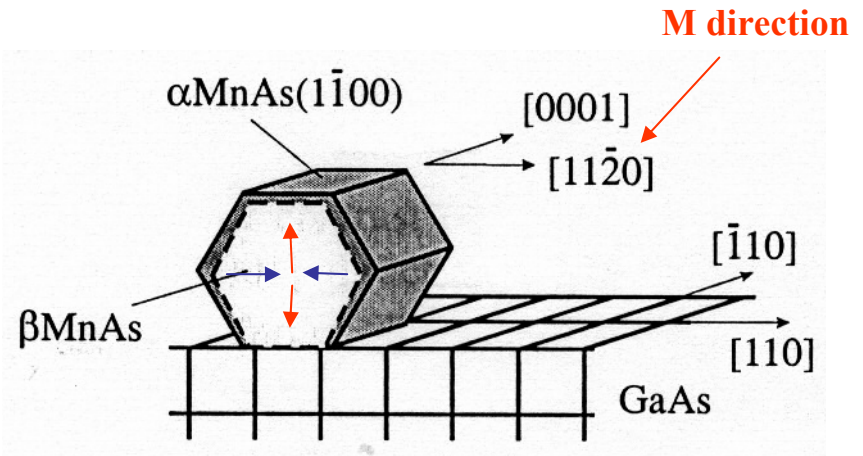


## MnAs for spin injection into GaAs at room temperature?

Problem: **strain-induced phase coexistence** between ferromagnetic and paramagnetic phase around room temperature



$\alpha$  MnAs / GaAs(100)  $f_0^2 = 7.7\%$



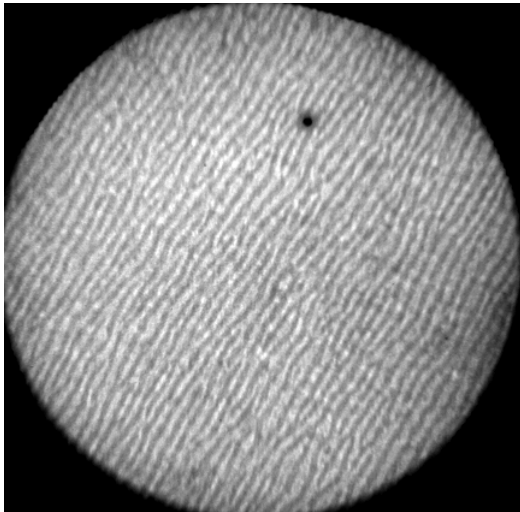
from L. Daeweritz et al  $\geq 1999$

**MnAs on GaAs(100)**  
**Thickness dependence of stripe period**  
**Structural images (LEEM)**

**Room temperature**

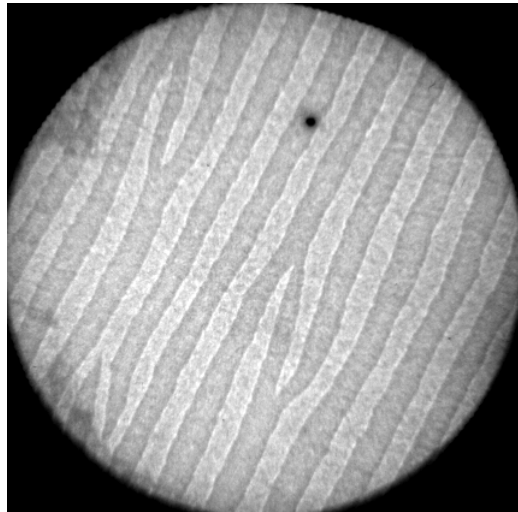
**Diameter of field of view**

**10  $\mu\text{m}$**



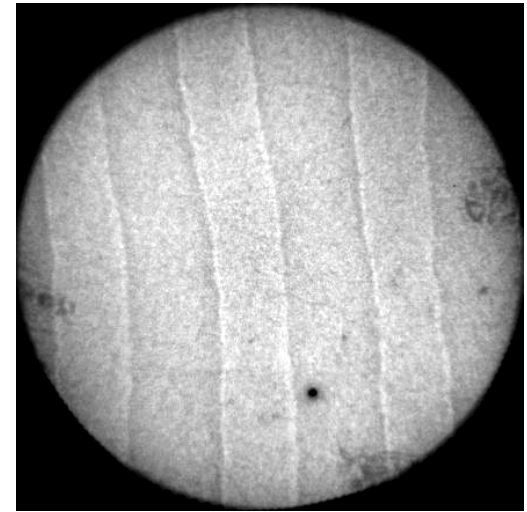
**50 nm**

**10  $\mu\text{m}$**



**180 nm**

**5  $\mu\text{m}$**

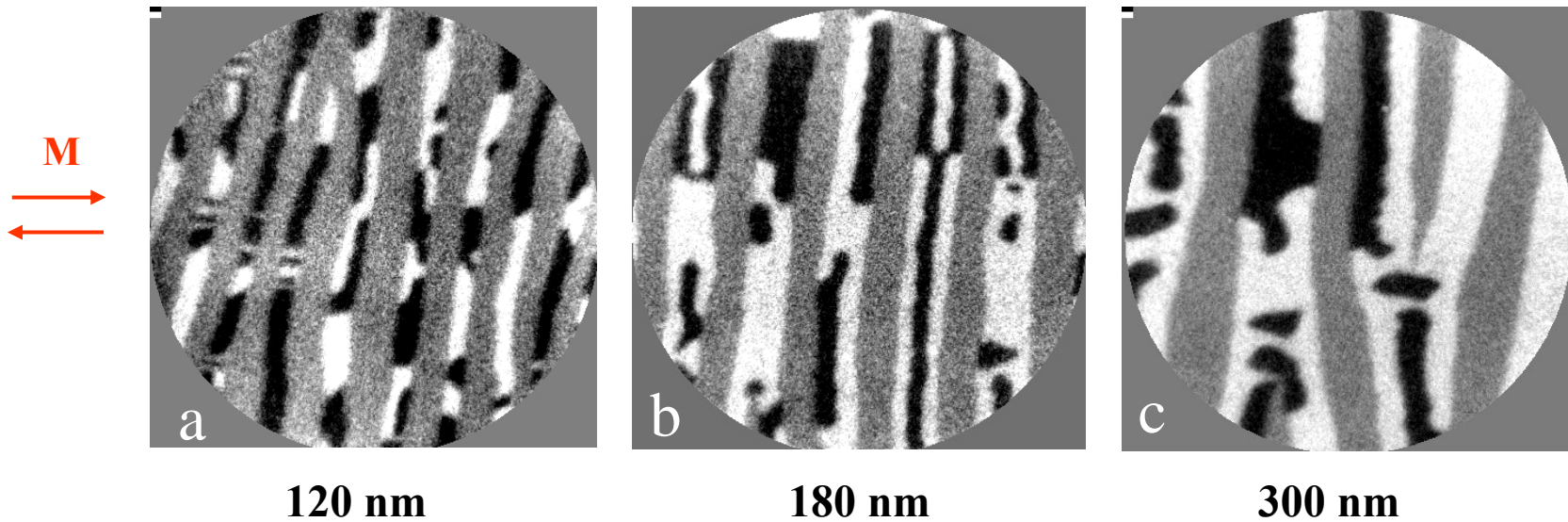


**300 nm**

# MnAs on GaAs(100)

## Thickness dependence of magnetic domain structure

Room temperature



Field of view 5  $\mu\text{m}$  diameter

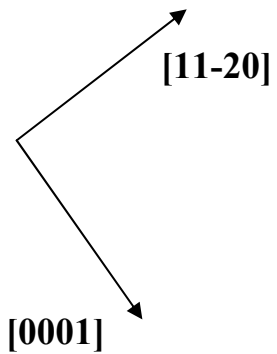
# MnAs on GaAs(100)

## Phase transition



Field of view  $5\mu\text{m}$  diameter

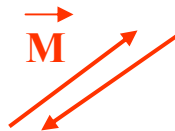
FM ( $\cong 10^\circ\text{C}$ )  $\rightarrow$  PM ( $\cong 40^\circ\text{C}$ )



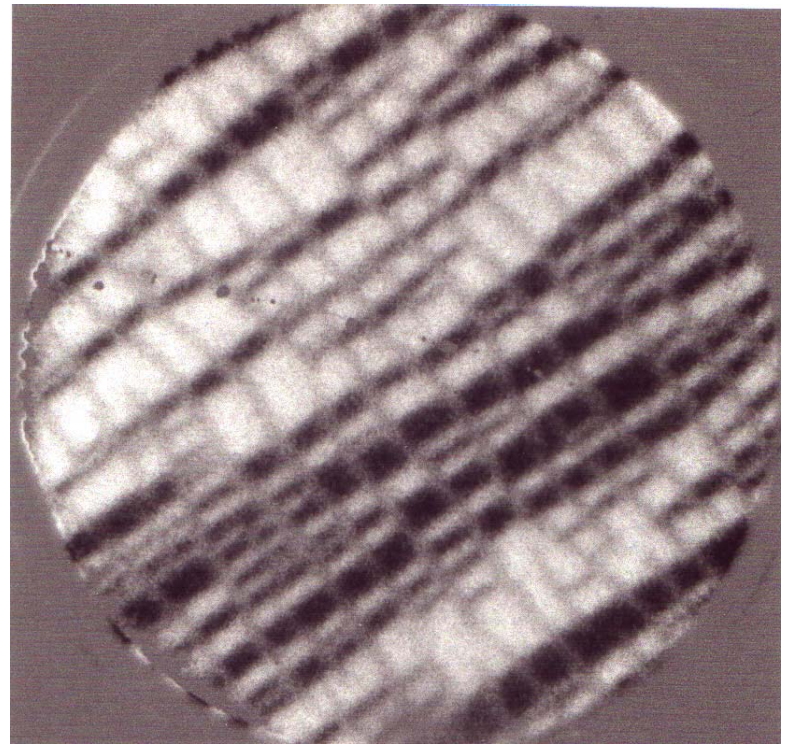
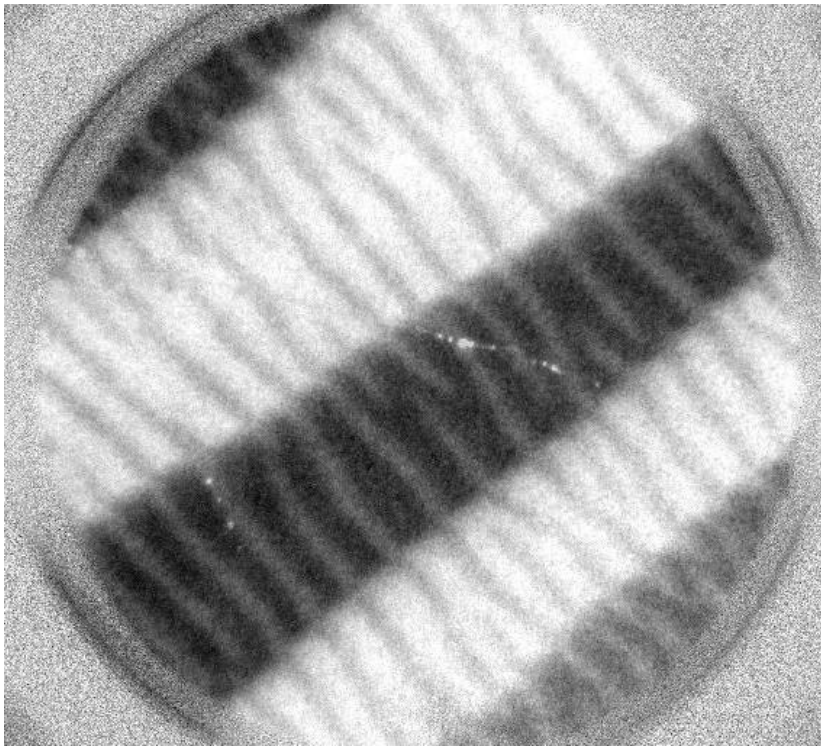
# MnAs / GaAs(100) 40 nm

XMCDPEEM Mn  $2p_{3/2}$  (639.5 eV)

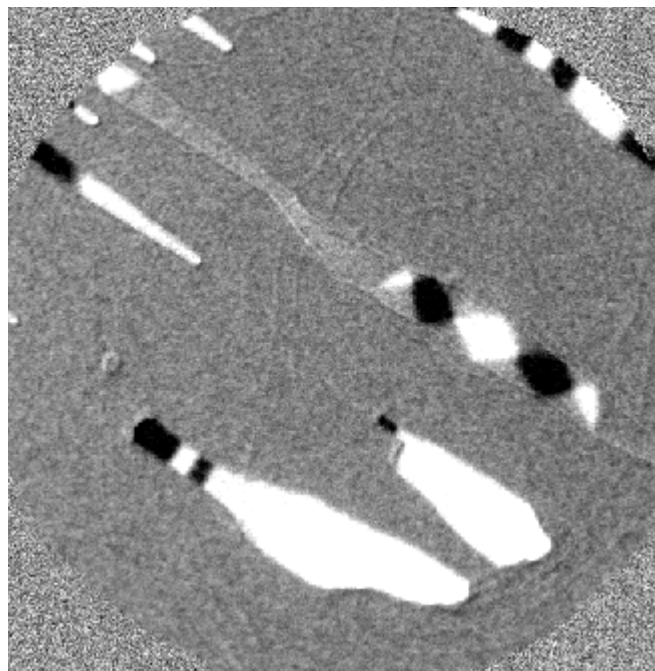
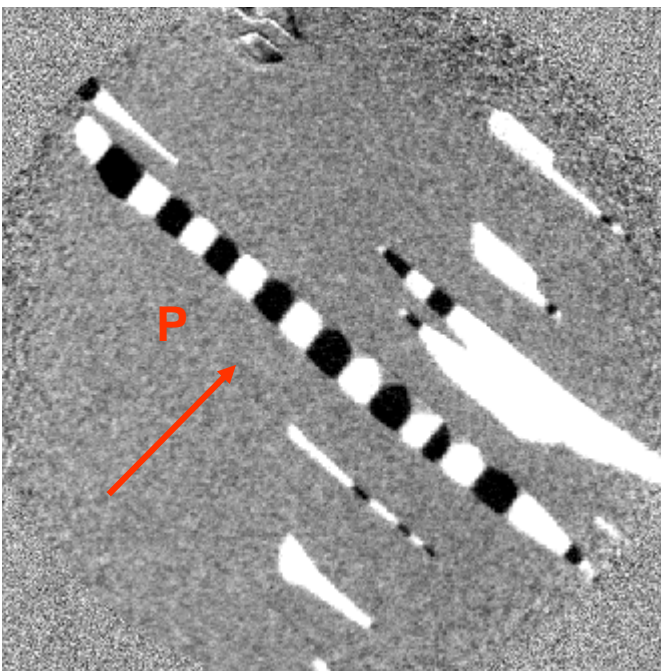
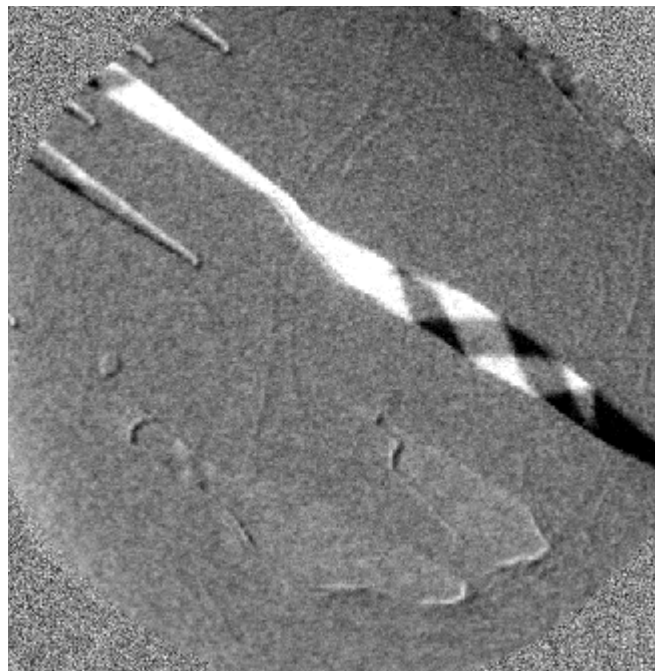
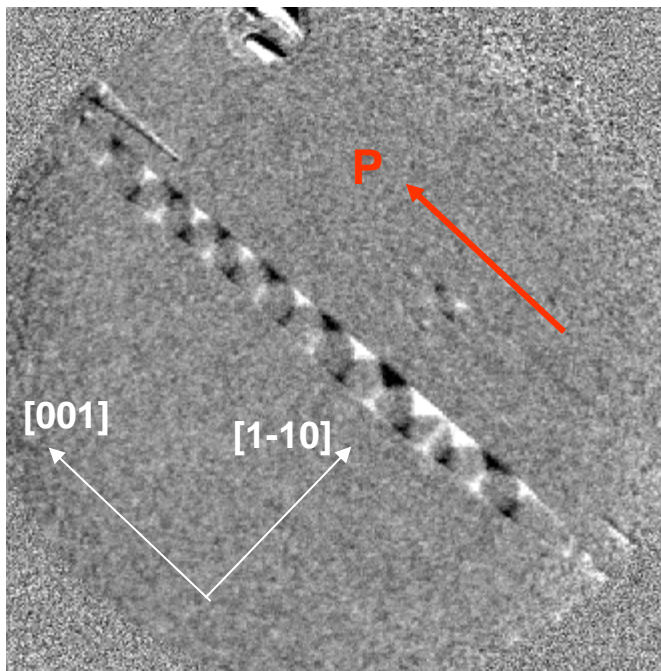
during heating



during cooling



1  $\mu\text{m}$



## SPLEEM

Domain structure  
of thin Fe crystals

~5 ML Fe/W(110)  
deposited at RT and  
annealed at ~700 K

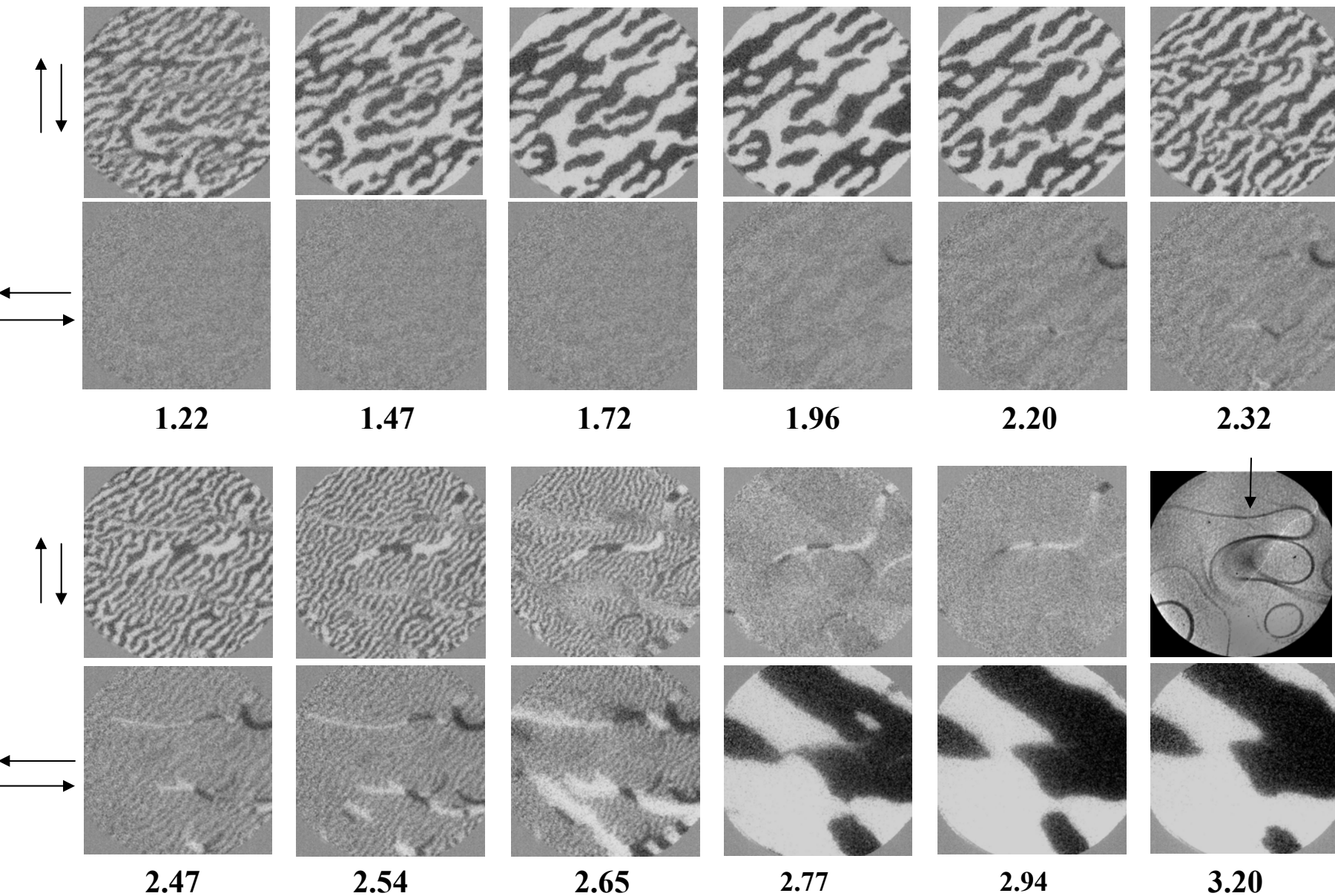
Field of view:  
Left column: 18  $\mu\text{m}$   
Right column: 12  $\mu\text{m}$ .

[001]: hard axis  
[1-10]: easy axis

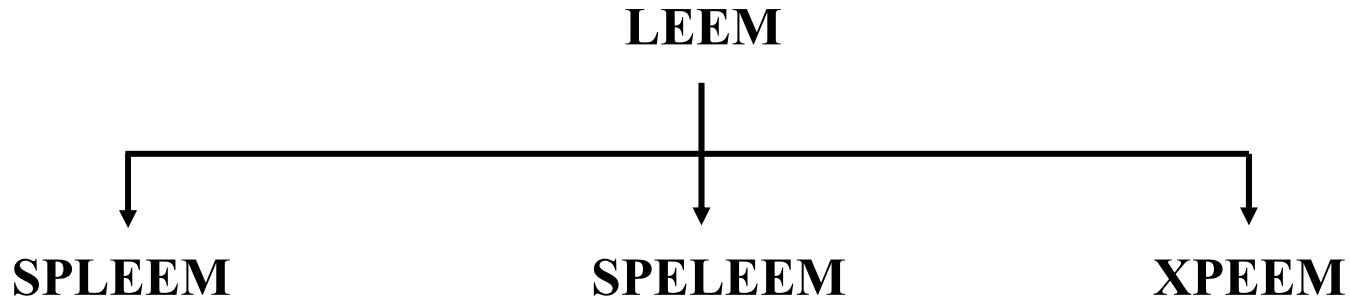
Electron energy:  
2.5 eV



# Spin reorientation transition: $\text{Fe}_{0.7}\text{Co}_{0.3} / \text{Au}(111)$



# Summary



**LEEM: 5 nm (FE), 7 nm (LaB<sub>6</sub>)**

**SPLEEM: 20 nm (stability-, noise-limited)**

**SPELEEM: 10 nm (in LEEM), 25 nm (in SE-XPEEM),  
50 nm (in XMCDPEEM, stability-, noise-limited)**

**XPEEM: 10 nm?**

**Aberration correction (SMART, PEEM III):  
1 nm?**