

Colloidal Crystals

Structure and Dynamics

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DESY



Coherent X-ray Scattering and Imaging Group at DESY

Present members:

- S. Lazarev
- I. Besedin
- P. Skopintsev
- D. Dzhigaev
- I. Zaluzhnyy
- M. Rose
- O. Gorobtsov
- N. Mukharamova

Former members:

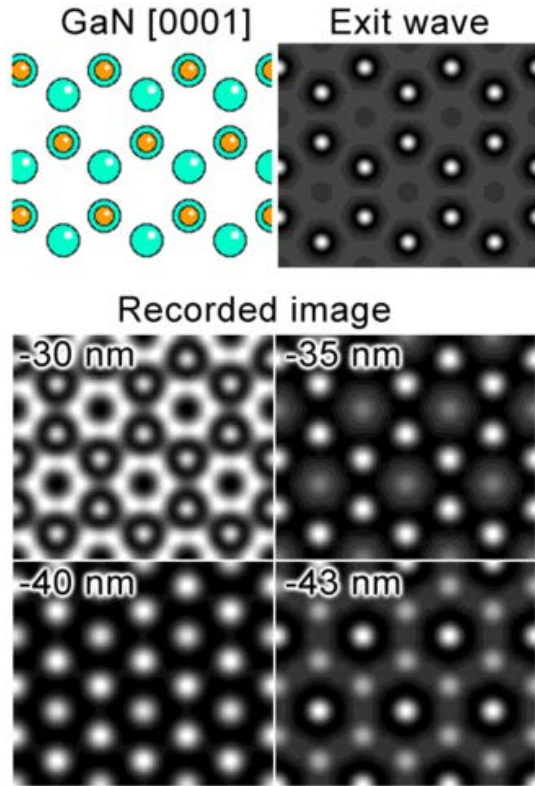
- A. Zozulya (now @PETRA III)
- A. Mancuso (now @XFEL)
- O. Yefanov (now @CFEL)
- R. Dronyak
- J. Gulden (now @FH-Stralsund)
- U. Lorenz (now @University of Potsdam)
- A. Singer (now @UCSD)
- R. Kurta (now @XFEL)
- A. Shabalin (now @CFEL)



High resolution microscopy

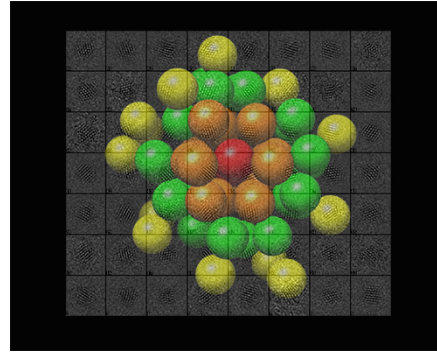


High resolution electron microscopy



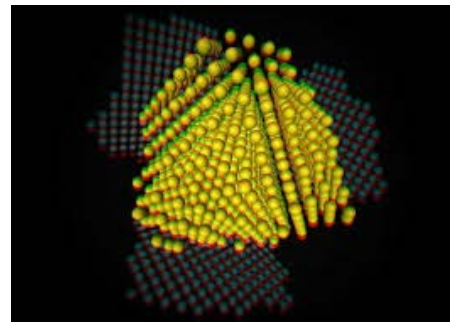
Simulated HREM images for GaN[0001]

From: Wikipedia

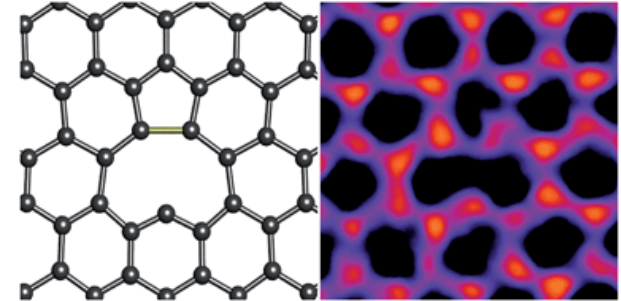


Atomic structure of the Au₆₈ gold nanoparticle determined by electron microscopy.

M. Azubel, et al., *Science* 345, 909 (2014)

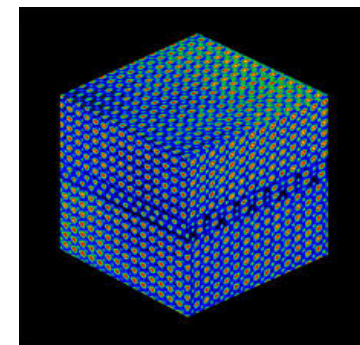


From: Web Images



Atomic resolution imaging of graphene

A. Robertson and J. Warner, *Nanoscale*, (2013),5, 4079-4093



From: Web Images



**Can we develop
x-ray microscope with
atomic resolution?**



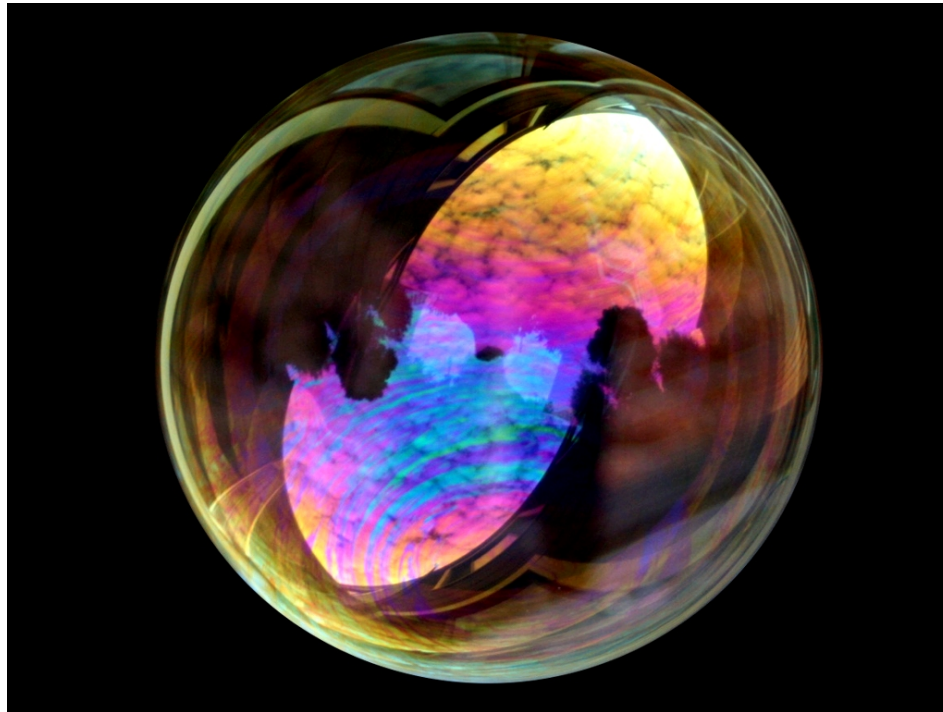
It does not work with conventional approach due to the lack of atomic resolution X-ray optics

Can coherent x-ray diffraction imaging be the way to go?



What is Coherence?

Coherence is an ideal property of waves that enables stationary interference



Soap bubble

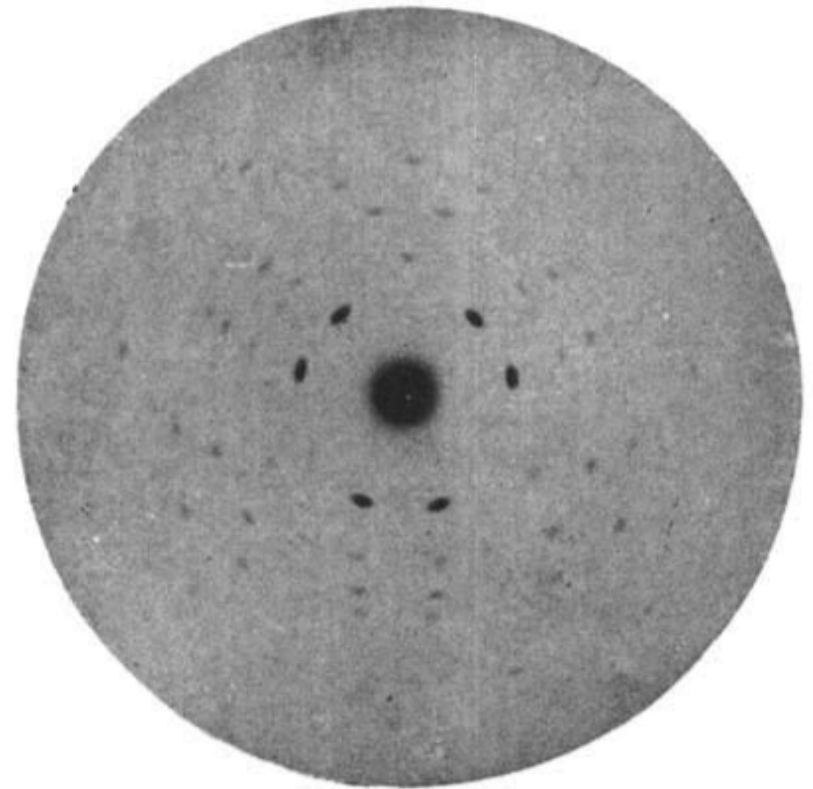
Whether X-rays are coherent waves?



Bundesarchiv, Bild 183-L00205-502
Foto: o. Ang. 1/1929

Max von Laue

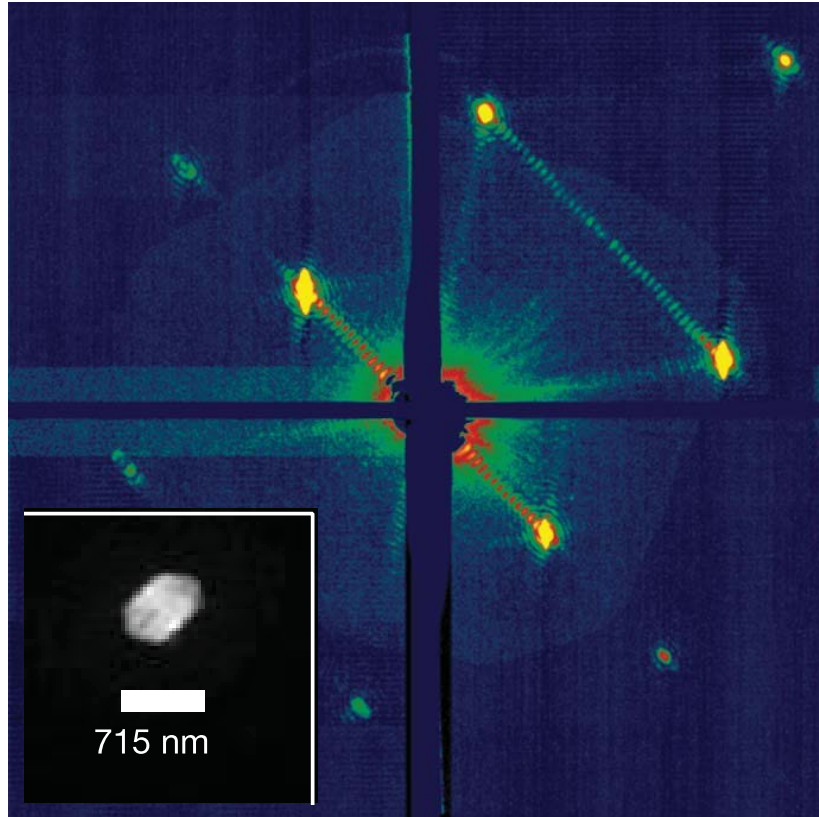
Nobel Prize in Physics, 1914



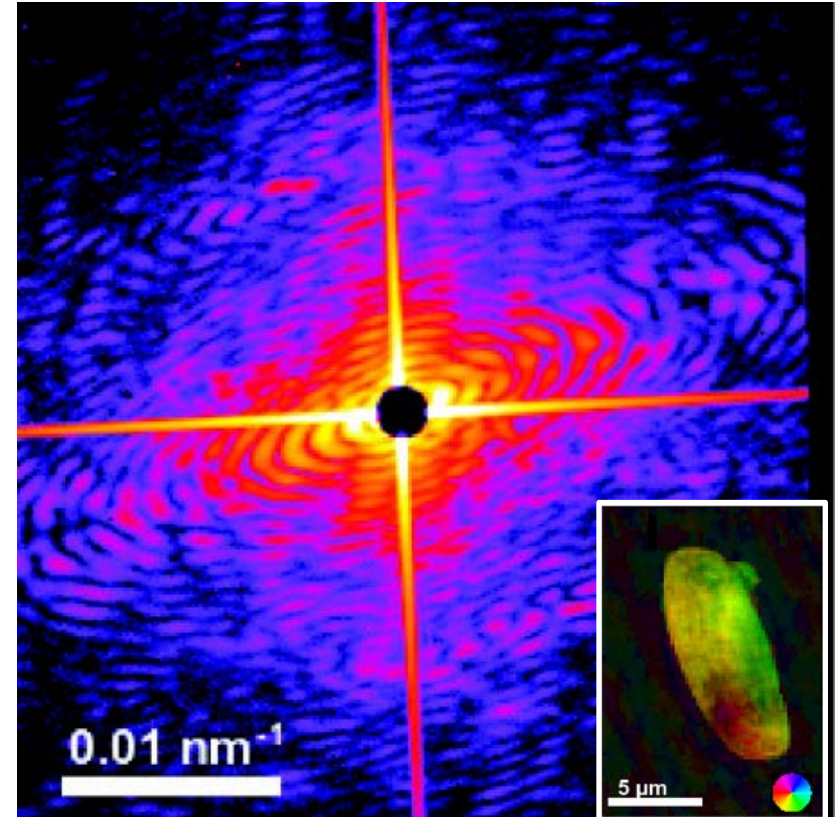
W. Friedrich, P. Knipping,
M. von Laue, *Ann. Phys.* (1913)

100 years later

LCLS



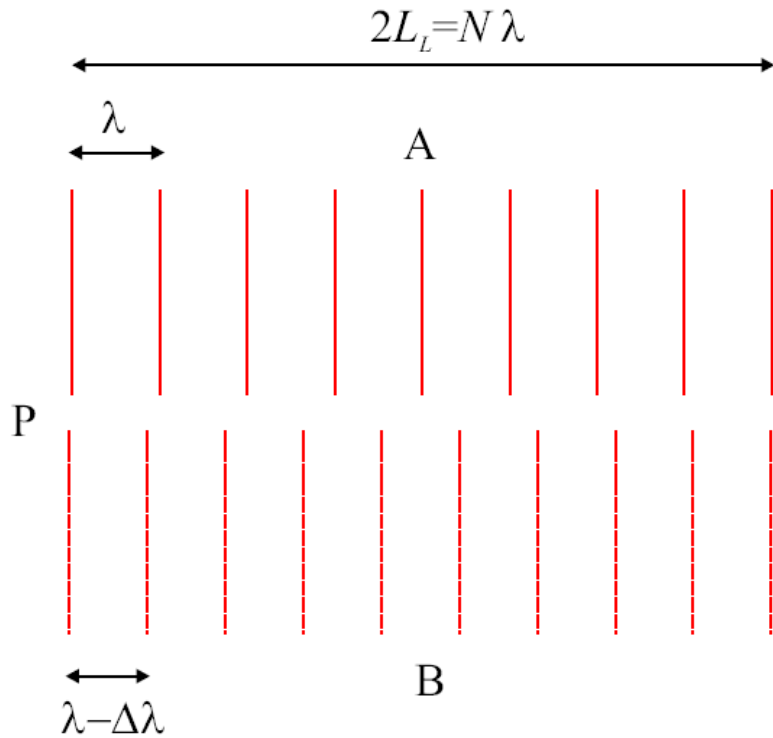
FLASH



H. Chapman *et al.*,
Nature (2011)

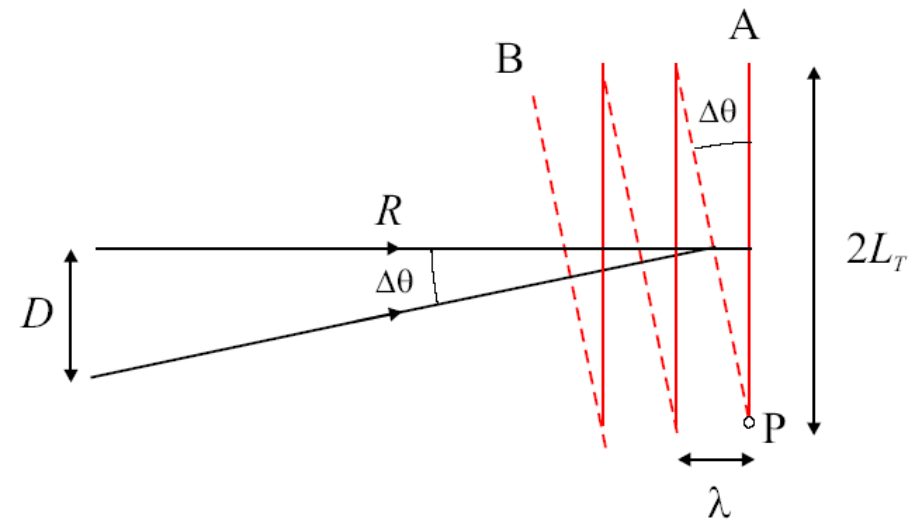
A. Mancuso *et al.*,
New J. Physics (2010)

Coherence



$$L_L = \lambda^2 / (2\Delta\lambda)$$

Longitudinal Coherence Length



$$L_T = (\lambda R / 2 D)$$

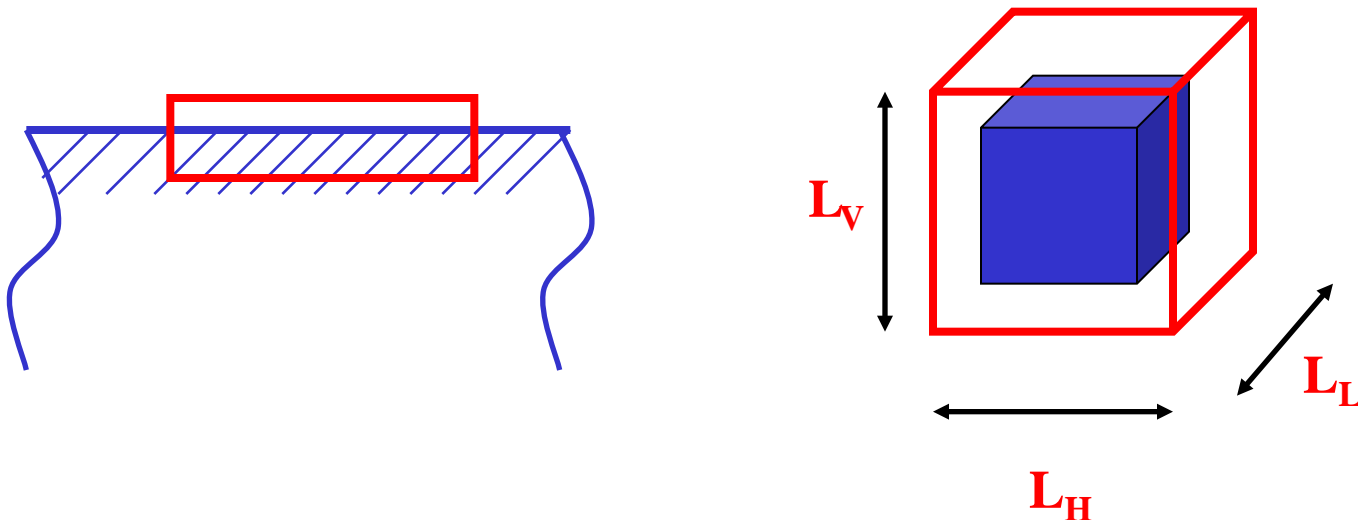
Transverse Coherence Length

Coherence properties of 3rd generation synchrotron sources

I.A. Vartanyants & A. Singer “**Coherence Properties of Third-Generation Synchrotron Sources and Free-Electron Lasers**”, Chapter in: *Handbook on Synchrotron Radiation and Free-Electron Lasers*

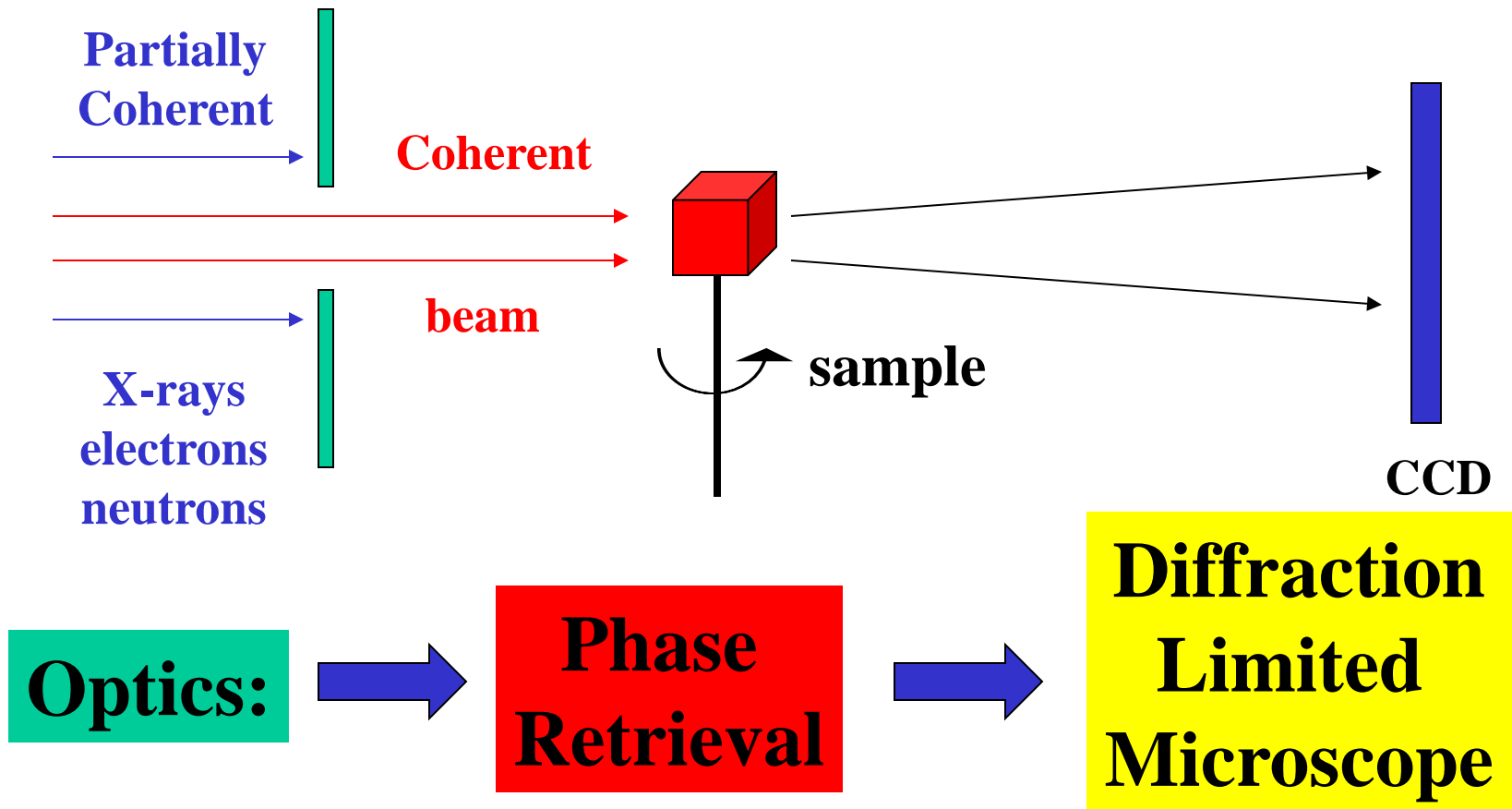
Coherent volume (PETRA III, E=12 keV)

	L_V	L_H	L_L	Coh. Flux
Raw Undulator	260 μm	40 μm	0.02 μm	6×10^{12} ph/s
<p>We expect about two orders of magnitude more coherent flux at diffraction limited sources</p>				
DC Si (111) High- β	280 μm	10 μm	0.5 μm	2×10^{10} ph/s

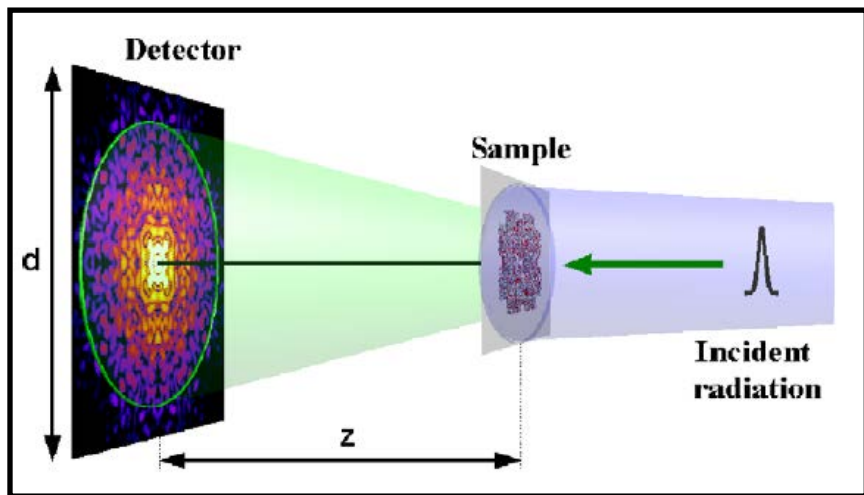


Coherent X-ray Diffraction Imaging

Lensless X-ray Microscopy



Coherent X-ray Diffraction Imaging in forward direction



Kinematical approximation:

$$A(\mathbf{q}) = \int \rho(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}} d\mathbf{r}$$

$$q_z = 0$$

$$A(q_x, q_y) = \int \langle \rho(x, y, z) \rangle_z e^{-i(q_x x + q_y y)} dx dy$$

$$\langle \rho(x, y) \rangle_z = \int \rho(x, y, z) dz$$

Inverse Fourier transform:

$$\langle \rho(x, y) \rangle_z = \frac{1}{(2\pi)^2} \int A(q_x, q_y) e^{i(q_x x + q_y y)} dq_x dq_y$$

Unfortunately, phases of $A(q_x, q_y)$ are not known!!!

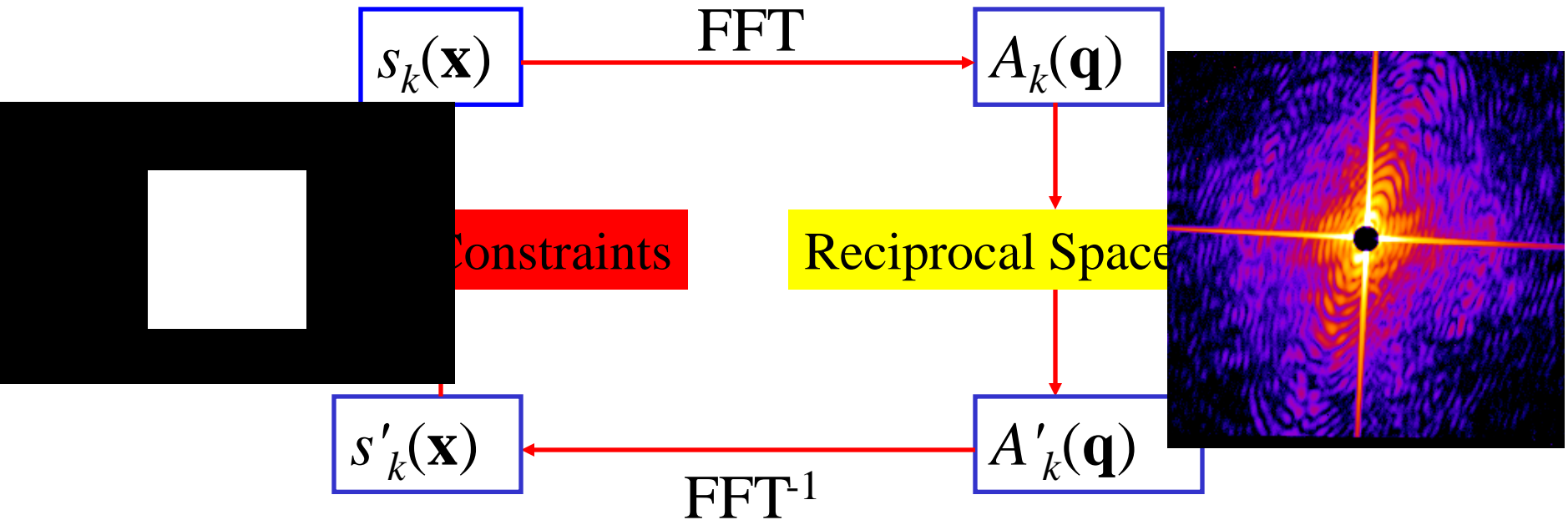
Mancuso *et al.* J. Biotechnol. **149** 229 (2010)

Small-angle scattering CDI
Non-crystallographic samples
**Uniform distribution of
electron density**

Phase retrieval



Iterative phase retrieval algorithm



Real space constraints:

use all *a priori* knowledge:

- finite support
- positivity
- ...

Reciprocal space constraint:

$$|A_k(\mathbf{q})| \rightarrow \sqrt{I_{exp}(\mathbf{q})}$$

R.W.Gerchberg & W.O. Saxton, *Optic* (1972) **35**, 237
J.R. Fienup, *Appl Opt.* (1982) **21**, 2758
V. Elser, *J. Opt. Soc. Am. A* (2003) **20**, 40

Example of reconstruction (o.Yefanov)

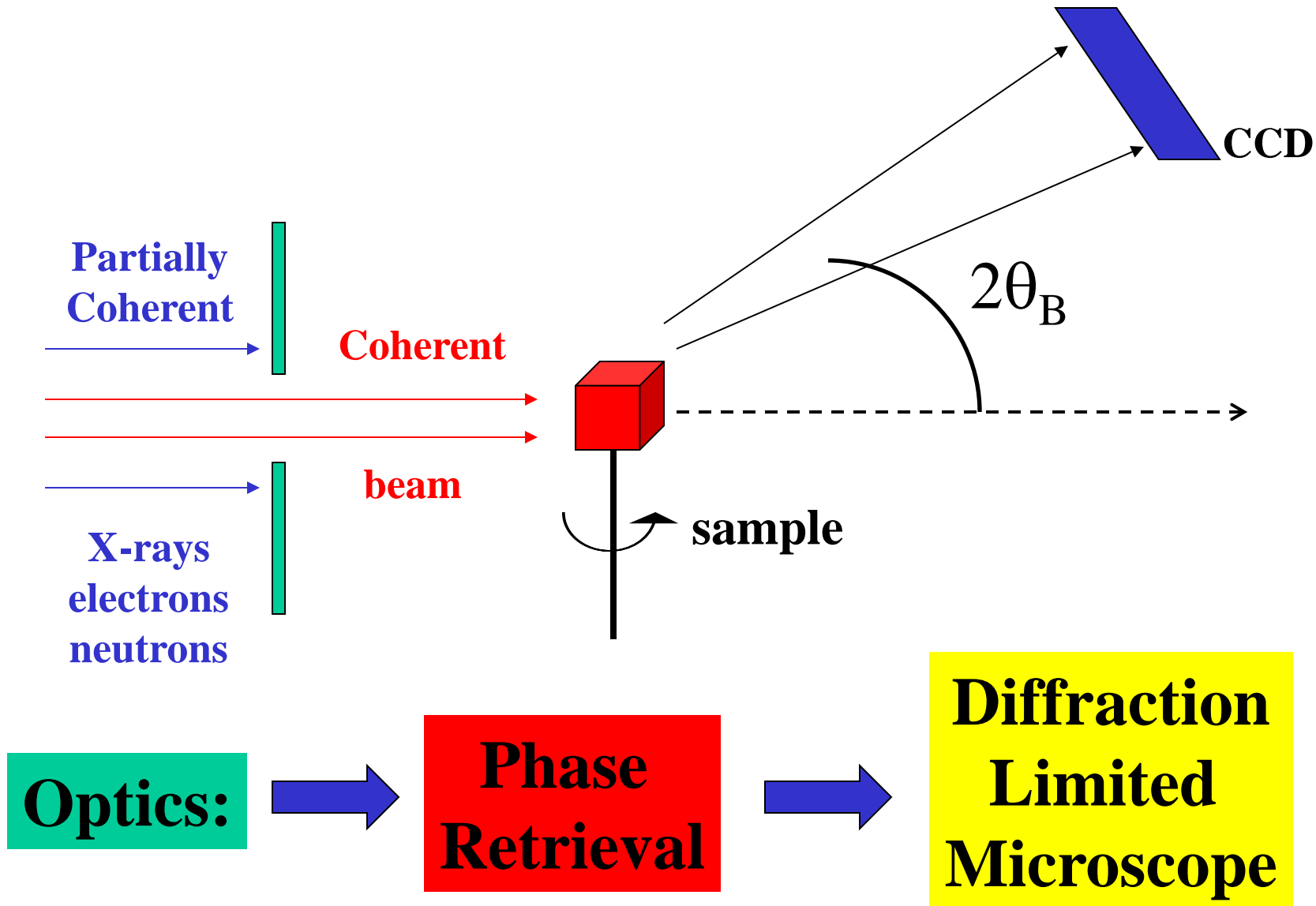


Bragg Coherent X-ray Diffraction Imaging (Bragg CXDI)



Coherent X-ray Diffraction Imaging

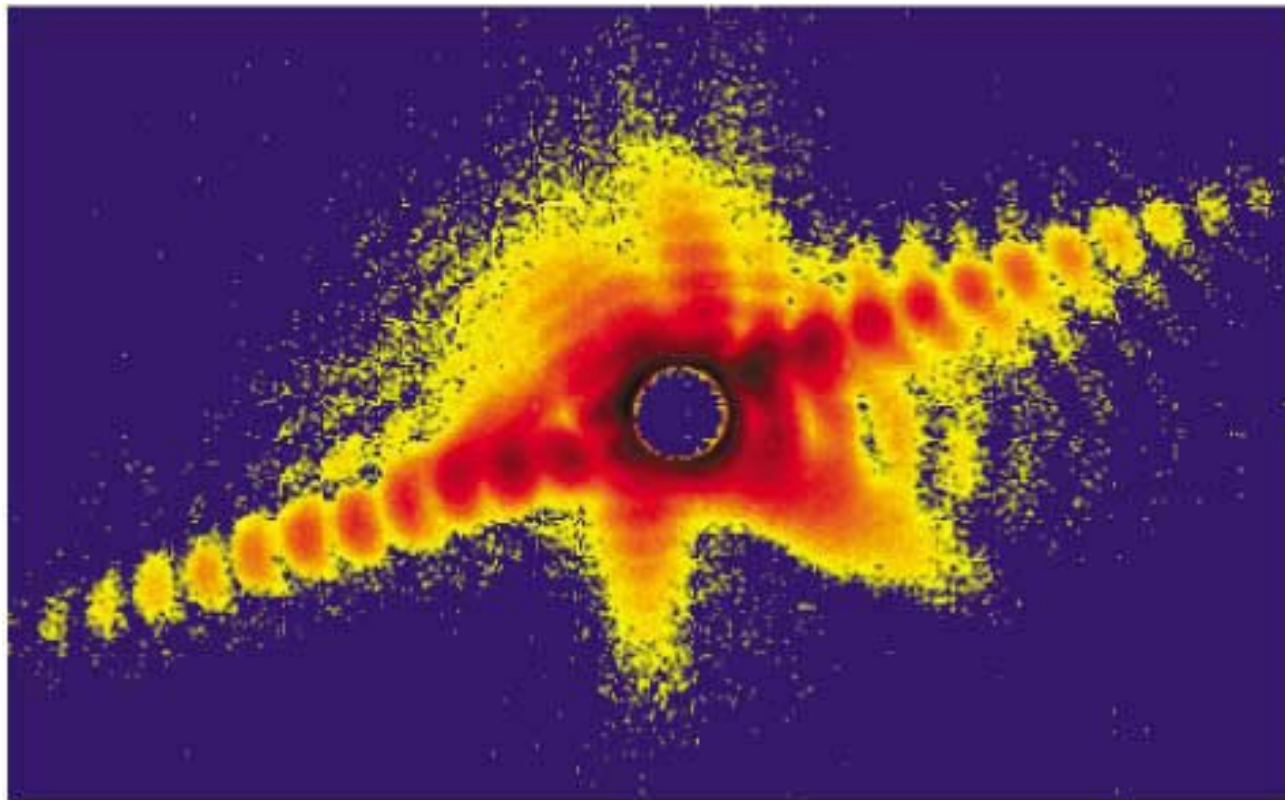
Lensless X-ray Microscopy



Coherent Scattering on Au Crystals



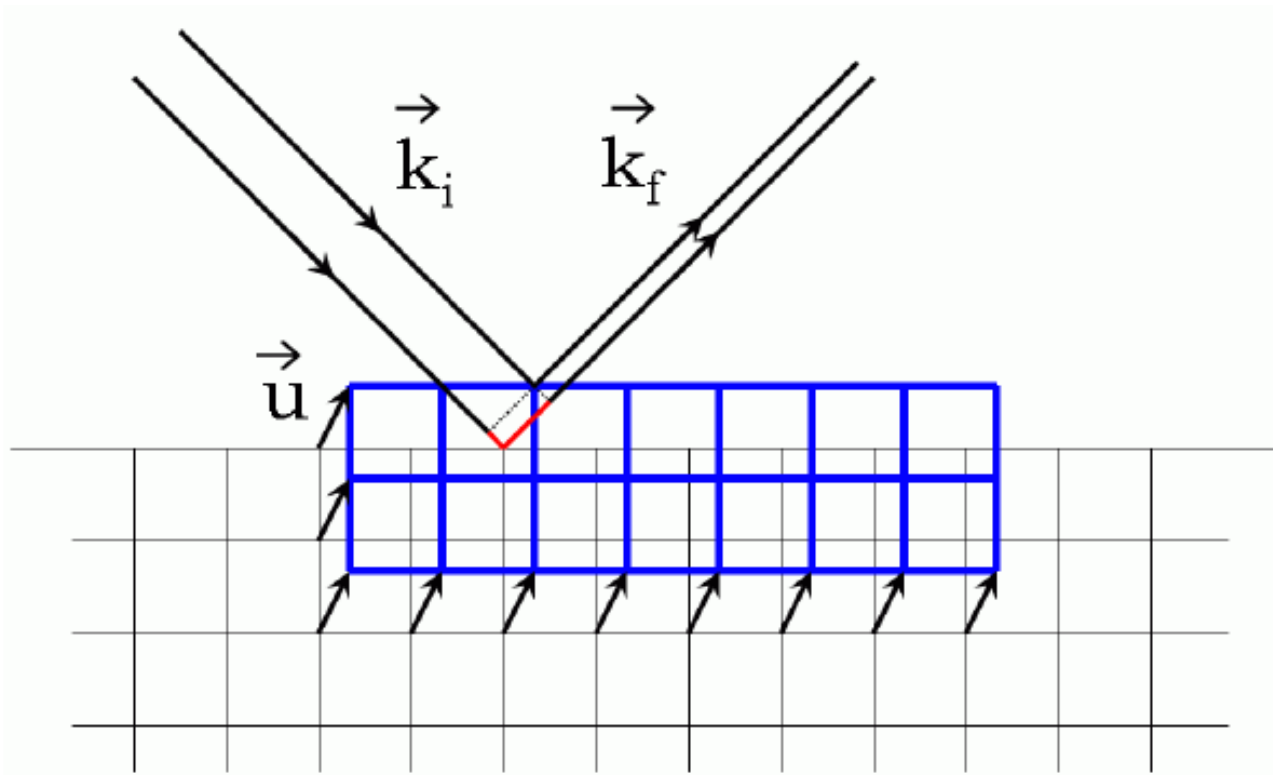
- 4 micron Au nanocrystal
- Coherent X-ray Diffraction
- Measurement at APS sector 33-ID (UNICAT)



I. Robinson, I. Vartanyants, *et al.*, PRL (2001), 87, 195505

Sensitivity to strain

$$\varphi(\mathbf{r}) = (\mathbf{k}_f - \mathbf{k}_i) \cdot \mathbf{u}(\mathbf{r}) = \mathbf{Q} \cdot \mathbf{u}(\mathbf{r})$$



Bragg CDI

Kinematical approximation:

$$A(\mathbf{q}) = \int \rho(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}} d\mathbf{r}$$

$\rho(\mathbf{r})$ – periodic electron density in crystal

Scattered amplitude near Bragg peak:

$$A_h(\mathbf{Q}) = \frac{F_h}{v} \int s(\mathbf{r}) e^{-i\mathbf{h}\cdot\mathbf{u}(\mathbf{r})} e^{-i\mathbf{Q}\cdot\mathbf{r}} d\mathbf{r}$$

F_h – structure factor
 $\mathbf{Q} = \mathbf{q} - \mathbf{h}$

Shape function:

$$s(\mathbf{r}) = \begin{cases} 1, & \mathbf{r} \in \Omega \\ 0, & \mathbf{r} \notin \Omega \end{cases}$$

Phase, or projected strain field in crystal:

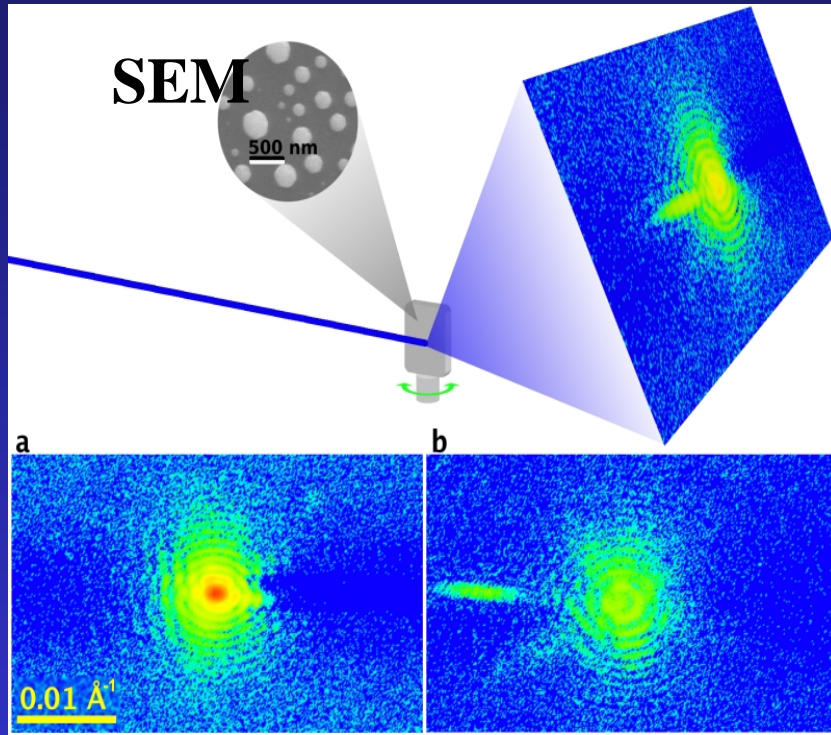
$$\varphi(\mathbf{r}) = \mathbf{h} \cdot \mathbf{u}(\mathbf{r})$$

**Crystallographic samples,
uniform distribution of strain**

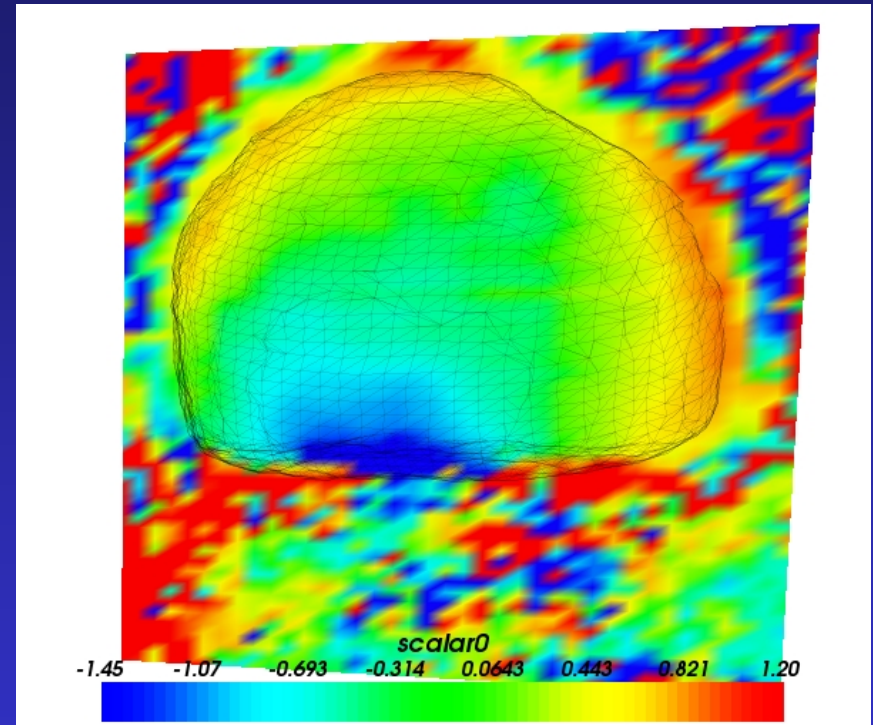
I.A. Vartanyants & I.K. Robinson, JPCM **13**, 10593 (2001);

I.A. Vartanyants & O.M. Yefanov, in the book: *X-ray Diffraction Modern Experimental Techniques*. (2015), pp. 341-384.

3D mapping of a deformation field inside a nanocrystal



Diffraction patterns from Pb nanocrystal measured around (111) Bragg peak

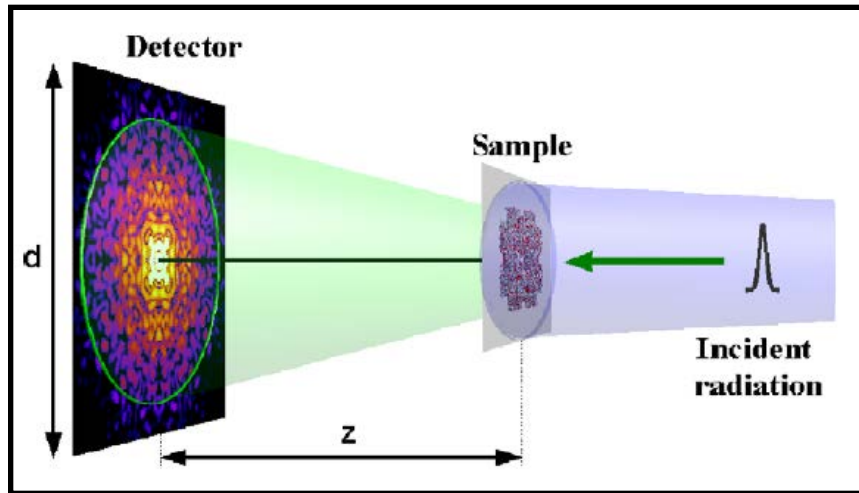


Strain field shown by color gradient

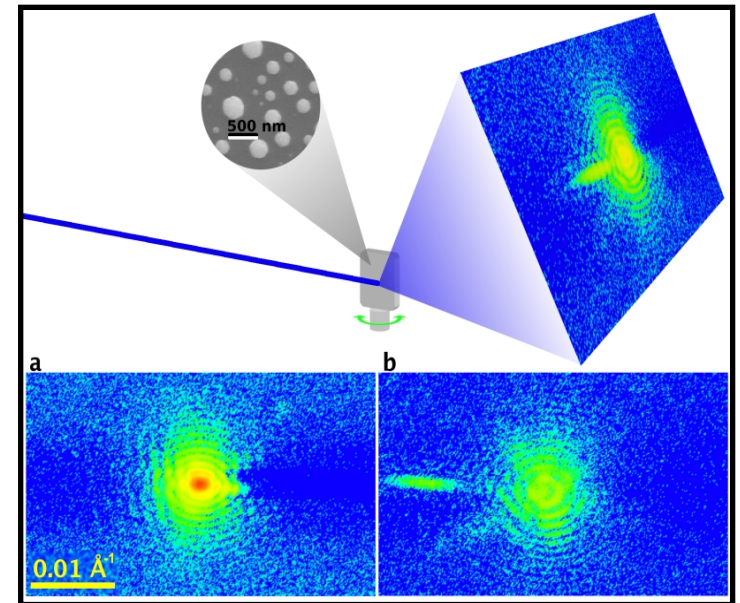
Resolution: 40÷50 nm

M. Pfeifer, *et al.*, Nature, **442**, 63 (2006),
R. Harder, *et al.*, PRB B **76**, 115425 (2007).

Coherent Diffractive Imaging



Mancuso *et al.* J. Biotechnol. **149** 229 (2010)

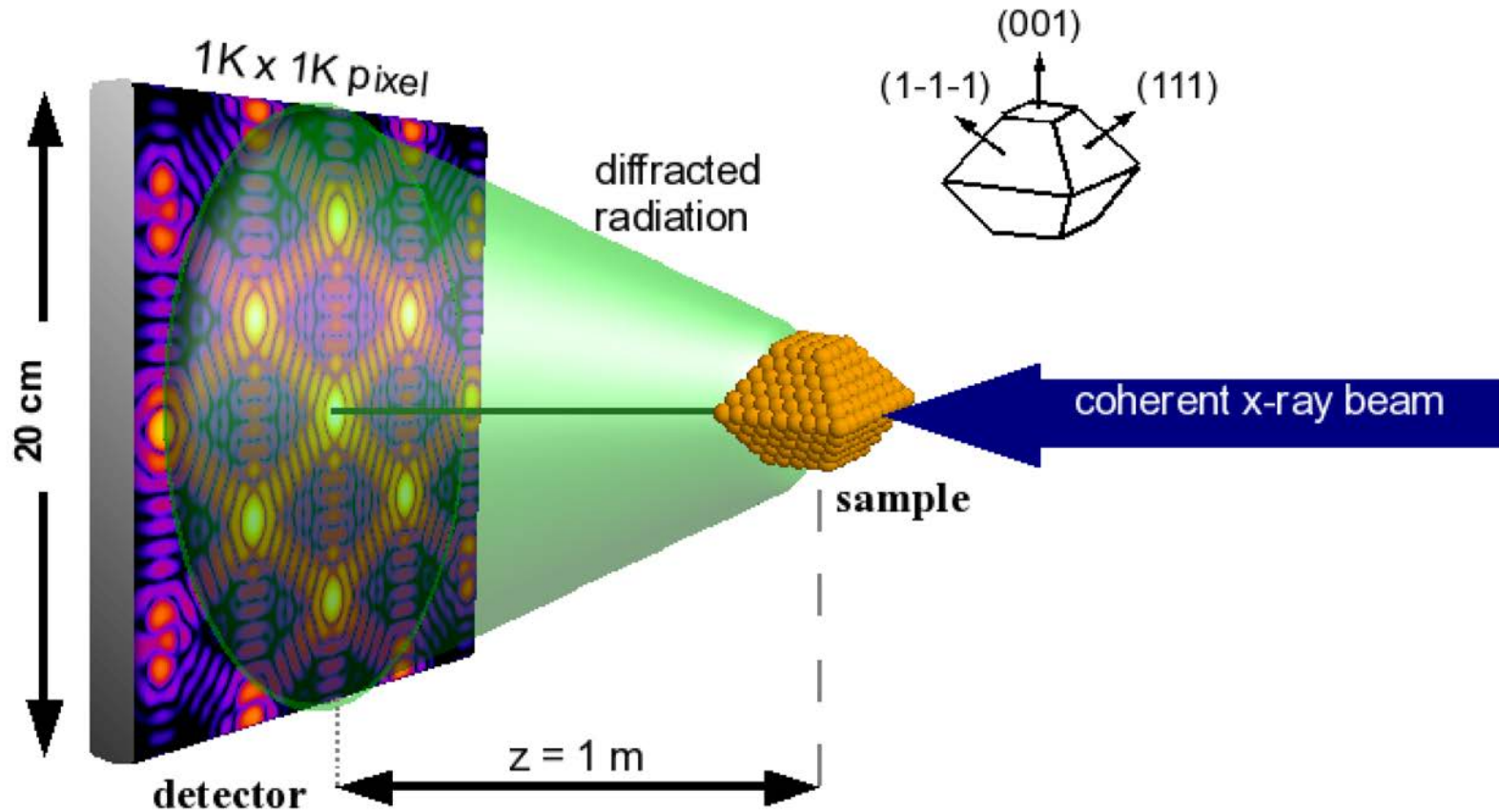


Pfeifer *et al.* Nature. **442** 63 (2006)

Small-angle scattering CDI
Non-crystallographic samples
**Uniform electron density
of the sample**

Bragg CDI
Crystallographic samples
**Uniform strain field
distribution in the sample**

Coherent imaging with atomic resolution



J. Gulden, et al.,

"Imaging of Nanocrystals with Atomic Resolution Using High-Energy Coherent X-rays", *XRM-2010 Proceedings*, AIP Conf. Proc. **1365**, 42-45 (2011)

Theory

If several Bragg peaks are measured simultaneously:

$$A(\mathbf{q}) = \int \rho(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}} d\mathbf{r} = B(\mathbf{q}) \cdot F(\mathbf{q}) \cdot [\rho_{\infty}(\mathbf{q}) \otimes s(\mathbf{q})]$$

Here: $B(\mathbf{q})$ – envelope function;
 $F(\mathbf{q})$ – structure factor of a unit cell;
 $s(\mathbf{q})$ – FT of the shape function

$$\rho_{\infty}(\mathbf{q}) = \frac{(2\pi)^3}{v} \sum_{\mathbf{h}} \delta(\mathbf{q} - \mathbf{h})$$

Inverse Fourier transform of this relationship:

$$\rho(\mathbf{r}) = [b(\mathbf{r}) \otimes \rho_{uc}(\mathbf{r})] \otimes [\rho_{\infty}(\mathbf{r}) \cdot s(\mathbf{r})]$$

Here: $b(\mathbf{r})$ – FT of the envelope function $B(\mathbf{q})$;
 $\rho_{uc}(\mathbf{r})$ – electron density of a unit cell;
 $s(\mathbf{r})$ – shape function

$$\rho_{\infty}(\mathbf{r}) = \sum_{\mathbf{n}} \delta(\mathbf{r} - \mathbf{R}_{\mathbf{n}});$$
$$\mathbf{R}_{\mathbf{n}} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$$

Theory

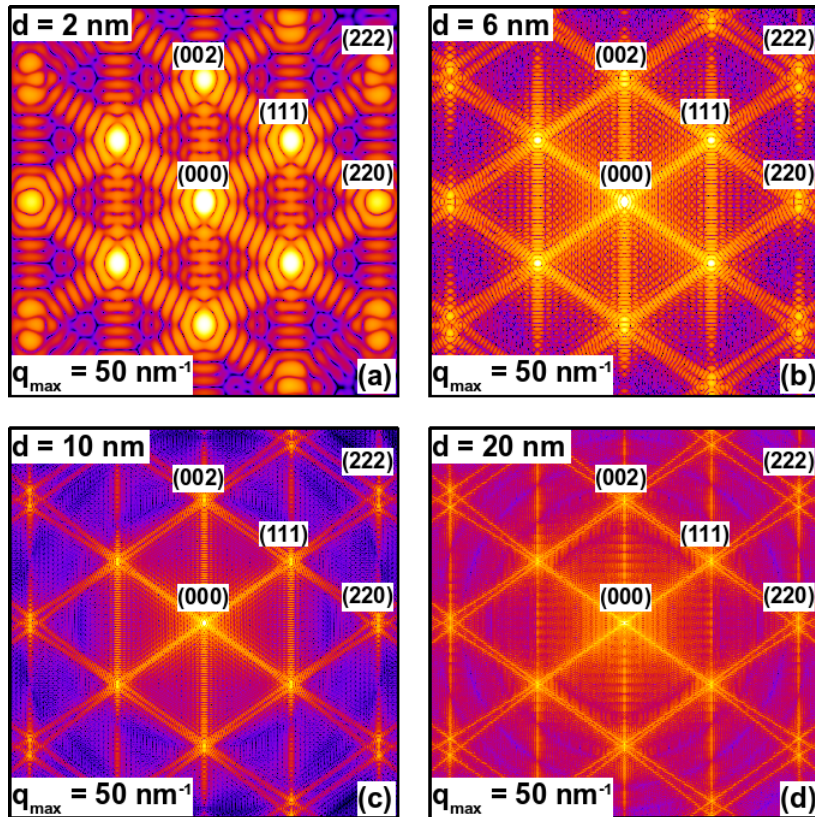
This electron density is peaking at the regular positions of the unit cell due to the function $\rho_{\infty}(\mathbf{r})$ and has an overall shape of the sample $s(\mathbf{r})$.

Most importantly, it contains the position of the atoms in the unit cell due to the reconstruction of the electron density function of a unit cell $\rho_{uc}(\mathbf{r})$.

This means the following: If the continuous intensity distribution around several Bragg peaks will be measured simultaneously and phase retrieval methods will be applied to get the phase, then, in principle, the electron density with *atomic* resolution will be obtained.

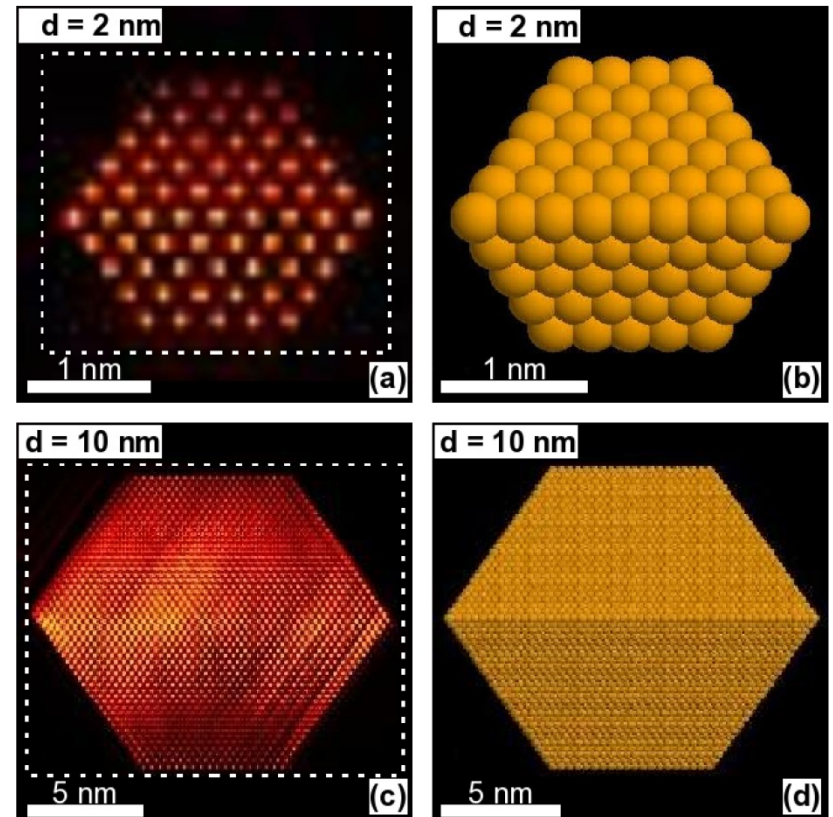
CXDI using high energy coherent X-rays

E=100 keV



Reconstruction

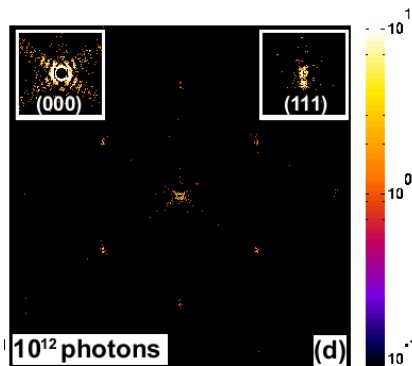
Model



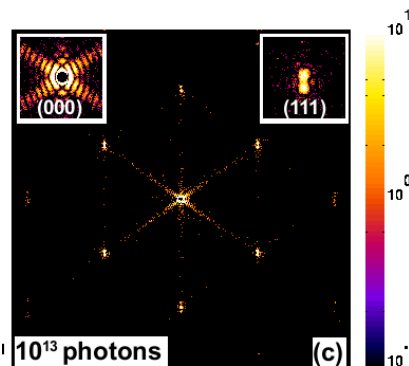
J. Gulden, et al.,
"Imaging of Nanocrystals with Atomic Resolution Using High-Energy Coherent X-rays", *XRM-2010 Proceedings*, AIP Conf. Proc. **1365**, 42-45 (2011)

CXDI using high energy coherent X-rays

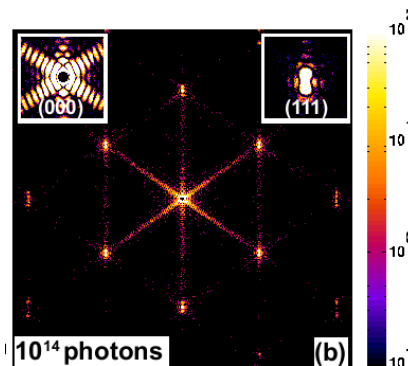
$F=10^{12}$ photons



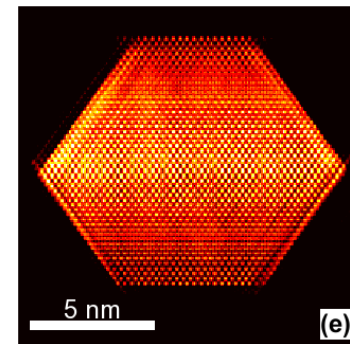
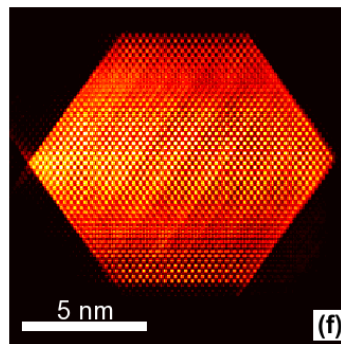
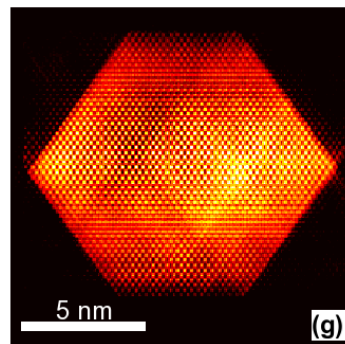
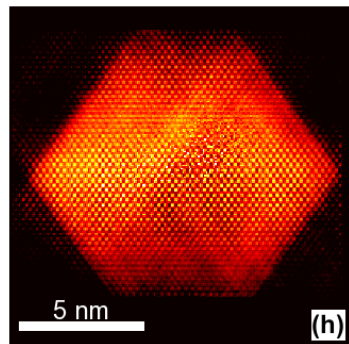
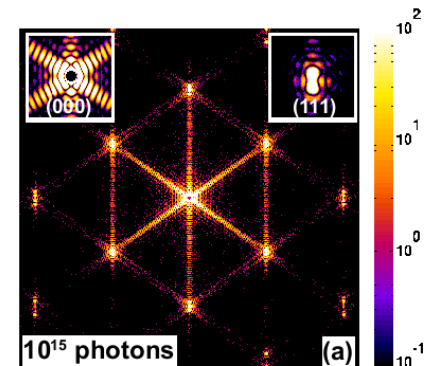
$F=10^{13}$ photons



$F=10^{14}$ photons



$F=10^{15}$ photons



Pd nanocrystal
10 nm size

*An excellent scientific goal for
diffraction limited storage ring?*



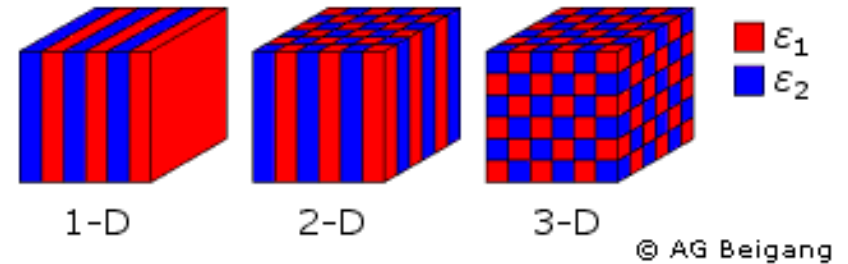
First realization of these ideas with photonic (colloidal) crystals

Photonic crystals

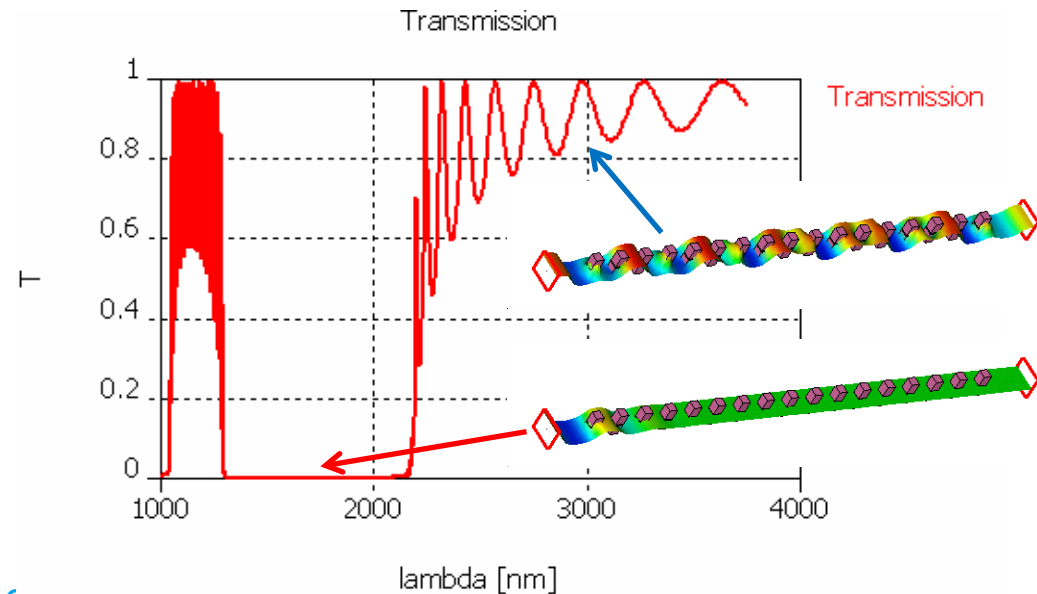
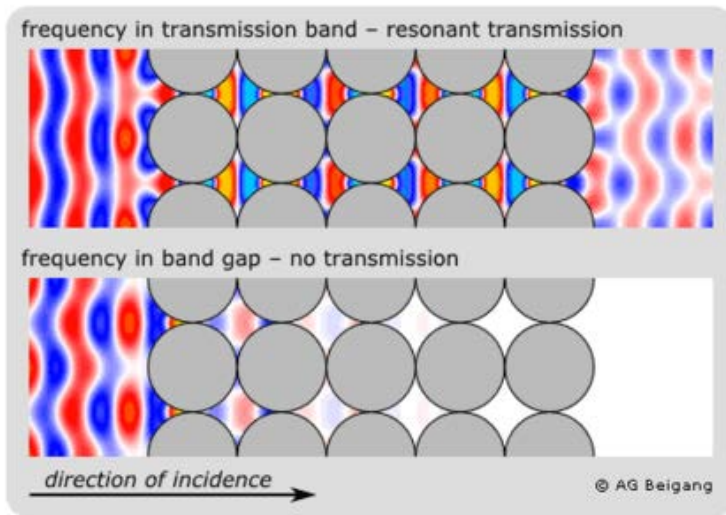
Photonic crystals in nature



Artificial photonic crystals



Photonic band gap materials

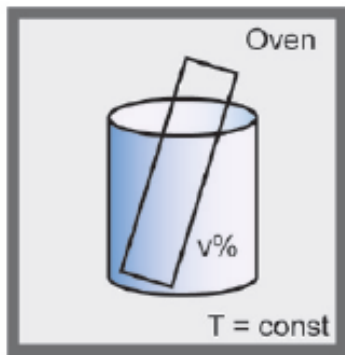
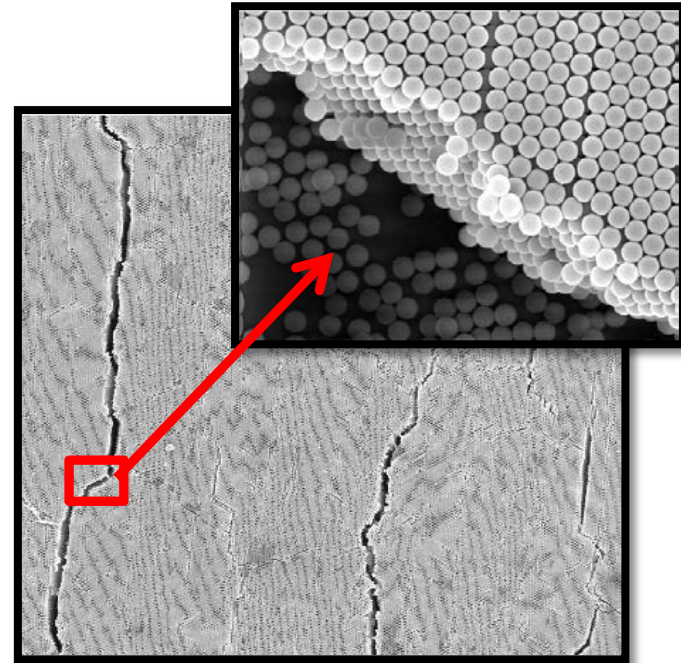
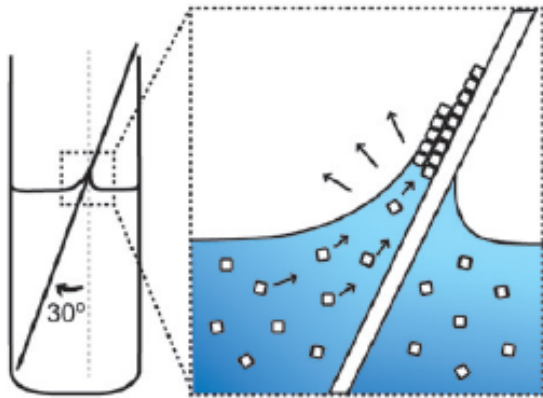


Colloidal crystals grown by self-organization

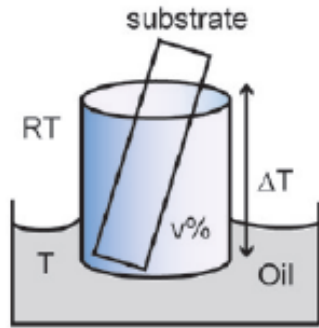


Growth of the colloidal crystal film

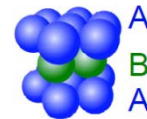
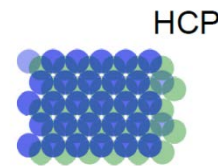
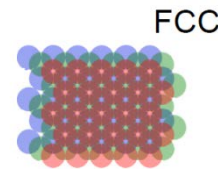
Vertical deposition method



Oven-setup



ΔT -setup



A
B
C = RHCP
A
B



Coherent x-ray imaging of defects in colloidal crystals

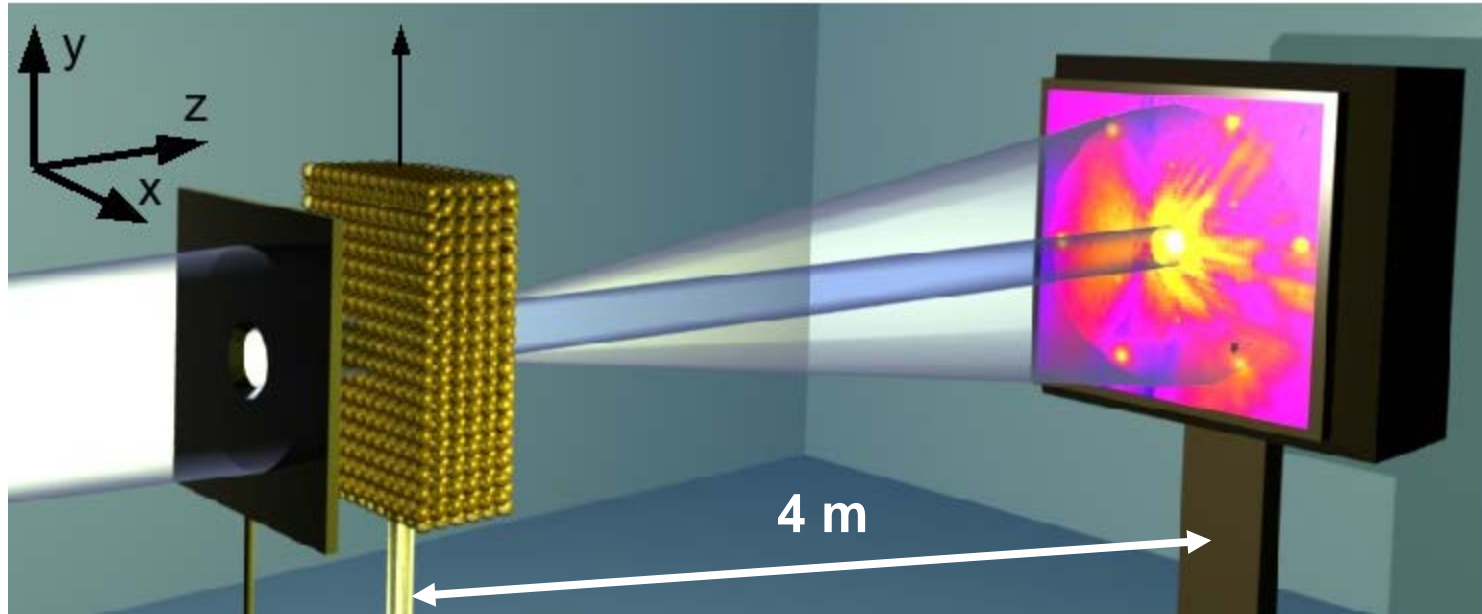




European Synchrotron Radiation Facility

ESRF

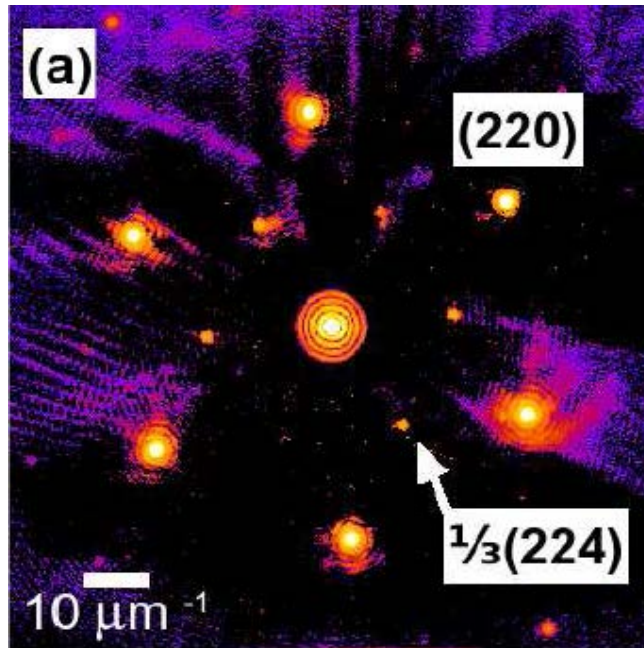
Measurements at azimuthal angle $\varphi = 0^\circ$



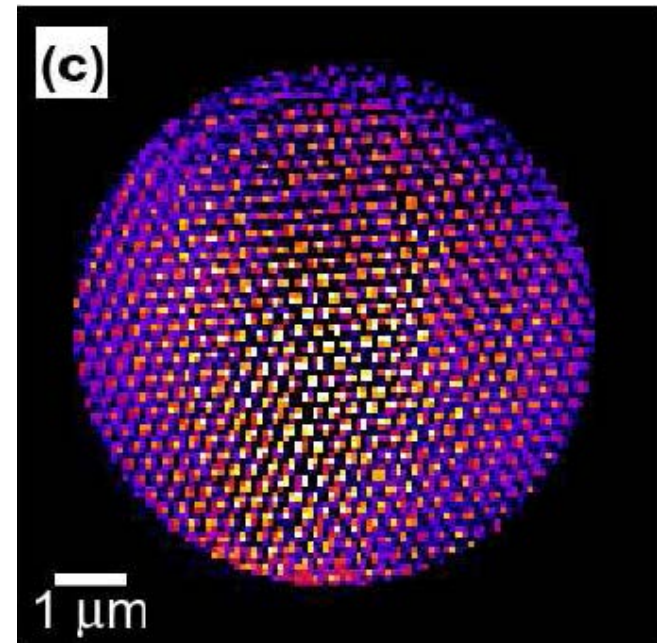
Experimental conditions (ID06, ESRF):

- Energy: $E=14$ keV
- Pinhole size $6.9 \mu\text{m}$
- Sample detector distance: 3.96 m
- Detector pixel size: $9 \mu\text{m}$
- Detector size: 4005×2671 pixels

Measurements at azimuthal angle $\varphi = 0^\circ$

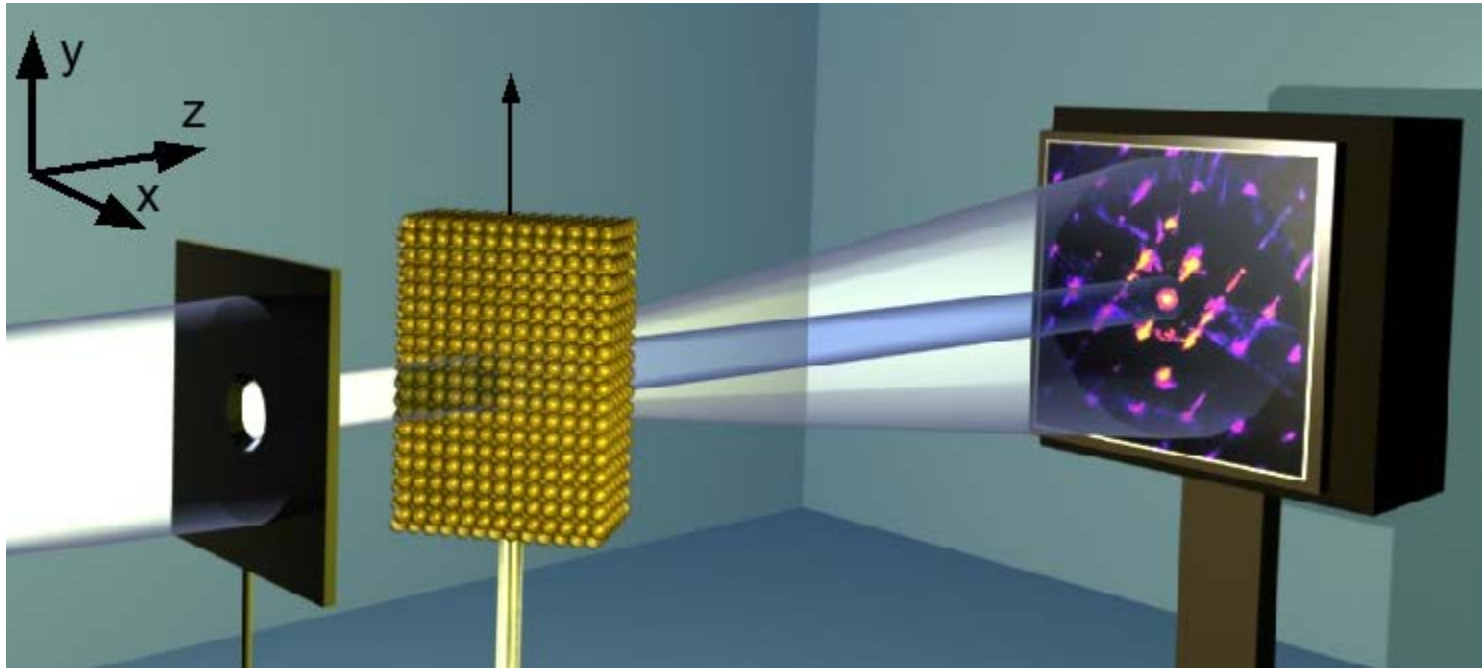


Diffraction pattern with the subtracted pinhole



Results of reconstruction from this diffraction pattern

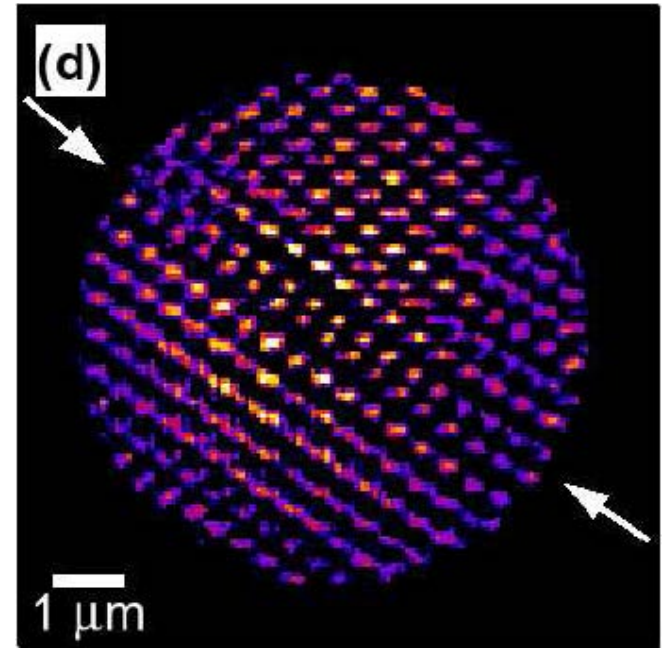
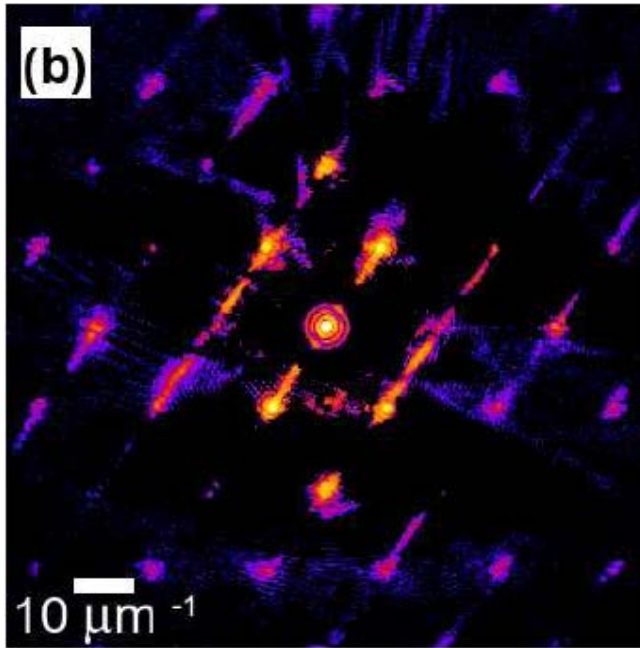
Measurements at azimuthal angle $\varphi = 35^\circ$



Experimental conditions (ID06, ESRF):

- Energy: $E=14$ keV
- Pinhole size $6.9 \mu\text{m}$
- Sample detector distance: 3.96 m
- Detector pixel size: $9 \mu\text{m}$
- Detector size: 4005×2671 pixels

Measurements at azimuthal angle $\varphi = 35^\circ$



**For the first time
defect core
was directly imaged using
coherent x-rays**

Extension to 3D

Crystallography with coherent X-rays

- J. Gulden, *et al.*, Optics Express, **20**(4) 4039 (2012)
- A. Shabalin, *et al.*, (2015) (in preparation)



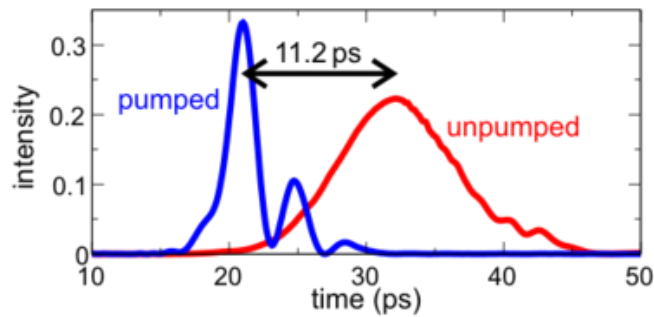
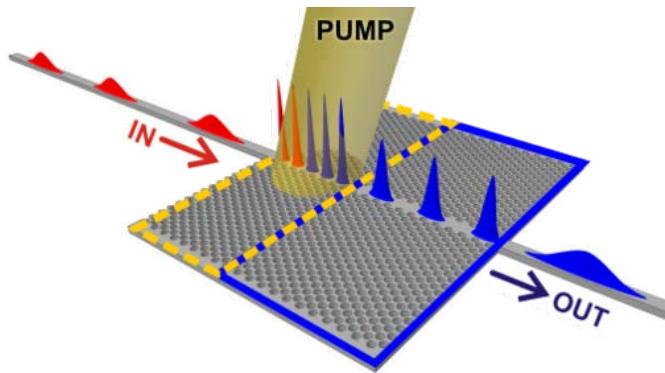
PETRA III

Structural evolution of colloidal crystal films in the vicinity of the melting transition



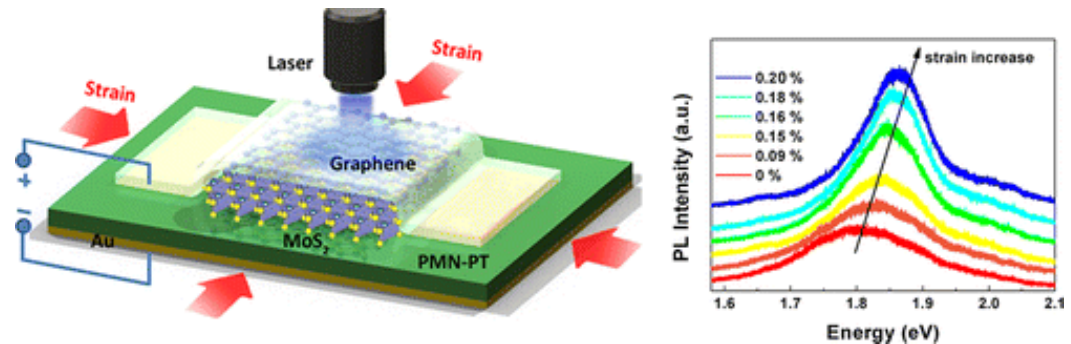
Tuning of properties by external fields

Pumping energy



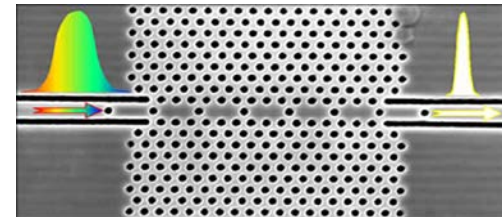
M. Daryl *et al.*, PRL 108, 033902 (2012)

Strain field engineering



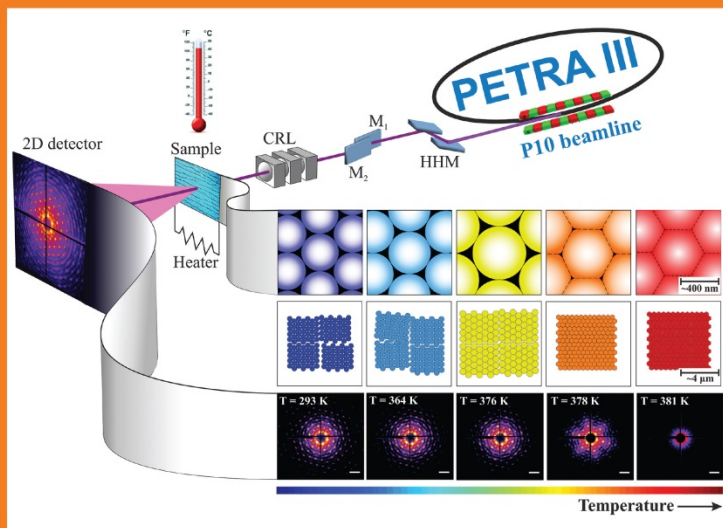
Y. Y. Hui *et al.*, ACS Nano 7 (8), 7126 (2013)

Incremental heating



30th Anniversary
Langmuir
The ACS Journal of Surfaces and Colloids

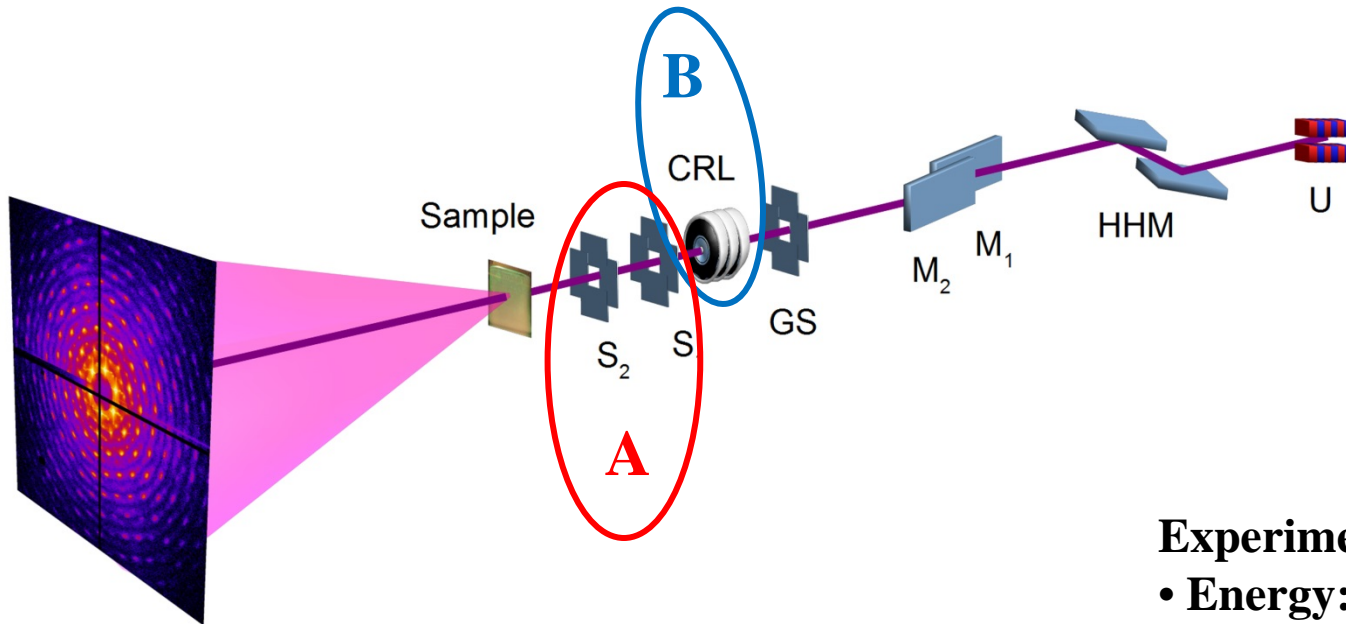
MAY 19, 2015
VOLUME 31, NUMBER 19
pubs.acs.org/Langmuir



Schematics of the In Situ High-Resolution X-ray Scattering Setup at the P10 Coherence Beamline of the PETRA III Light Source
(see p. 5A)



Experimental setup. P10 beamline, PETRA III



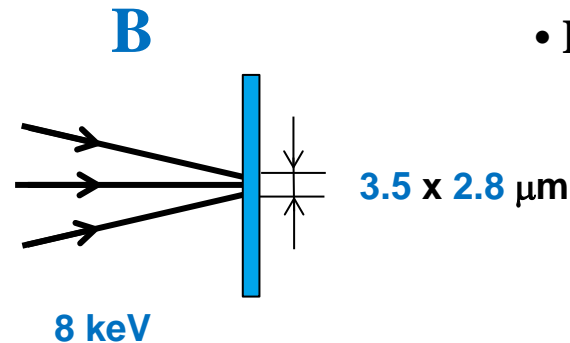
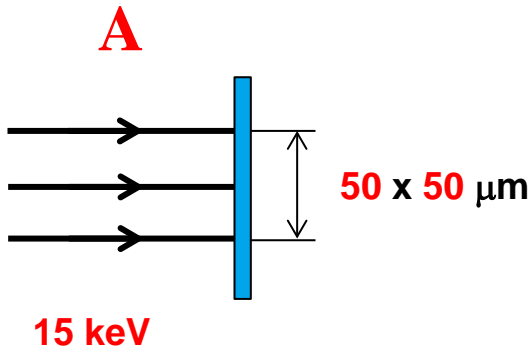
2D detector



Colloidal crystal film

Experimental conditions:

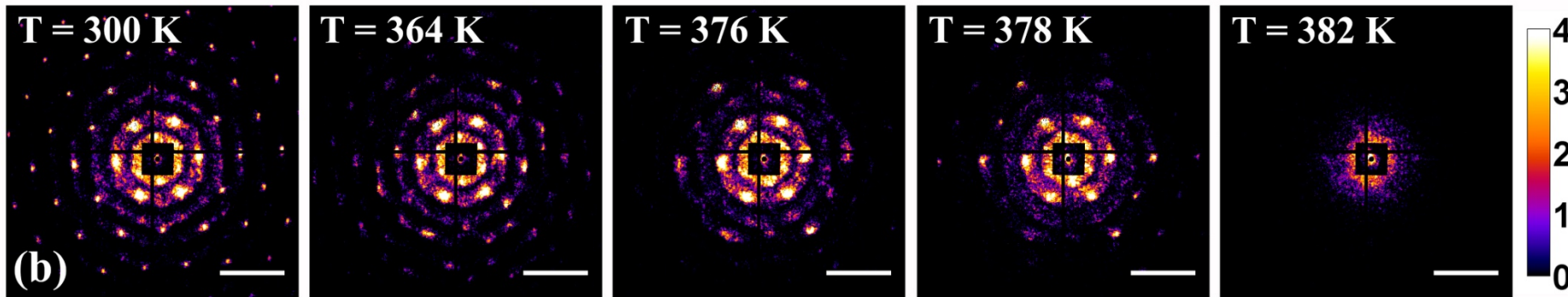
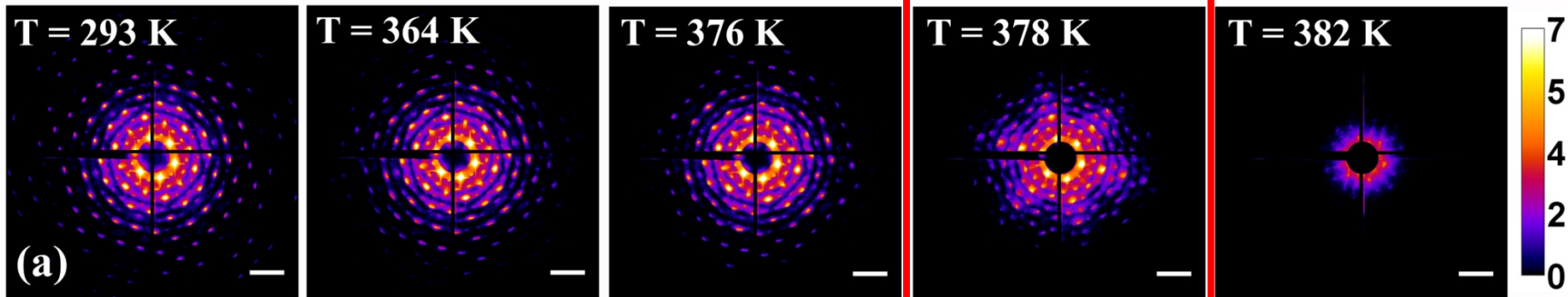
- Energy: $E=15$ keV, 8 keV
- Beam size $50 \times 50 \mu\text{m}$, $3.5 \times 2.8 \mu\text{m}$
- Sample detector distance: 5.1 m
- Detector pixel size: $55 \times 55 \mu\text{m}^2$
- Detector size: 516×516 pixels



X-ray diffraction patterns measured *in situ* during incremental heating

Experiment A

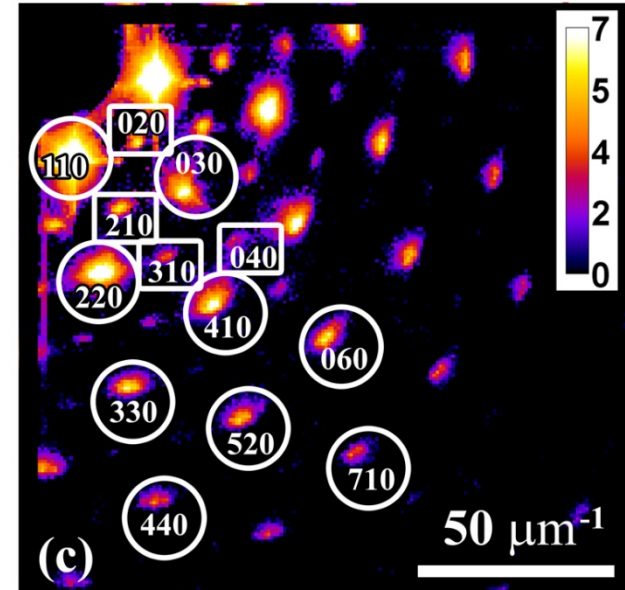
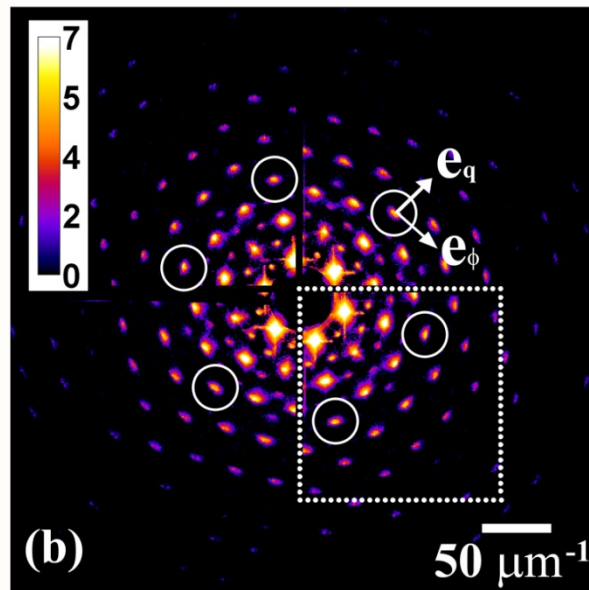
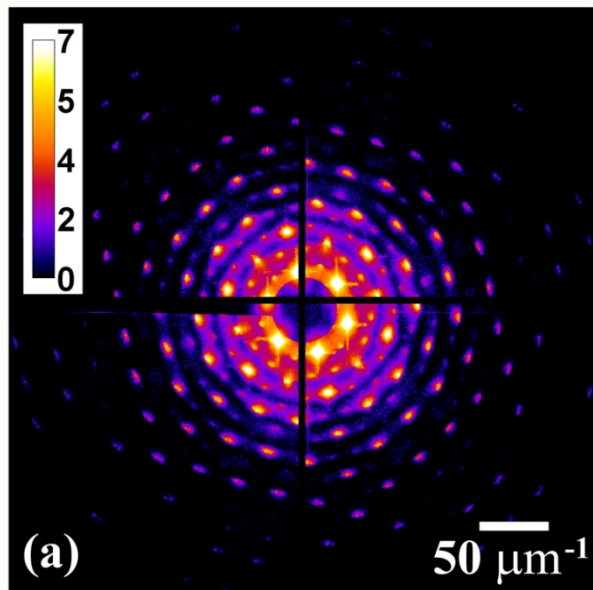
15 keV, 50 x 50 μm unfocused beam



Experiment B

8 keV, 3.5 x 2.8 μm focused coherent beam

X-ray diffraction pattern of the experiment A measured at room temperature

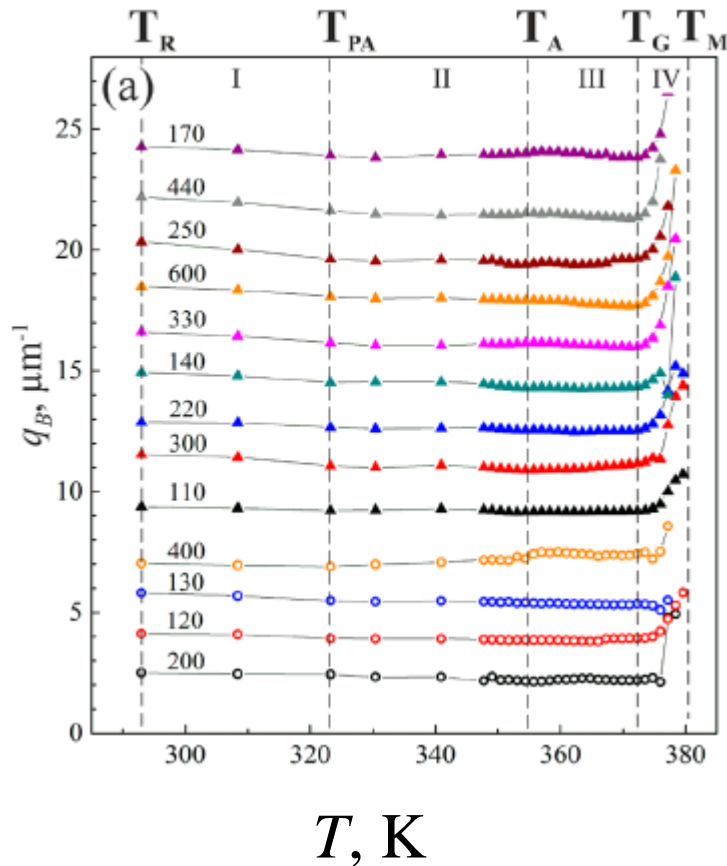


X-ray diffraction pattern of the **experiment A** measured at room temperature.

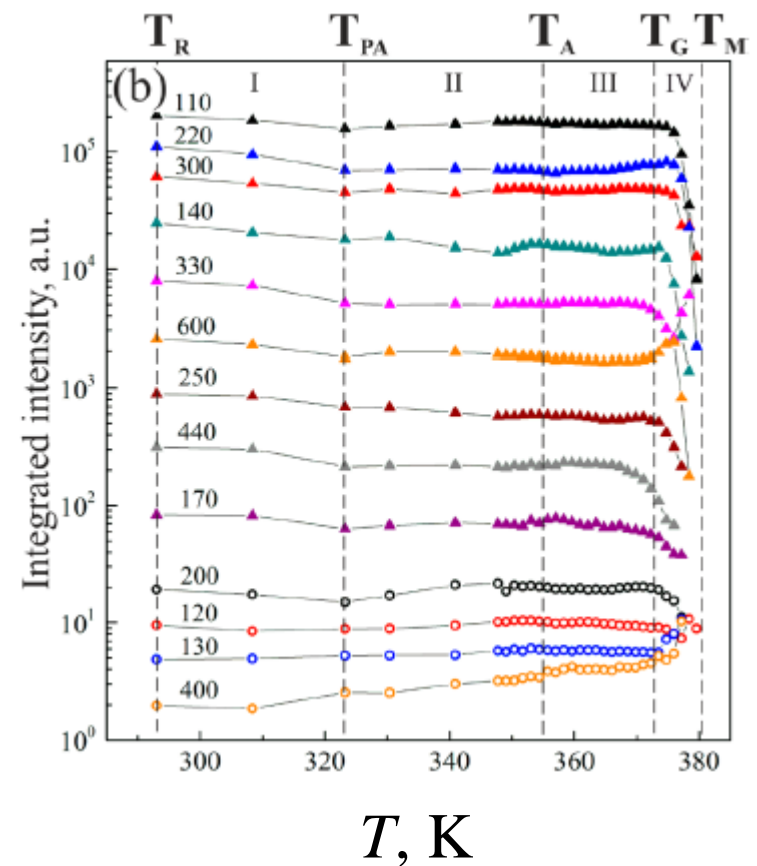
Same pattern with SAXS contribution subtracted.

Enlarged area of (b) showing Bragg peak indexing.

Temperature evolution of the Bragg peaks parameters experiment A



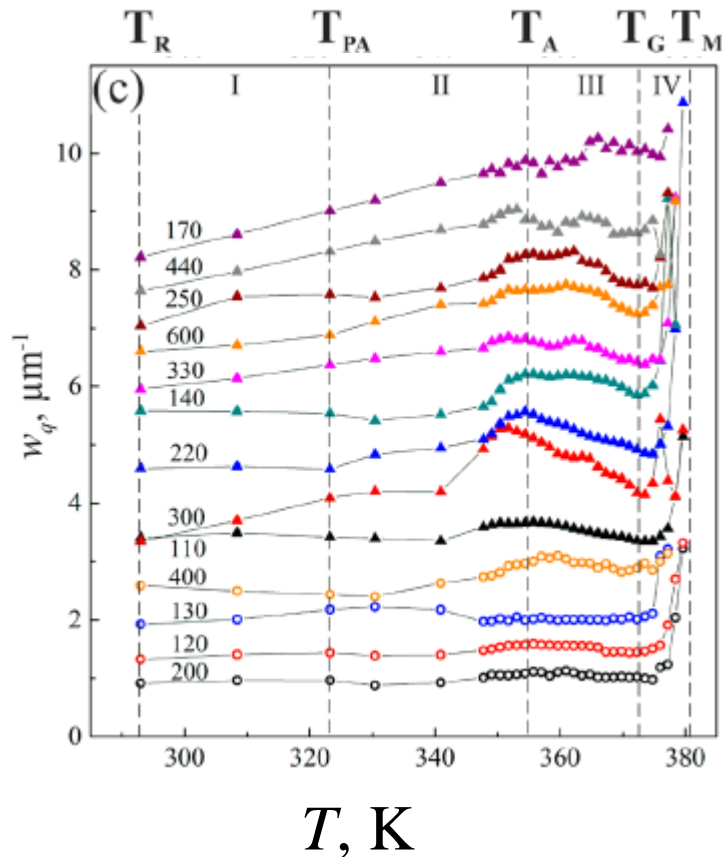
**Position of the
Bragg peak**



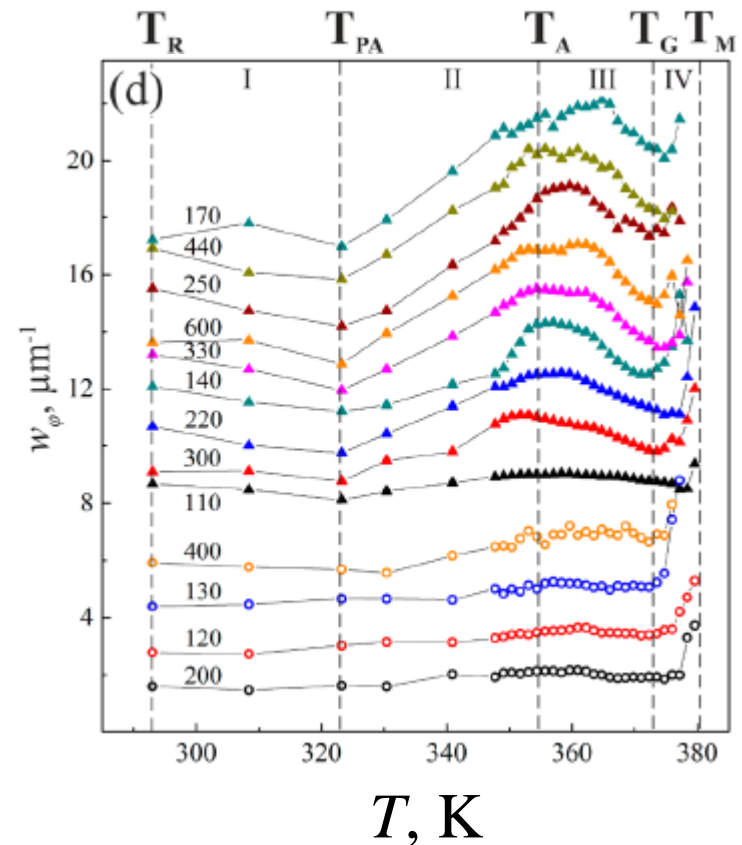
**Integrated
intensity**



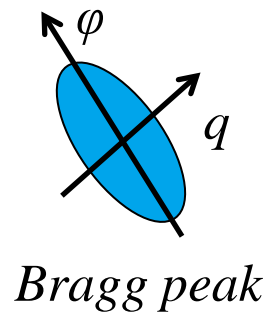
Temperature evolution of the Bragg peaks parameters experiment A



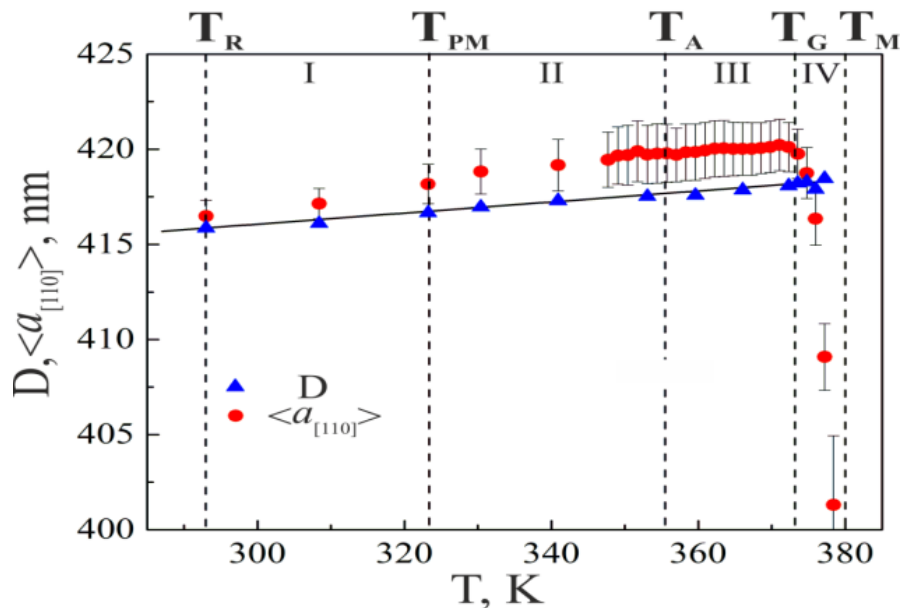
FWHM of the Bragg peak in radial direction, w_q



FWHM of the Bragg peak in azimuthal direction, w_φ

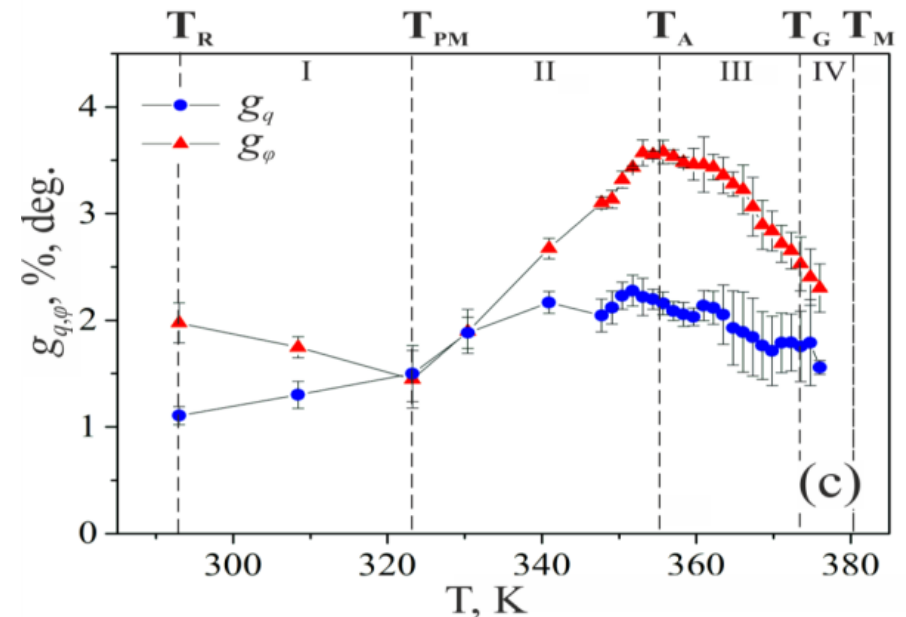


Nano- scale



Polystyrene particle diameter D
and average lattice parameter
 $\langle a_{[110]} \rangle$

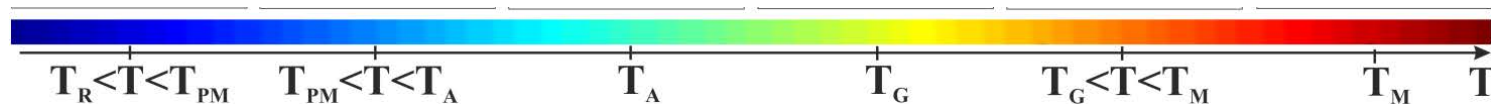
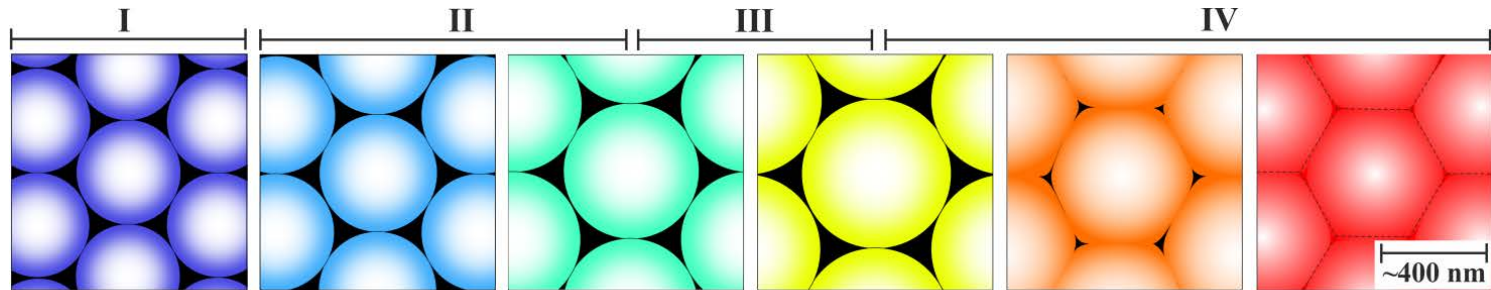
Mesoscopic scale



Lattice distortion parameter g_q
and domain misorientation
parameter g_ϕ

The model of colloidal crystal melting process

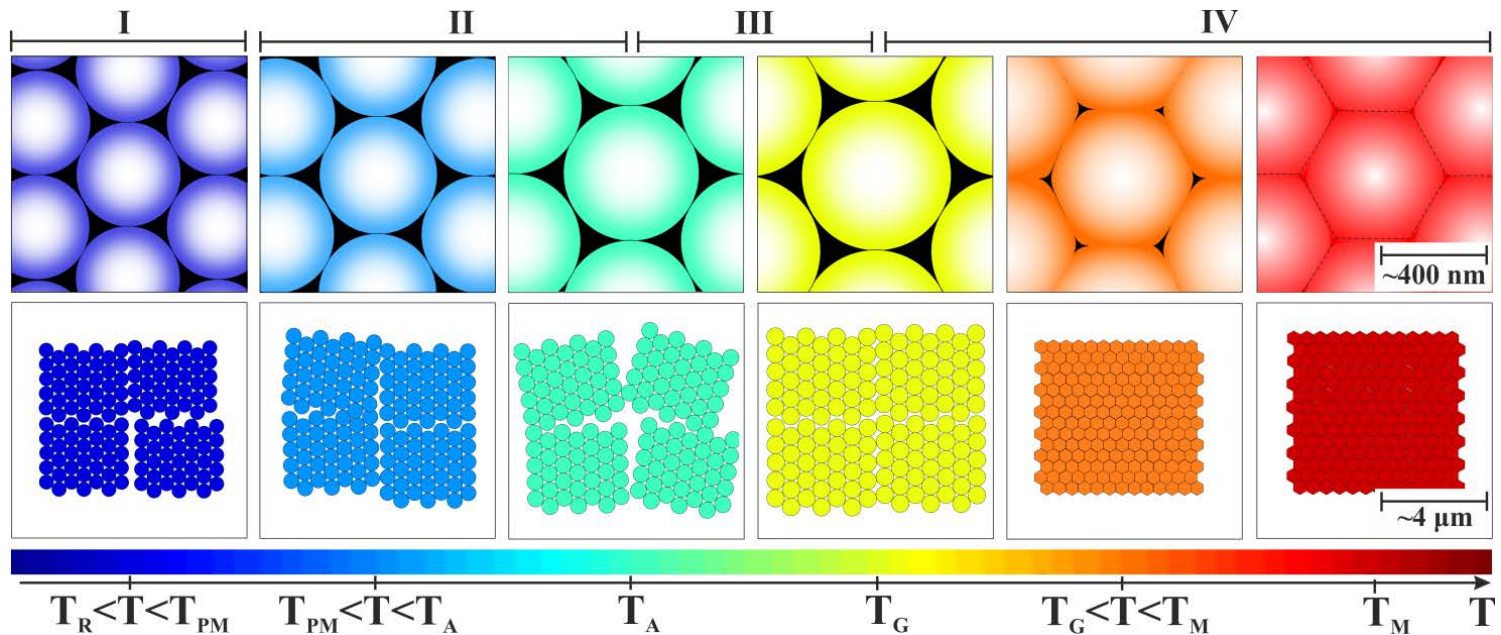
Nano- scale



Mesoscopic scale

The model of colloidal crystal melting process

Nano- scale



Mesoscopic scale

Free-electron lasers



We are living in exciting time !

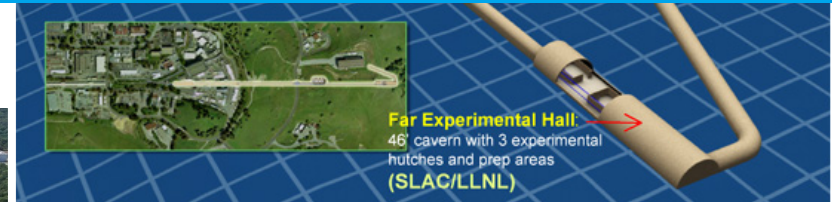
FLASH at DESY 2005



LCLS at Stanford 2009



Sometime Nobel prize in physics?



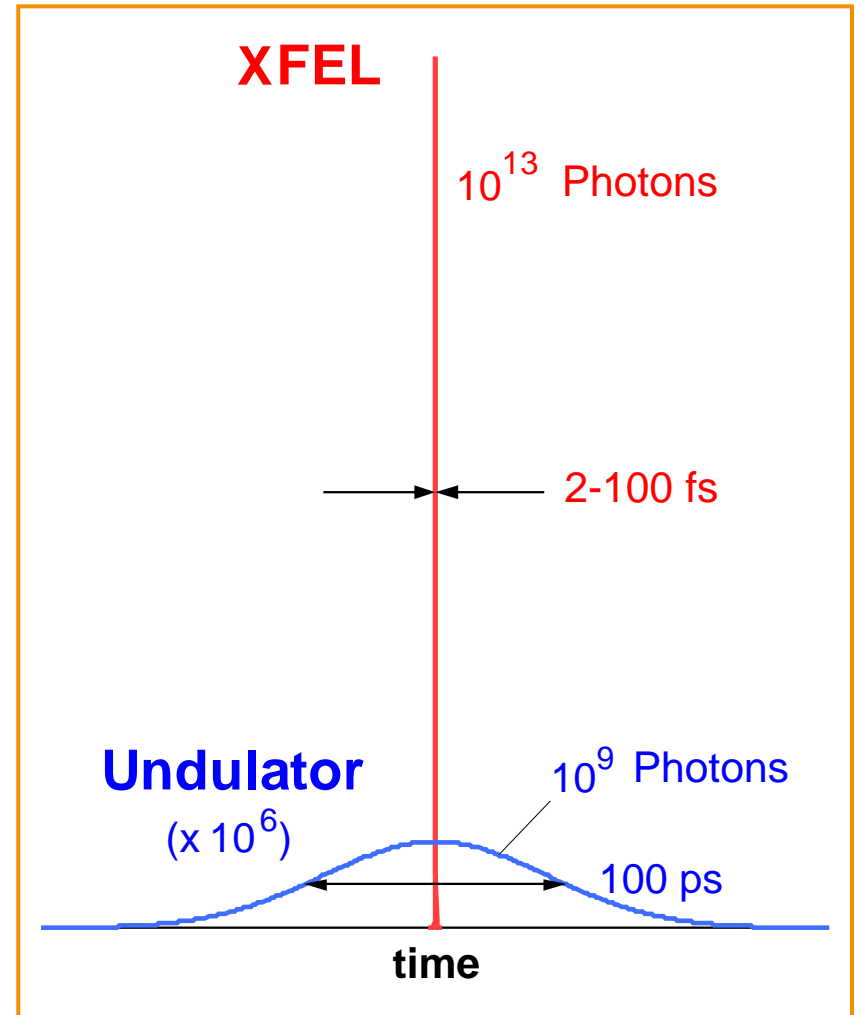
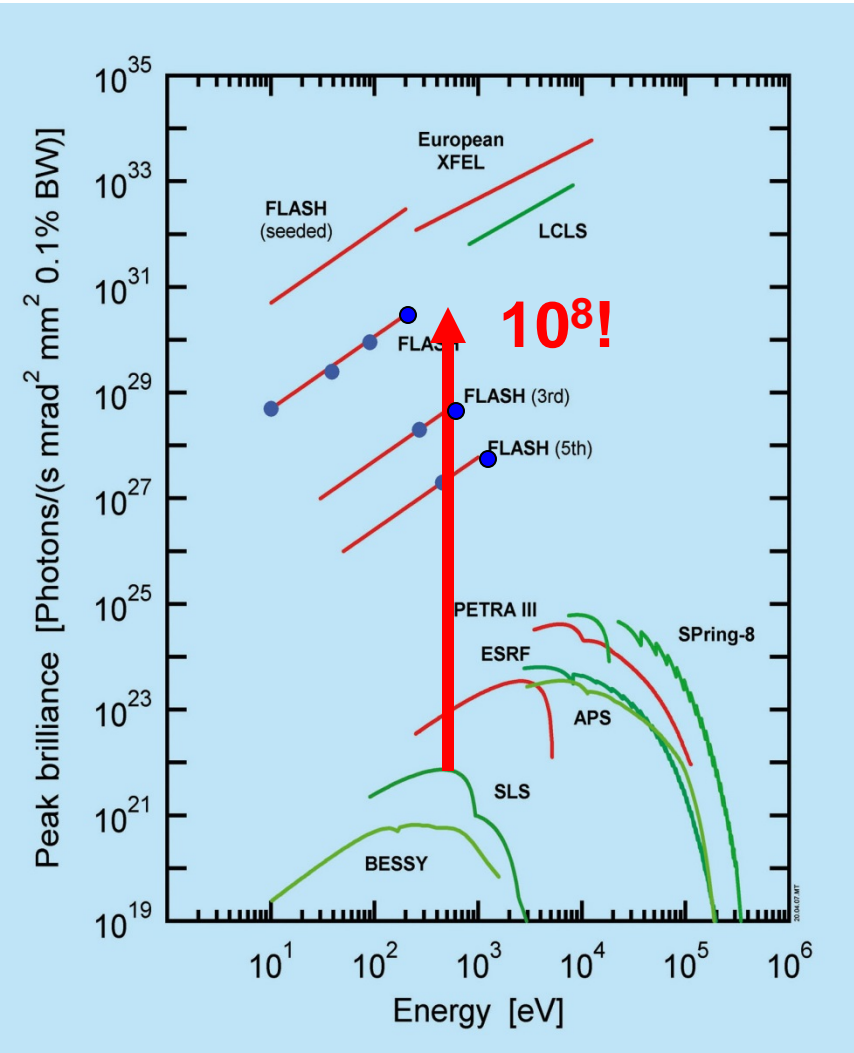
SACLA at Spring8 2011

European XFEL under construction (2016-2017)

European XFEL



Comparison of 3rd and 4th generation sources

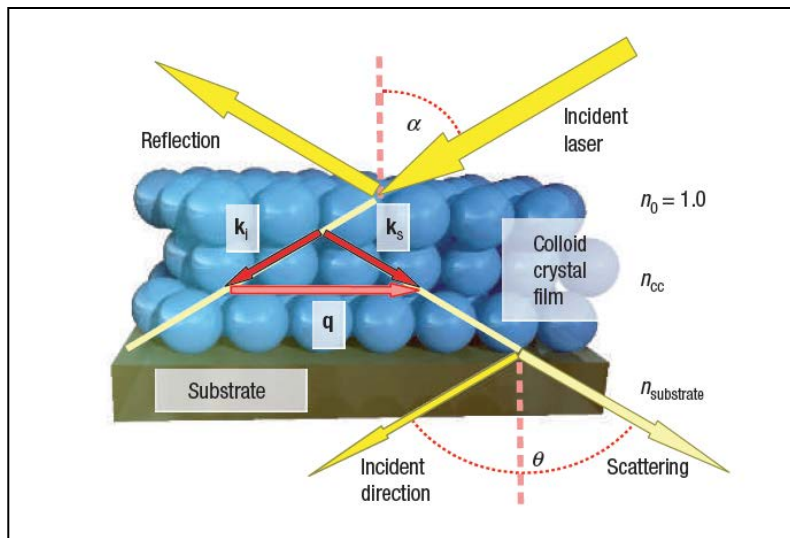


Study of dynamics in colloidal crystals

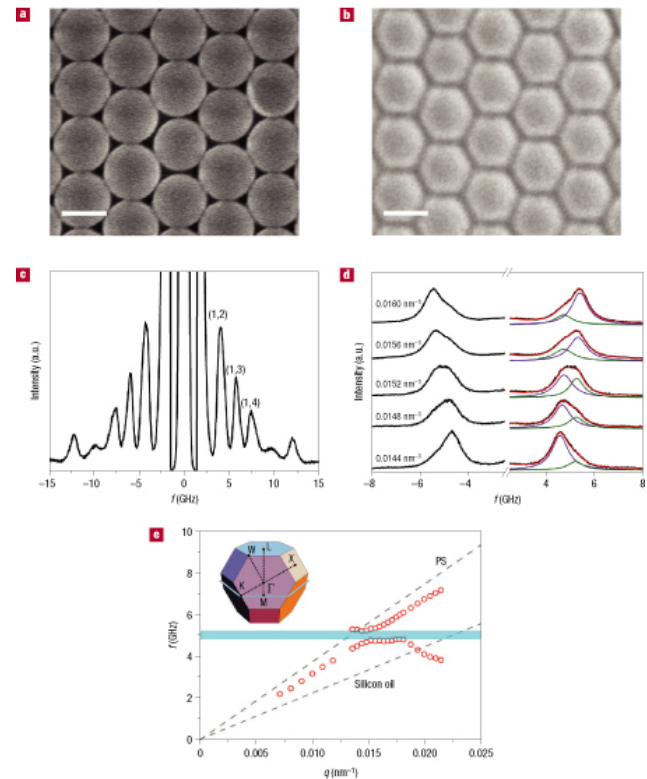


Observation and tuning of hypersonic bandgaps in colloidal crystals

- > Polystyrene spheres in air, glycerol, PDMS and silicon oil
- > $D = 256 \text{ nm}, 307 \text{ nm}$
- > Brillouin spectroscopy
- > No sintering



Supported opal and scattering geometry



Brillouin light scattering spectra of dry and wet opals and phononic gap



Pump-probe experiment on colloidal crystals at FLASH



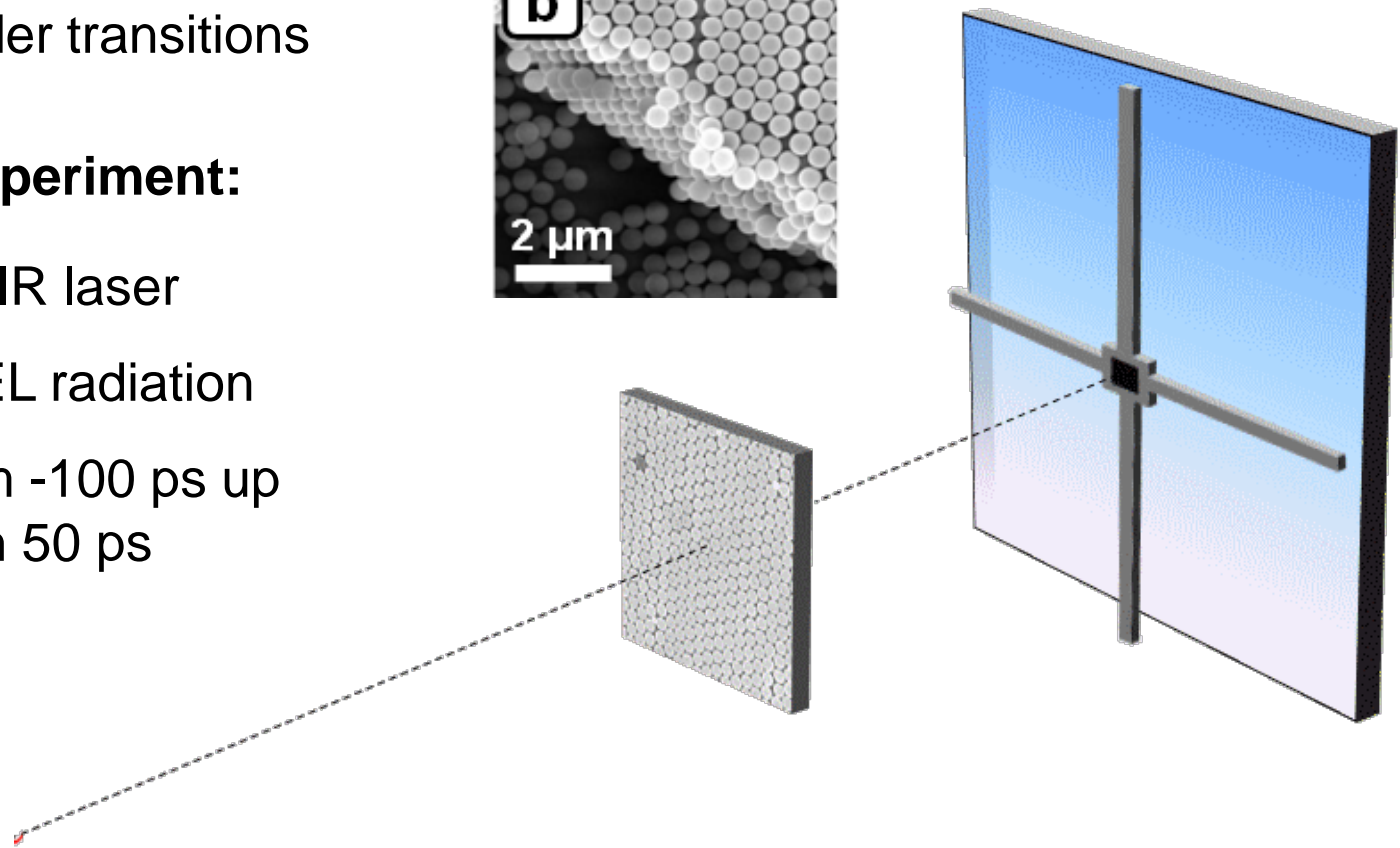
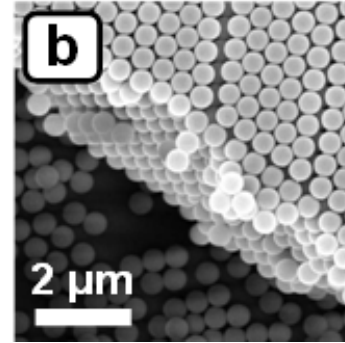
Pump probe experiment on colloidal crystal film at FLASH

> Study of colloidal crystal in the temporal domain

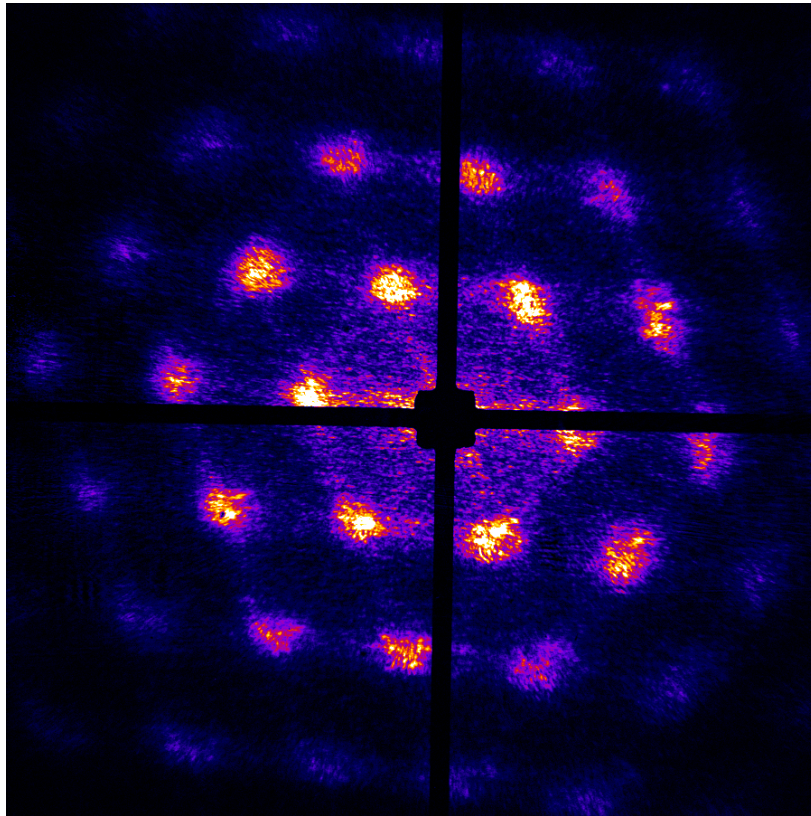
- ✓ Elastic vibration of the spheres (Lamb modes)
- ✓ Collective vibrations (phonons)
- ✓ Order-disorder transitions

Pump-probe experiment:

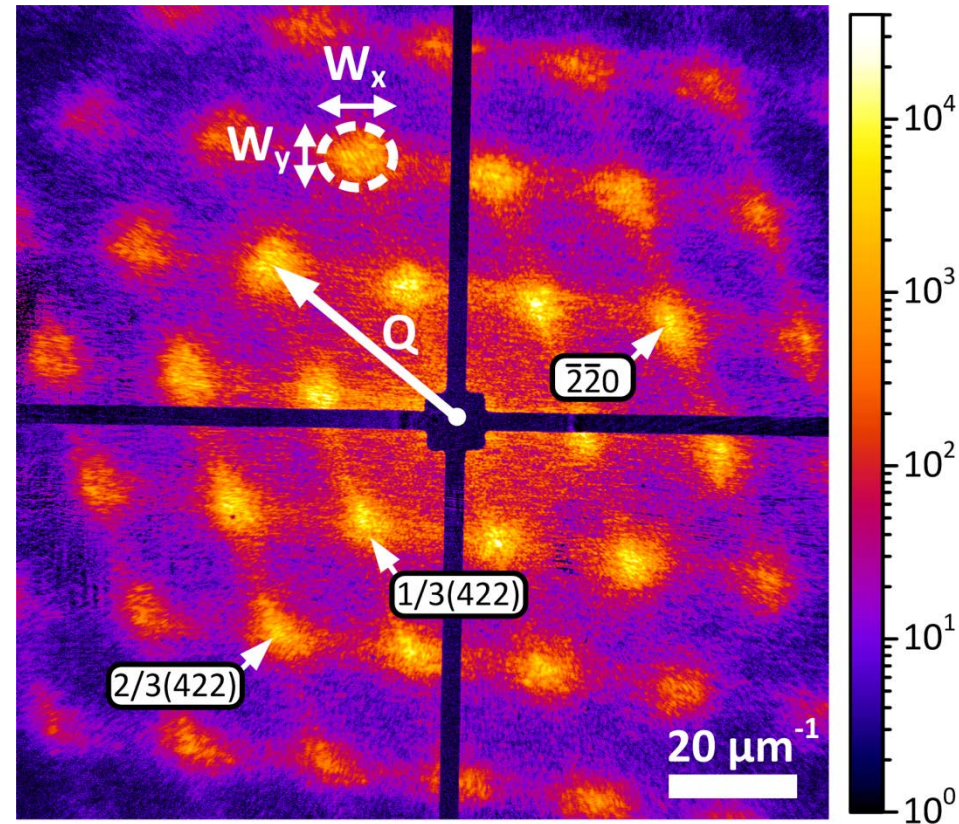
- > Pump: 800 nm IR laser
- > Probe: 8 nm FEL radiation
- > Time delay from -100 ps up to 1000 ps, with 50 ps steps



Pump-Probe Experiment on Colloidal Crystal Film at FLASH



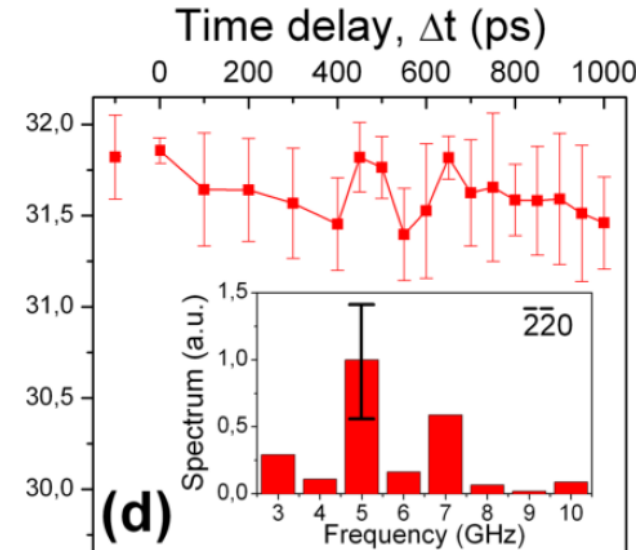
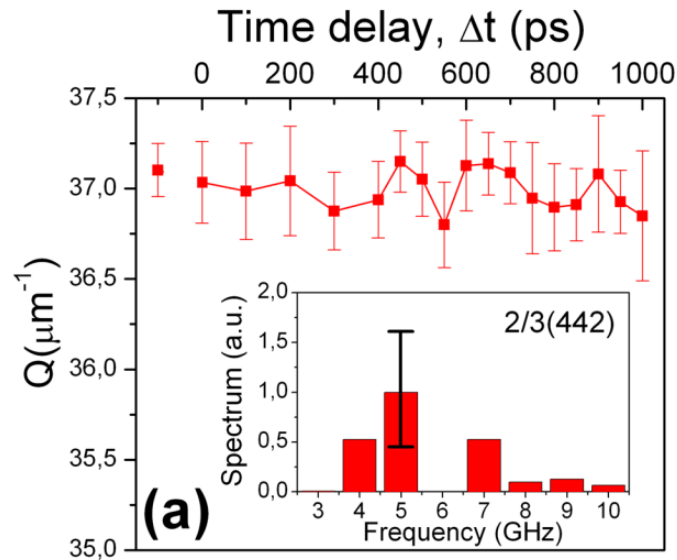
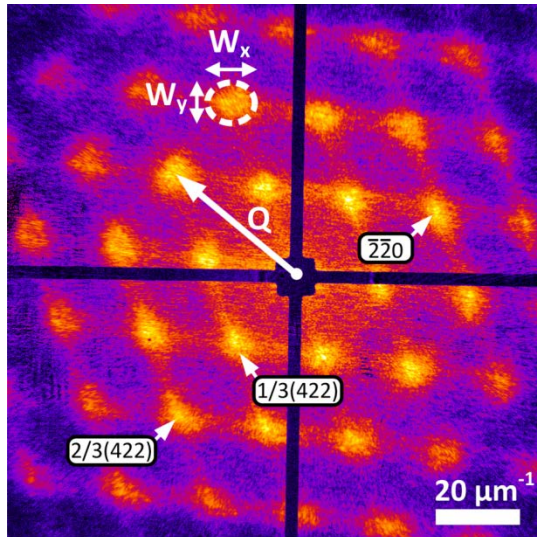
Single-shot diffraction patterns at different time delay



The momentum transfer vector \mathbf{Q} and the horizontal W_x and vertical W_y size of the peaks were analyzed

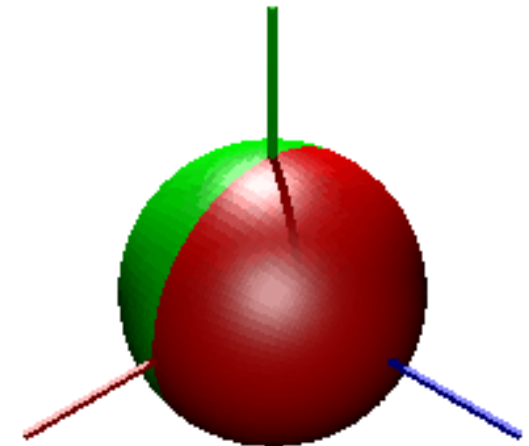


Pump-Probe Experiment on Colloidal Crystal Film at FLASH

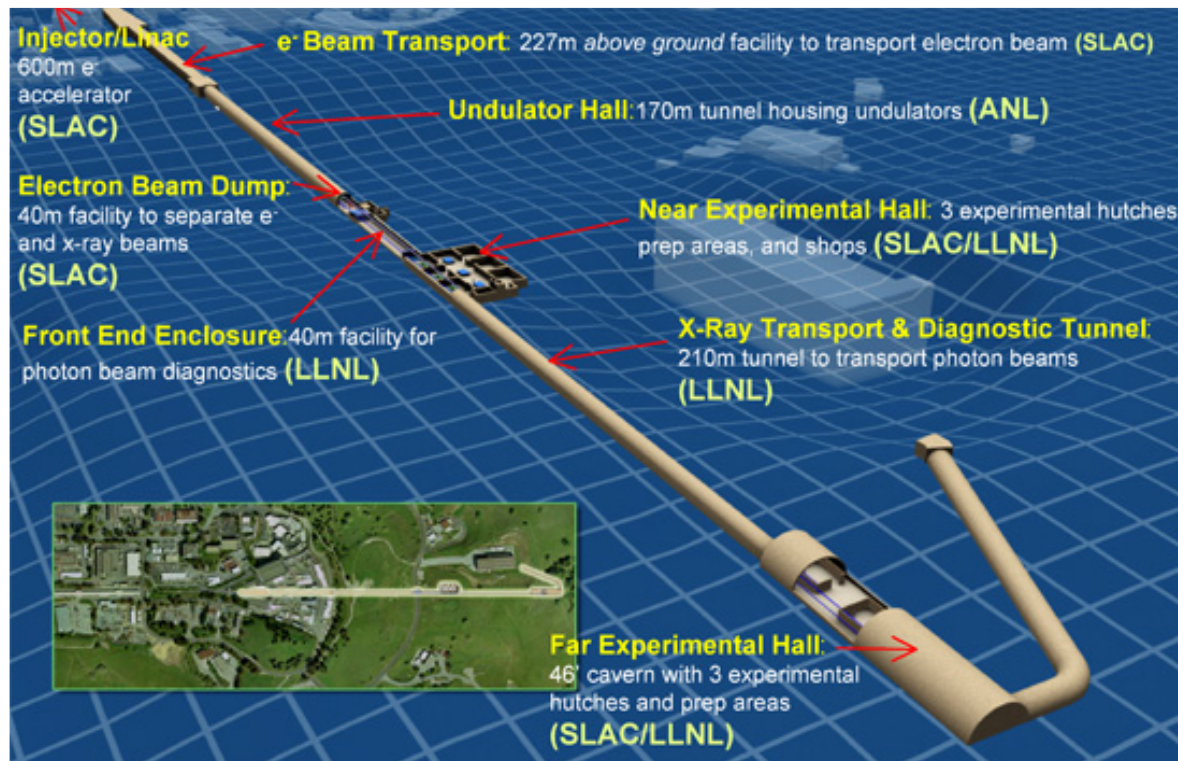


Time dependence and power spectrum of $|Q|$ for the selected $2/3(422)$ and $\bar{2}20$ Bragg peaks

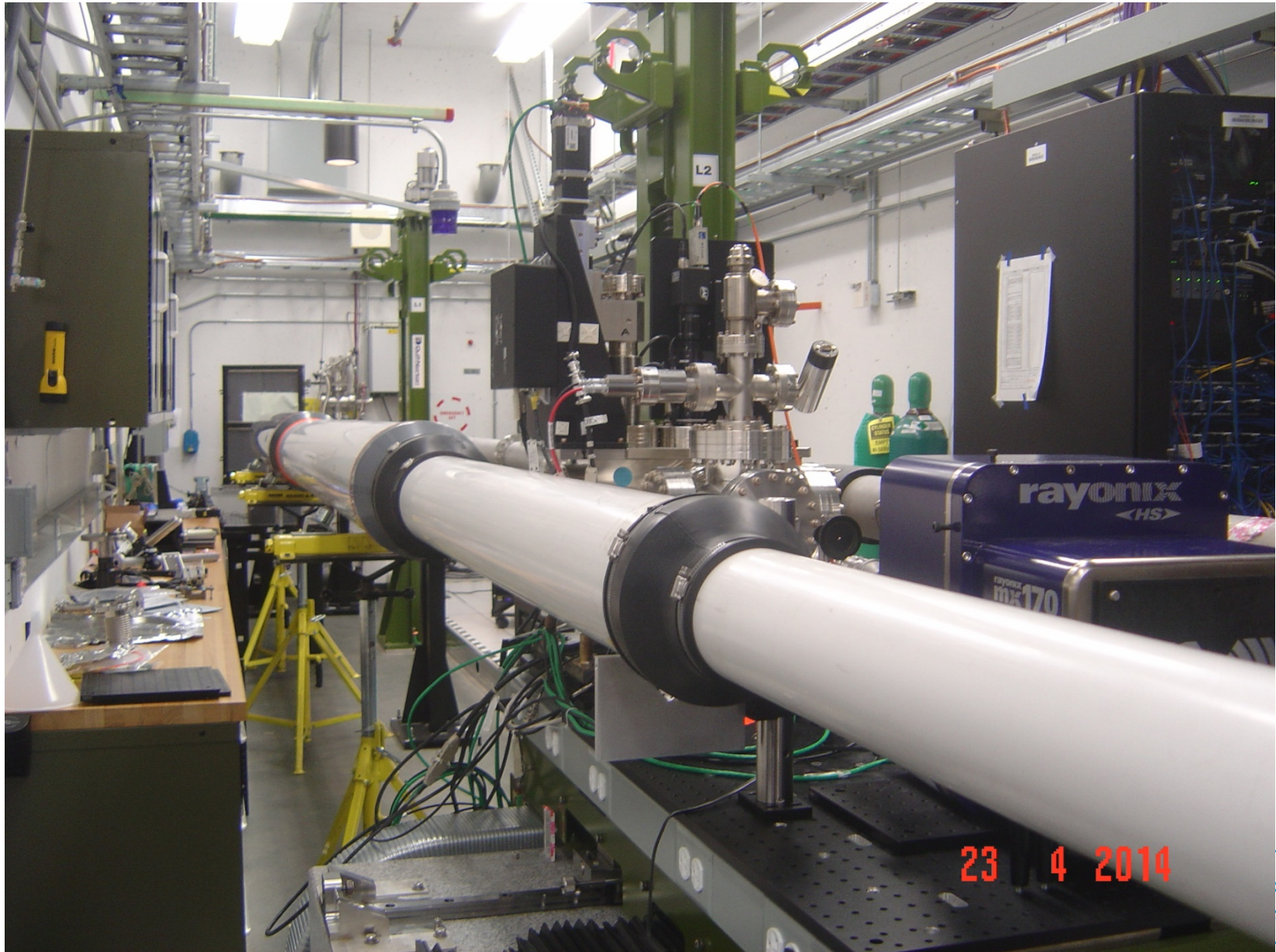
Theoretical calculations of vibrations of a 400 nm isotropic elastic sphere based on the Lamb theory reveal a 5.07 GHz eigenfrequency of the ground (breathing) mode



Pump-probe experiment on colloidal crystals at LCLS



Experimental setup@XPP



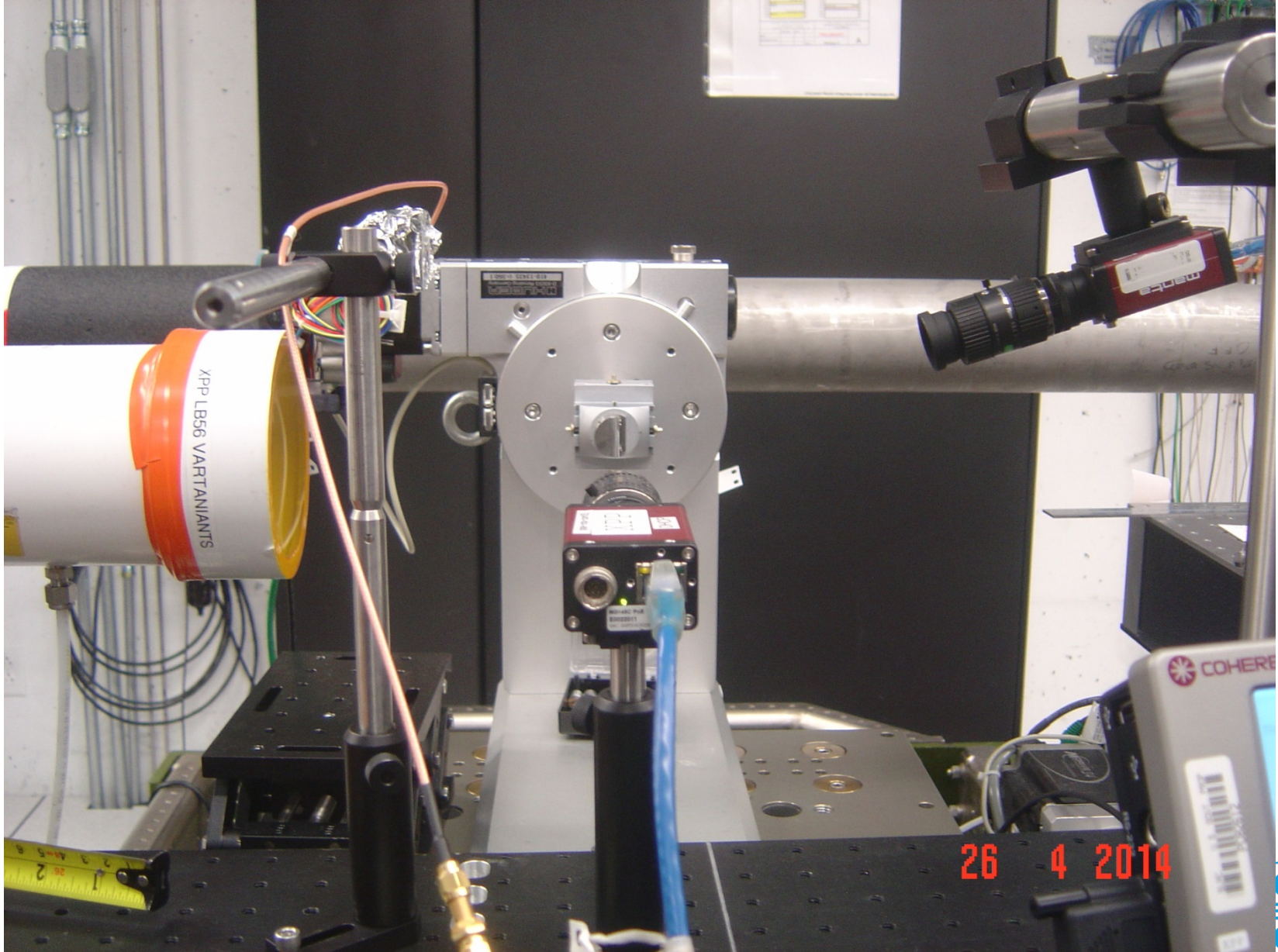
Experimental setup@XPP



CSPAD detector



Experimental setup@XPP



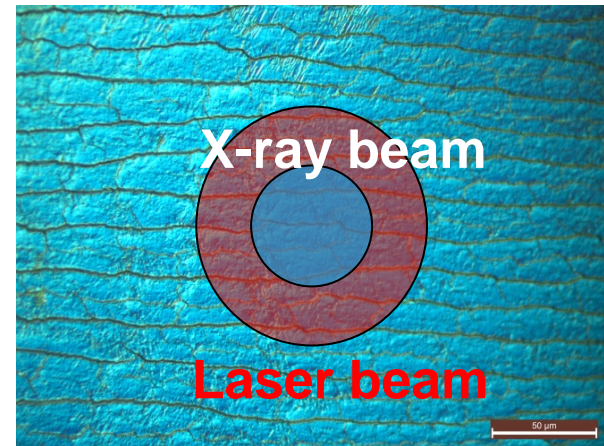
Parameters of X-ray and IR laser beams

1. X-ray beam

- $E=8$ keV
- Pulse duration: ≤ 50 fs
- $\text{Flux}_{\text{sample}} \sim 10^9$ ph/pulse
- Focus ~ 50 μm
- Energy bandwidth $\sim 10^{-4}$

2. Laser beam

- $\lambda_{\text{las}} = 800$ nm
- Pulse duration: ≤ 50 fs
- $E \sim 2$ mJ
- Power: $P \sim 4 \cdot 10^{10}$ W
- Focus ~ 100 μm



Pump-Probe experiment on colloidal crystals



Pump-Probe on Colloidal Crystals

Camera: XPP Gige 6

Cameras Show/Hide Data Processing Orientation Zoom Markers/ROI Administration

Camera: XPP Gige 6
Connected: YES
Data Rate: 3.4 Hz Display Rate: 3.4 Hz

Color Map: Hot Log Scale
Min: 0
Max: 741
 Force Color Image to Grayscale

Display: Single Frame (at ~5 Hz)
 Local Average (at 5Hz / #) 1

Marker:
1 X: 454 Y: 497
2 X: 1334 Y: 863
3 X: 1375 Y: 70
4 X: 916 Y: 483

Region of Interest: X: 0 Y: 0 W: 1388 H: 1038
[Set ROI] [Reset ROI]

Zoom: Zoom In (2x) Zoom Out (0.5x)
Zoom To ROI Zoom to Actual Size

GigE Camera Settings: Camera Mode: Fixed Rate
Gain: 1
Acquisition Time (s): 0.3
Acquisition Period (s): 0.2

an 240.63 Std 98.28 Var/Mean 40.14 (0,0) W 1388 H 1038
t# 1/1 Color scale [0,741] Zoom 3.138
7: 143 1:(454,497): 345 2:(1334,863): 130 3:(1375,70): 239 4:(916,483): 666

Damage produced by laser power 7° wave plate angle ($\sim 50 \mu\text{J}$)

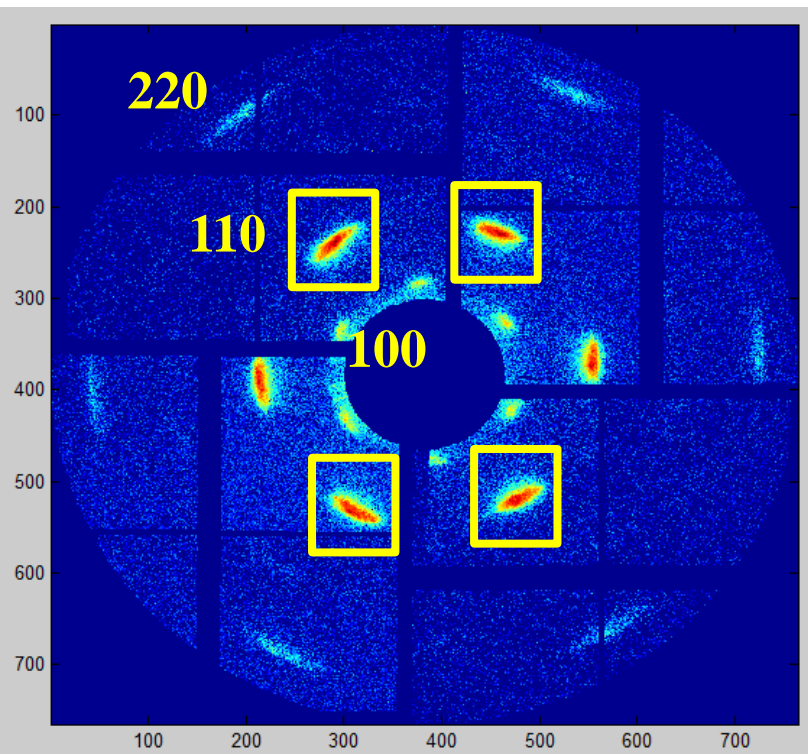


Study of ultrafast melting of colloidal crystals



Decay of integrated intensity of the peaks

Diffraction pattern



Decay of integrated intensity

$$\frac{\Delta I(\tau)}{\langle I \rangle} = \frac{I_1^{on}(\tau) - \langle I^{off} \rangle}{\langle I^{off} \rangle}$$

τ - time delay between laser
and X-ray pulses

$$\frac{\Delta I(\tau)}{\langle I \rangle} = A \cdot \exp\left(-\frac{\tau}{\tau_0}\right) - A$$

Fit of integrated intensity decay with
exponential function

Time delays from -10 ps to +1000 ps

$$\Delta\tau=25.25 \text{ ps}$$

Time delays from -10 ps to +250 ps

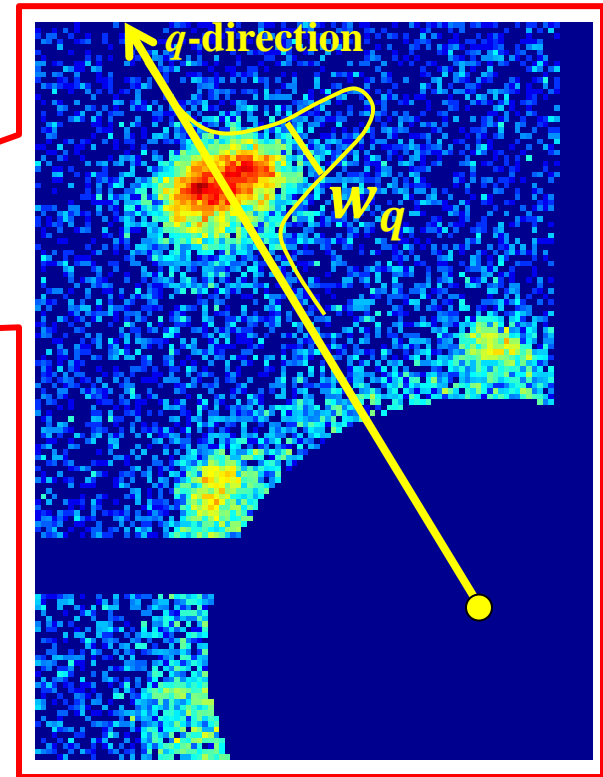
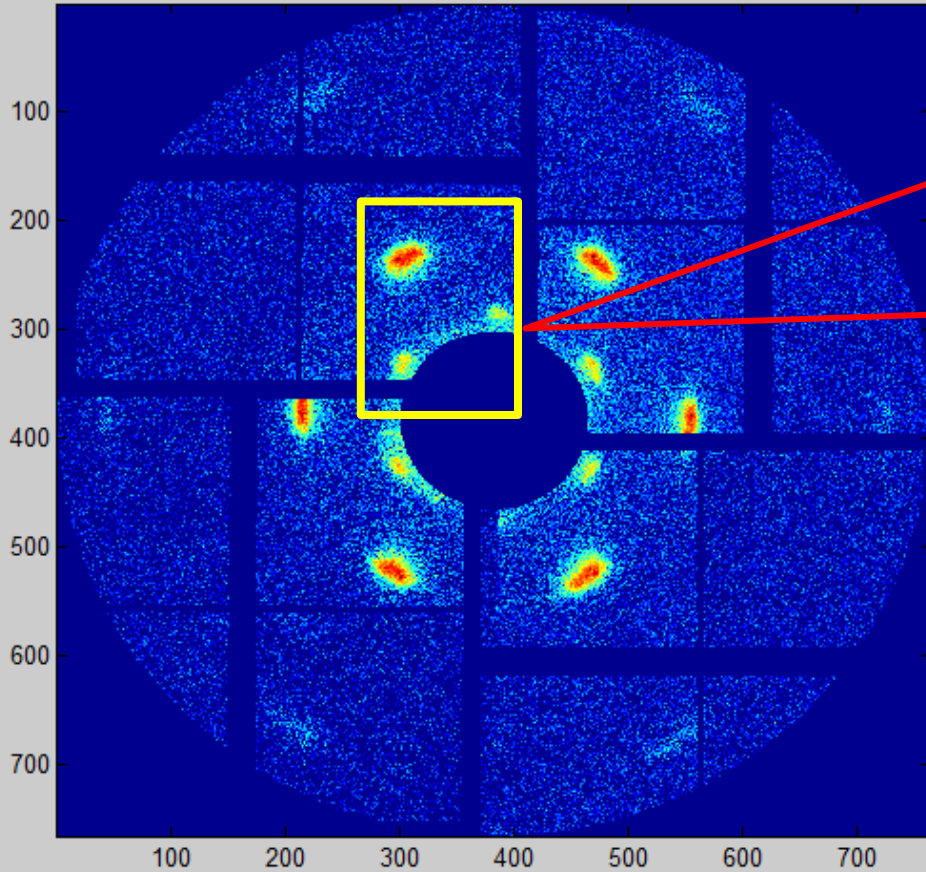
$$\Delta\tau=6.5 \text{ ps}$$



Bragg peak's broadening in q-direction



Bragg peak's broadening in q -direction



w_q - FWHM in q -direction

$$\frac{\Delta w_q(\tau)}{\langle w_q \rangle} = \frac{w_{q1}^{on}(\tau) - \langle w_q^{off} \rangle}{\langle w_q^{off} \rangle}$$

- τ is time delay between laser and X-ray pulses



1. Energy transfer from IR laser to colloidal crystal?

2. Response of colloidal crystal lattice?

- a. Decay of Bragg peaks integrated intensity
- b. Growth of Bragg peaks FWHM



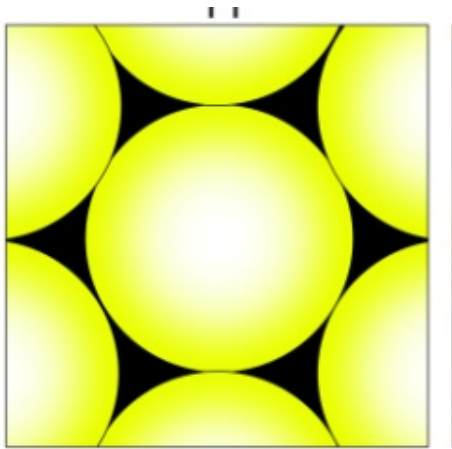
Energy transfer from IR laser to colloidal crystal

- **IR wavelength: 800 nm**
- **Energy: 1.5 eV**
- **Energy of chemical bonds: (C-C, C-H) ~3-4 eV**

- **Absorption coefficient of 800 nm radiation in polystyrene: 10^{-4}**
- **Temperature raise: one-two degrees**

Response of colloidal crystal lattice

Colloidal crystal is
heated above T_g in
50 fs

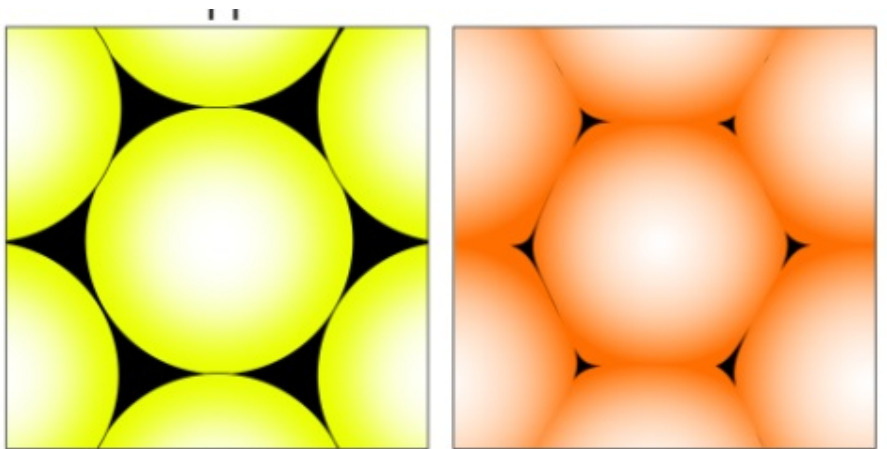


Soft PS
spheres

Response of colloidal crystal lattice

Colloidal crystal is heated above T_g in 50 fs

In ≤ 50 ps a sintering of PS spheres is going



Soft PS spheres

Sintering of PS spheres

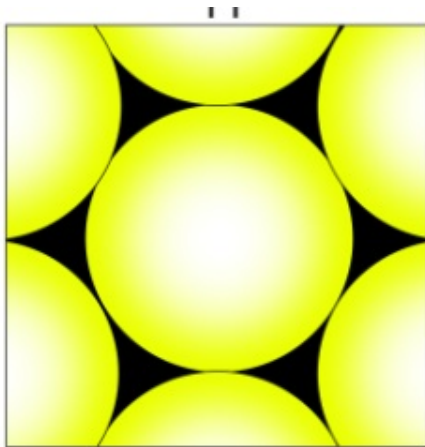
nl

81



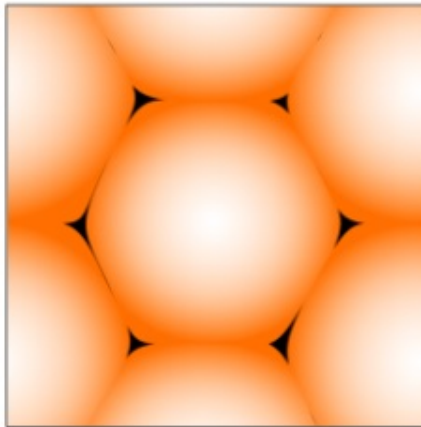
Response of colloidal crystal lattice

Colloidal crystal is heated above T_g in 50 fs



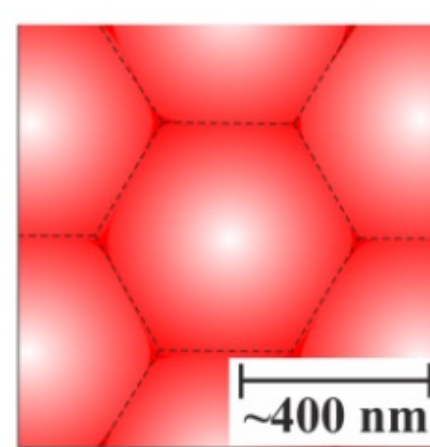
Soft PS spheres

In ≤ 50 ps a sintering of PS spheres is going



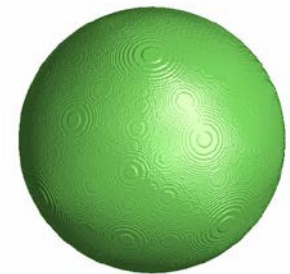
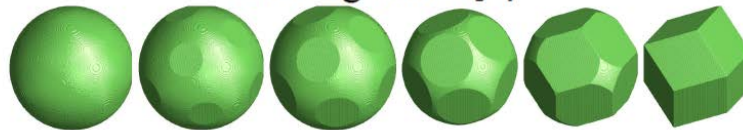
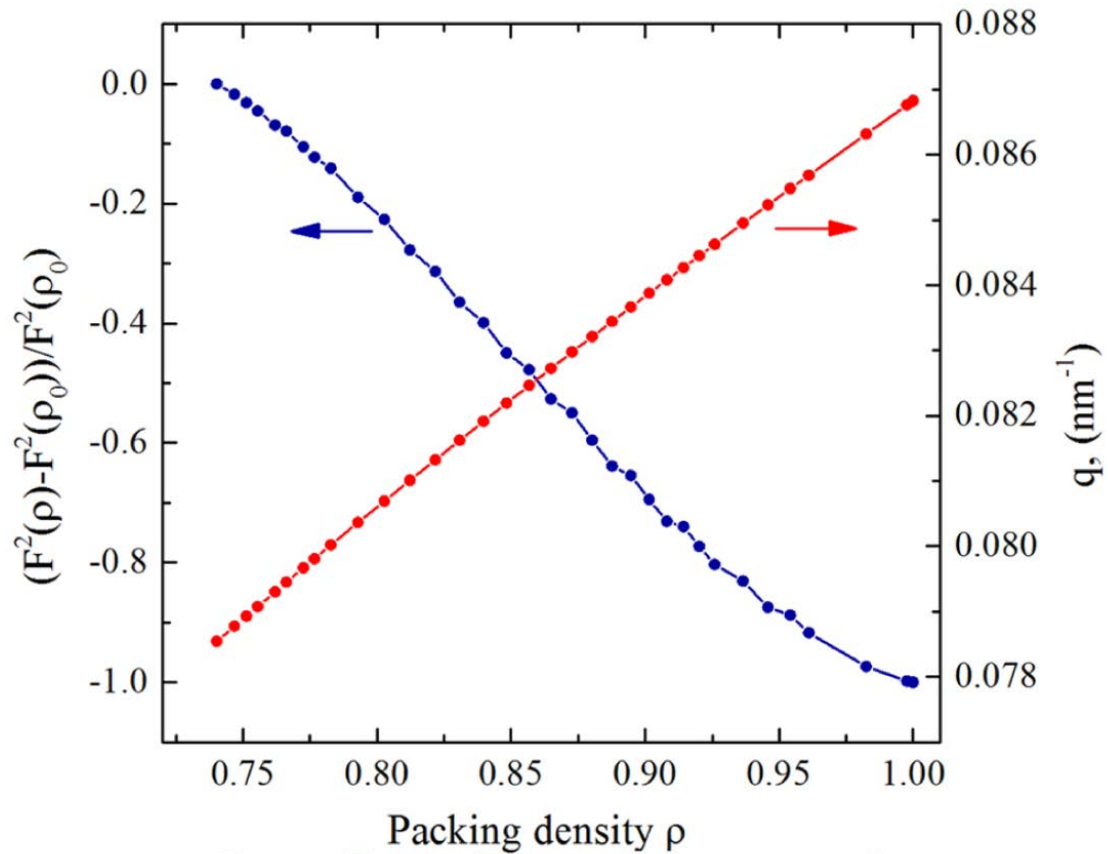
Sintering of PS spheres

In few 100 ps PS dynamics is damped (due to viscosity)



Liquid to solid transition

Variation of intensity and modulus of the scattering vector q_s as a function of packing density ρ

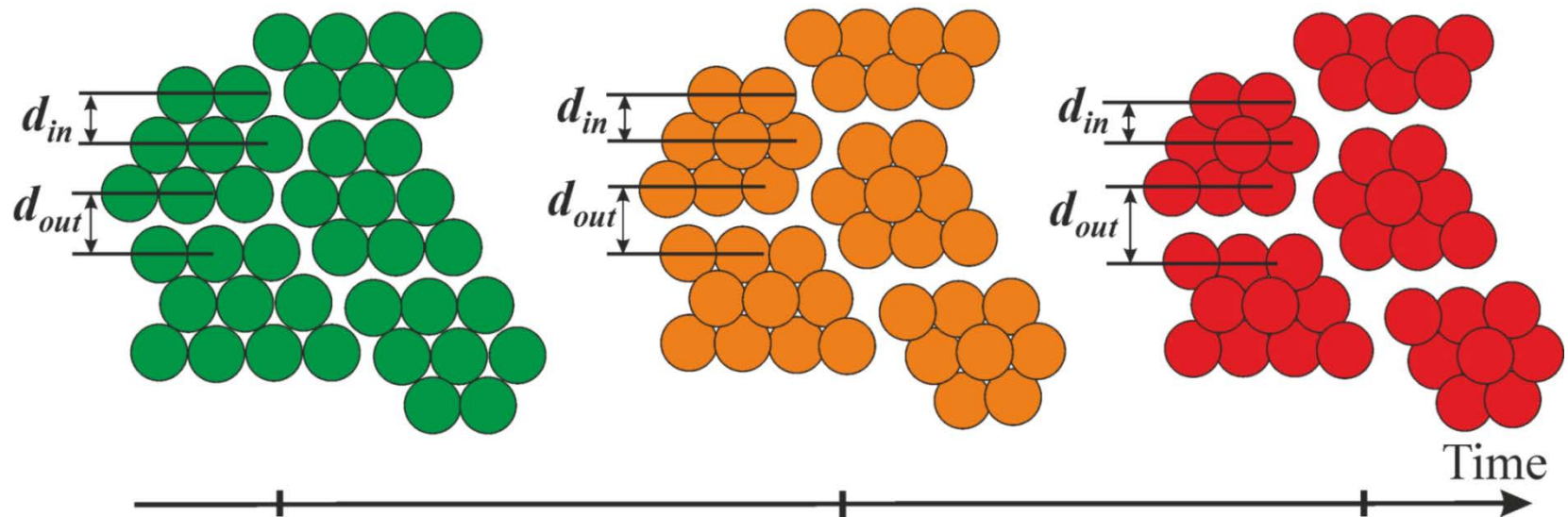


**This model explains decay of
integrated intensity but
does not explain the broadening
of the Bragg peaks**



Imperfections of the real colloidal crystal

The broadening of Bragg peaks can be explained by including the imperfections of the colloidal crystal lattice in the model



Work in progress ...

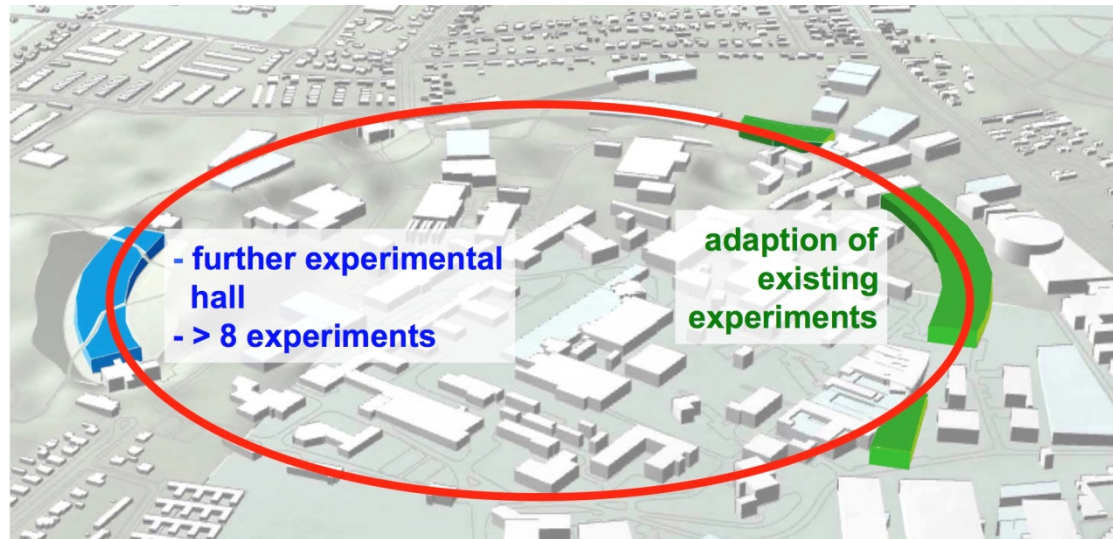


DESY future projects for large scale facilities

FLASH2020



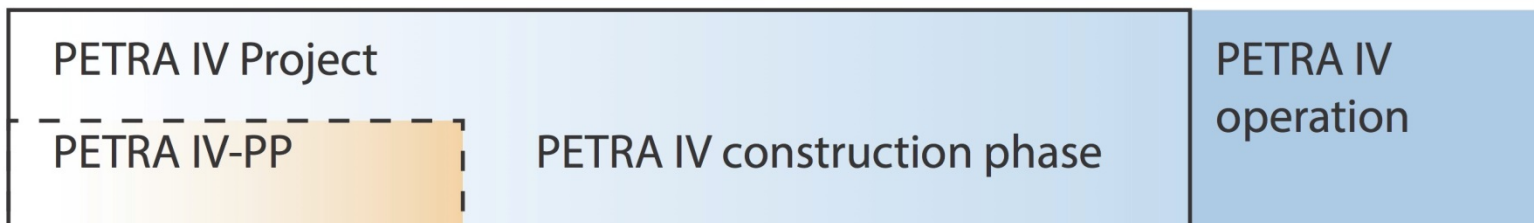
PETRA IV



Courtesy to E. Weckert

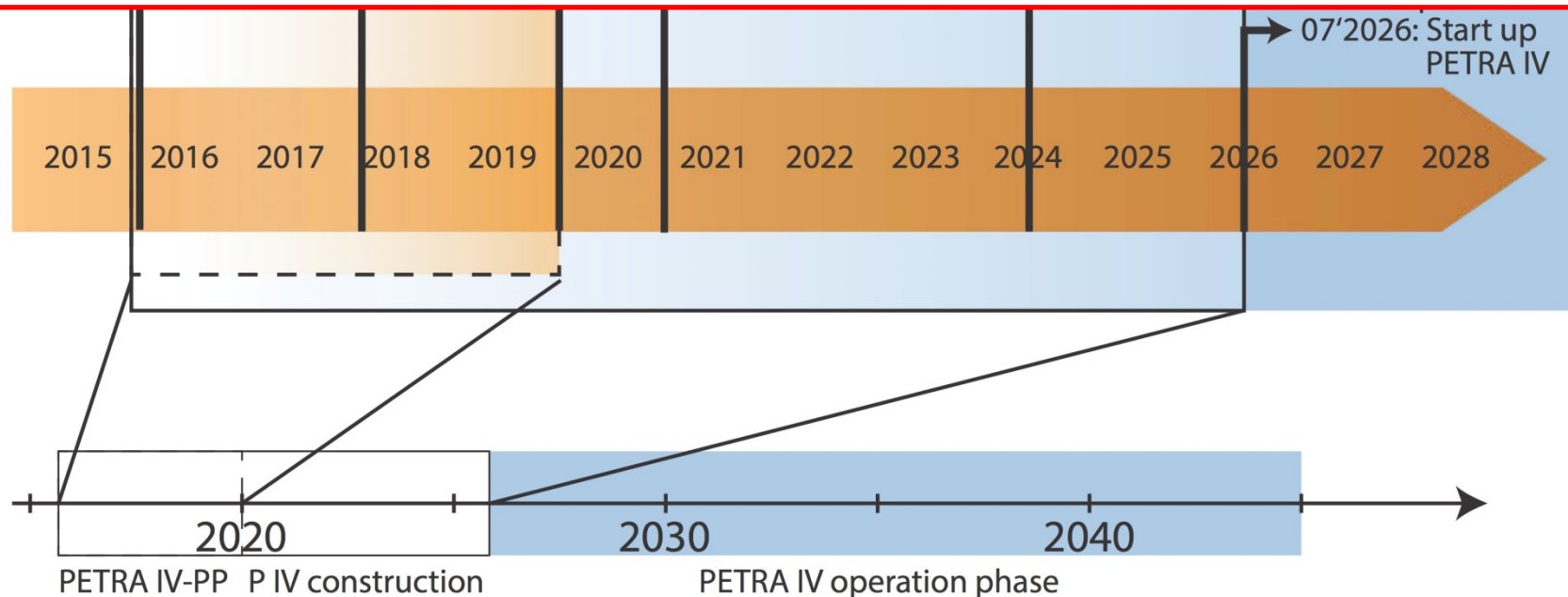


PETRA IV: status and further development



5-th Diffraction Limited Storage Ring (DLSR) Workshop

DESY, 9-11 March 2016



Acknowledgements

- **DESY**
 - E. Weckert
 - Former and present members of my group
 - P10 beamline (M. Sprung, A. Zozulya)
 - FLASH team
- **University of Utrecht**
 - A. Petukhov
 - J.-M. Meijer
- **LCLS**
 - XPP beamline
- **Russia**
 - Institute of Crystallography RAS
 - RC “Kurchatov Institute”
 - National Research Nuclear Centre, “MEPhI”
- ...



*We are looking for motivated PhD
students and PostDocs*

Thank you for your attention!

